

# Luna HSM Client 10.2 for SafeNet Luna PCIe HSM SDK REFERENCE



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This equipment has been tested and found to comply with the limits for a "Class B" digital device, pursuant to part 15 of the FCC rules.

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This class B digital apparatus meets all requirements of the Canadian interference-causing equipment regulations.

#### Europe

This product is in conformity with the protection requirements of EC Council Directive 2014/30/EU. This product satisfies the CLASS B limits of EN55032.

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# **PREFACE:** About the SDK Reference

This document describes how to use the SafeNet SDK to create applications that interact with SafeNet Luna PCIe HSMs. It contains the following chapters:

- > "SafeNet SDK Overview" on page 18
- > "PKCS#11 Support" on page 25
- > "Extensions to PKCS#11" on page 31
- > "Supported Mechanisms" on page 72
- > "Using the SafeNet SDK" on page 397
- > "Design Considerations" on page 421
- > "Java Interfaces" on page 457
- > "Microsoft Interfaces" on page 483

The preface includes the following information about this document:

- > "Customer Release Notes" below
- > "Audience" below
- > "Document Conventions" on the next page
- > "Support Contacts" on page 17

For information regarding the document status and revision history, see "Document Information" on page 2.

## **Customer Release Notes**

The customer release notes (CRN) provide important information about this release that is not included in the customer documentation. Read the CRN to fully understand the capabilities, limitations, and known issues for this release. You can view or download the latest version of the CRN from the Technical Support Customer Portal at https://supportportal.thalesgroup.com.

## Audience

This document is intended for personnel responsible for maintaining your organization's security infrastructure. This includes SafeNet Luna HSM users and security officers, key manager administrators, and network administrators.

All products manufactured and distributed by Thales Group are designed to be installed, operated, and maintained by personnel who have the knowledge, training, and qualifications required to safely perform the tasks assigned to them. The information, processes, and procedures contained in this document are intended for use by trained and qualified personnel only.

It is assumed that the users of this document are proficient with security concepts.

## **Document Conventions**

This document uses standard conventions for describing the user interface and for alerting you to important information.

### Notes

Notes are used to alert you to important or helpful information. They use the following format:

NOTE Take note. Contains important or helpful information.

## Cautions

Cautions are used to alert you to important information that may help prevent unexpected results or data loss. They use the following format:

**CAUTION!** Exercise caution. Contains important information that may help prevent unexpected results or data loss.

### Warnings

Warnings are used to alert you to the potential for catastrophic data loss or personal injury. They use the following format:

**\*\*WARNING\*\*** Be extremely careful and obey all safety and security measures. In this situation you might do something that could result in catastrophic data loss or personal injury.

### Command syntax and typeface conventions

Format	Convention
bold	<ul> <li>The bold attribute is used to indicate the following:</li> <li>Command-line commands and options (Type dir /p.)</li> <li>Button names (Click Save As.)</li> <li>Check box and radio button names (Select the Print Duplex check box.)</li> <li>Dialog box titles (On the Protect Document dialog box, click Yes.)</li> <li>Field names (User Name: Enter the name of the user.)</li> <li>Menu names (On the File menu, click Save.) (Click Menu &gt; Go To &gt; Folders.)</li> <li>User input (In the Date box, type April 1.)</li> </ul>
italics	In type, the italic attribute is used for emphasis or to indicate a related document. (See the <i>Installation Guide</i> for more information.)

Format	Convention
<variable></variable>	In command descriptions, angle brackets represent variables. You must substitute a value for command line arguments that are enclosed in angle brackets.
[ <b>optional</b> ] [ <optional>]</optional>	Represent optional <b>keywords</b> or <variables> in a command line description. Optionally enter the keyword or <variable> that is enclosed in square brackets, if it is necessary or desirable to complete the task.</variable></variables>
{ <b>a b c</b> } { <a> <b> <c>}</c></b></a>	Represent required alternate <b>keywords</b> or <variables> in a command line description. You must choose one command line argument enclosed within the braces. Choices are separated by vertical (OR) bars.</variables>
[ <b>a b c</b> ] [ <a> <b> <c>]</c></b></a>	Represent optional alternate keywords or variables in a command line description. Choose one command line argument enclosed within the braces, if desired. Choices are separated by vertical (OR) bars.

# Support Contacts

If you encounter a problem while installing, registering, or operating this product, please refer to the documentation before contacting support. If you cannot resolve the issue, contact your supplier or Thales Customer Support.

Thales Customer Support operates 24 hours a day, 7 days a week. Your level of access to this service is governed by the support plan arrangements made between Thales and your organization. Please consult this support plan for further information about your entitlements, including the hours when telephone support is available to you.

## **Customer Support Portal**

The Customer Support Portal, at https://supportportal.thalesgroup.com, is where you can find solutions for most common problems. The Customer Support Portal is a comprehensive, fully searchable database of support resources, including software and firmware downloads, release notes listing known problems and workarounds, a knowledge base, FAQs, product documentation, technical notes, and more. You can also use the portal to create and manage support cases.

**NOTE** You require an account to access the Customer Support Portal. To create a new account, go to the portal and click on the **REGISTER** link.

## Telephone

The support portal also lists telephone numbers for voice contact (Contact Us).

# CHAPTER 1: SafeNet SDK Overview

This chapter provides an overview of the SafeNet Software Development Kit (SDK), a development platform you can use to integrate a SafeNet Luna PCIe HSM into your application or system. It contains the following topics:

- > "Supported Cryptographic Algorithms" below
- > "Application Programming Interface (API) Overview" below
- > "What Does 'Supported' Mean?" on page 21
- > "Frequently Asked Questions" on page 21

# Supported Cryptographic Algorithms

The K7 Cryptographic engine supports cryptographic algorithms that include:

- > RSA
- > DSA
- > Diffie-Hellman
- > DES and triple DES
- > MD2 and MD5
- > SHA-1, SHA-224, SHA-256, SHA-384, SHA-512
- > RC2, RC4 and RC5
- > AES
- > PBE
- > ECC
- > ECIES
- > ARIA, SEED

Included with SafeNet Product Software Development Kit is a sample application – and the source code – to accelerate integration of SafeNet's cryptographic engine into your system.

# Application Programming Interface (API) Overview

The major API provided with SafeNet Product Software Development Kit conforms to RSA Laboratories' Public-Key Cryptography Standards #11 (PKCS #11) v2.20, as described in "PKCS#11 Support" on page 25. A set of API services (called PKCS #11 Extensions) designed by SafeNet, augments the services provided by PKCS#11, as described in "Extensions to PKCS#11" on page 31. The extensions to each API enable optimum use of SafeNet hardware for commonly used calls and functions, where the unaugmented API would tend to use software, or to make generic, non-optimized use of available HSMs. In addition, support is provided for Microsoft's cryptographic APIs (CAPI/CNG) (see "Microsoft Interfaces" on page 483 and Oracle's Java Security API (see "Java Interfaces" on page 457).

The API is a library – a DLL in Windows, a shared object in Solaris, AIX and Linux – called Chrystoki. Applications wanting to use token services must connect with Chrystoki.

**NOTE** Luna HSM Client 10.1 and newer includes libraries for 64-bit operating systems only.

Platform	Key name	Libraries
Windows	LibNT	C:\Program Files\SafeNet\LunaClient\cryptoki.dll
		C:\Program Files\SafeNet\LunaClient\cklog201.dll
		C:\Program Files\SafeNet\LunaClient\shim.dll
		C:\Program Files\SafeNet\LunaClient\LunaCSP\LunaCSP.dll
		C:\WINDOWS\system32\SafeNetKSP.dll
Solaris (32-bit)	LibUNIX	/opt/safenet/lunaclient/lib/libCryptoki2.so
		/opt/safenet/lunaclient/lib/libcklog2.so
		/opt/safenet/lunaclient/lib/libshim.so
Solaris (64-bit)	LibUNIX64	/opt/safenet/lunaclient/lib/libCryptoki2_64.so
		/opt/safenet/lunaclient/lib/libcklog2.so
		/opt/safenet/lunaclient/lib/libshim_64.so
Linux (32-bit)	LibUNIX	/usr/safenet/lunaclient/lib/libCryptoki2.so
		/usr/safenet/lunaclient/lib/libcklog2.so
		/usr/safenet/lunaclient/lib/libshim.so
Linux (64-bit)	LibUNIX64	/usr/safenet/lunaclient/lib/libCryptoki2_64.so
		/usr/safenet/lunaclient/lib/libcklog2.so
		/usr/safenet/lunaclient/lib/libshim_64.so

#### Table 1: SafeNet libraries by platform

Platform	Key name	Libraries
AIX (32- and 64-bit)	LibAIX	/usr/safenet/lunaclient/lib/libCryptoki2.so
		/usr/safenet/lunaclient/lib/libCryptoki2_64.so
		/usr/safenet/lunaclient/lib/libcklog2.so
		/usr/safenet/lunaclient/lib/libshim.so

## Sample Application

Included with SafeNet Product Software Development Kit is a sample application – and the source code – to accelerate integration of SafeNet's cryptographic engine into your system.

**NOTE** To reduce development or adaptation time, you may re-distribute the salogin program to customers who use SafeNet Luna PCIe HSM, in accordance with the terms of the End User License Agreement. However, you may not re-distribute the SafeNet Software Development Kit itself.

## A Note About RSA Key Attributes 'p' and 'q'

When RSA keys are generated, 'p' and 'q' components are generated which, theoretically, could be of considerably different sizes.

#### Unwrapping

The SafeNet Luna PCIe HSM allows RSA private keys to be unwrapped onto the HSM where the lengths of the 'p' and 'q' components are unequal. Because the effective strength of an RSA key pair is determined by the length of the shorter component, choosing 'p' and 'q' to be of equal length provides the maximum strength from the generated key pair. If your application is designed to generate key pairs that will be unwrapped onto the HSM, care should be taken in choosing the lengths of the 'p' and 'q' components such that they differ by no more than 15%.

#### Generation

Where you are generating RSA private keys within the HSM, the HSM enforces that 'p' and 'q' be equal in size, to the byte level.

#### A Note About the Shim

The Client install includes a shim library to support PKCS#11 integration with various third-party products. You should have no need for this shim library in your development. If for some reason you determine that you need the shim, Chrystoki supports it.

# What Does 'Supported' Mean?

With the exception of some generic items that (for example) might need to be set in Windows when installing CSP, KSP, or Java, we do not include a list of integrations in the main product documentation.

Instead, you can check with the https://supportportal.thalesgroup.com website for third-party applications that have been integrated and tested with SafeNet Luna PCIe HSMs by our Integrations group. That group is constantly testing and updating third-party integrations and publishing notes and instructions to help you integrate our HSMs with your applications.

As a general rule, if a specific version of an application and a specific version of a SafeNet Luna PCIe HSM product are mentioned in an Integration document, then those items will definitely work together. A newer version of the SafeNet Luna PCIe HSM or its attendant software is most likely to work with the indicated application without problem. We take care, for several generations of a given HSM product, to not break working relationships, though eventually it might happen that very old versions of third-party software and systems can no longer be supported. One thing that can sometimes happen is that we update HSM firmware to include newer algorithms, and to exclude older algorithms or key sizes that no longer meet industry-accepted standards (like NIST, Common Criteria, etc.).

A newer version of a third-party software might, or might not work with SafeNet Luna PCIe HSMs that were tested to work with a specific earlier version of the same software. This is because some vendors make changes in their products that require new adaptation or at least new configuration instructions. If this happens to you, Thales Customer Support or Sales Engineering is usually happy to work with you to find a solution - both to support you as one of our customers and to have a revised/new integration that can be added to our portfolio.

Check the website or contact Thales Customer Support for the latest list of third-party applications that are tested and supported with SafeNet Luna PCIe HSMs.

## Why Is an Integration Not Listed Here Or On the Website?

In many cases, third-party application vendors see a need to integrate their application with Thales Group SafeNet products. In those cases, the third-party company performs the integration and testing, and also provides the support for the integrated solution to their customers (including you). For integrations not listed by Thales, please contact the application vendor for current information.

Similarly some value-added resellers and custom/third-party integrators or consultants might have performed specific integrations of SafeNet Luna PCIe HSMs for the benefit of their specific customers. If you have purchased services or product from such a supplier, you will need to contact them for support of such integrations.

Third-party-tested integrations are not listed here or on the Thales website library of integration documents because we have not verified them in our own labs. If you contact Thales Support regarding use of our product with an application that we have not integrated, you will be asked to contact the third party that performed the integration.

## **Frequently Asked Questions**

This section provides additional information by answering questions that are frequently asked by our customers.

#### How can we use a SafeNet Luna PCIe HSM with a Key Manager?

A SafeNet Luna PCIe HSM could be a Certificate Authority (CA) within your organization, and would operate in parallel with a Key Manager. It is normally the Key Manager that requests service from a CA, and not the other way around. For example, the Key Manager might generate an RSA key pair for an endpoint to use for authentication. The KM would then go to its associated CA and request a certificate for the public key.

The other typical use case for a KM looking to a CA for service is for confirming certificate validity, either through CRLs or OCSP.

In general, the HSM keeps keys safe within its confines, and exports only metadata about the contained objects. The metadata allows the KM or an integrated application to refer to the keys and objects within the HSM, when invoking cryptographic operations by the HSM, but not to touch the actual keys or objects themselves.

A CA's private key(s) are extremely valuable and often are used only by a CA application operating on a standalone server or one on a very minimally-connected subnet. Backup is normally done to a Safenet Luna Backup HSM that can then be locked away in a safe.

# We need to encrypt PANs on MS SQL Server 2008 (Extensible Key Management). We have a problem with the encrypted PAN, as the length is greater than the original PAN (16 digits).

The issue is a common one and it arises because the CBC padding scheme requires an extra padding block (8 bytes), with all bytes having the hex value 8, to be appended if the length of the original plaintext is a multiple of the cipher's block length. Another format issue often comes up as well since encrypted data does not generally represent well as decimal digits.

We suggest one of two options:

- 1. You can set up a shadow table to hold the encrypted PANs. The shadow table schema can then be set up for a sufficient number of hex numerals to hold the padded data or just make that field a binary blob. This takes some coding on your part, and the plaintext PANs would be retrieved into a dynamic view, rather than back into the "real" table, to protect their confidentiality. You should do this only if there is a hard requirement to use SafeNet Luna PCIe HSM, such as certification.
- 2. Alternatively, you can switch to DataSecure. It has tokenization support and is, in general, designed for DB security.

"Makecert" fails when using SafeNet Luna PCIe HSM with MS Authenticode, because the MD5 algorithm is not available when the HSM is in FIPS mode.

# Error: CryptHashPublicKeyInfo failed => 0x80090005 (-2146893819) Failed, and FINIDigest\_Init \*\*\*CKR\_MECHANISM\_INVALID\*\*\*(296ms) {}

The certificate always has an MD5 hash in it. Configure LunaCSP algorithm registration such that MD5 hashing is performed in software. For example:

# register.exe /algorithms

# We are developing our application(s) in C#, and we want to integrate with SafeNet Luna PCIe HSMs

If you want to integrate your C# application with SafeNet Luna PCIe HSM 6.x using PKCS#11 calls, rather than using Microsoft CAPI or CNG, then you might consider using "ncryptoki". At the time this note is being written, we have not created anything formal, but we have worked with some customers who are successfully using "ncryptoki" for that purpose.

Keep an eye on the Safenet C3 website, or ask your SafeNet technical representatives if anything new has been added. Or, you could engage SafeNet Professional Services for formal assistance with your project.

#### We intend to use PKCS#11 data objects - is this supported in the API for your HSMs?

Yes, it's a basic requirement.

If you have concerns, you might wish to verify if SafeNet Luna PCIe HSMs' (and our API's) handling of data objects are conducive to the operation of your intended application(s). SafeNet API generally places no restrictions on whether data objects can be private or not. We understand that, in the past, some competitors' modules might have allowed only public data objects, if that was the basis of your question.

However, one concern that might arise is Java.

Java offers no support for data objects, and so we do not support them with the LunaProvider. Unexpected results can occur with SafeNet JCA if a data object is present in a partition. This might be the case if you attempt to use an application that uses the CSP, and then the JSP accesses the same partition. CSP inherently creates a data object for its own purposes.

Therefore, keep CSP and JSP clients tied to separate partitions. Generally do not allow JSP to connect to a partition that contains a data object, regardless of the source - Java (and therefore JSP) doesn't know what to do with it.

If your application scenario really does demand the use of both the Microsoft Cryptographic Provider and Java against a common partition, then consider upgrading/updating to Microsoft CNG and use our KSP, which does not inherently create a data object, and so would not cause conflict of that sort.

In our application, both for PKCS#11 and for the JCA/JCE SafeNet Provider, we need to use CKM\_ SHAxxx\_RSA\_PKCS mechanism for Signing. Does Hashing occur at the Client or in the HSM? CKM\_SHAxxx\_RSA\_PKCS is a PKCS#11 mechanism, not a Java method.

For PKCS#11 the digest operation is done within the HSM if that mechanism is called. For Java, digests are done in software.

We were using another vendor's HSM - or are evaluating HSM products - to host an online sub- or issuing CA with MSCA. With the other vendor we must check "Allow administrator interaction when the private key is accessed by the CA" in the "Configure Cryptography" setup dialog. SafeNet Luna PCIe HSMs seem to work regardless of whether that selection is checked or not. So, for that other vendor's product, you need to enter the additional credentials every time you need to issue a certificate? That seems a bit restrictive.

"Allow administrator interaction..." actually means "Allow administrator interaction if the underlying KSP requires it".

The Windows operating system passes a Windows handle that the KSP can use to render any GUI designed by a vendor (SafeNet or some other vendor).

Somewhere in the process a KSP reports that it can (or cannot) interact with the GUI so the application will (or will not) request GUI interaction; that is, pass a window handle to the KSP.

So, the <competitor product> KSP expects a window handle - implying hands-on action by an administrator, each time - whereas SafeNetKsp ignores the handle (if one was provided).

SafeNet's KSP was designed to register partitions ahead of time. SafeNet Luna PCIe HSMs can be Activated, which caches the administrative and enabling credentials, such that only the partition challenge (text string) is needed, which can be passed by your application without need for GUI interaction. Furthermore, SafeNet Luna PCIe HSM can "AutoActivate" partitions, which allows cached ("Activated") partition credentials to be retained through power interruptions as long as 2 hours in duration.

For SafeNet Luna PCIe HSMs, as long as the user is registered in the KSP utility, and the partition is activated, the "Allow administrator interaction..." check box (checked or not checked) does not impose any additional, ongoing, authentication requirements -- no additional prompts for credentials from the GUI. After initial setup and Activation, the SafeNet Luna PCIe HSM knows what to do, and doesn't need to pester you.

For root CAs, on the other hand, you always have the option of not activating the partition, so PED interaction would always be required to ensure close supervision for each use of the private key.

# CHAPTER 2: PKCS#11 Support

This chapter describes the PKCS#11 support provided by the SafeNet SDK. It contains the following topics:

- > "PKCS#11 Compliance" below
- > "Using the PKCS#11 Sample" on page 29

# PKCS#11 Compliance

This section shows the compliance of SafeNet Software Development Kit HSM products to the PKCS#11 standard, with reference to particular versions of the standard. The text of the standard is not reproduced here.

### Supported PKCS#11 Services

The table below identifies which PKCS#11 services this version of SafeNet Software Development Kit supports. The table following lists other features of PKCS#11 and identifies the compliance of this version of the SafeNet Software Development Kit to these features.

#### Table 1: PKCS#11 function support

Category	Function	Supported SafeNet ver 2.20
General purpose functions	C_Initialize	Yes
	C_Finalize	Yes
	C_GetInfo	Yes
	C_GetFunctionList	Yes

Category	Function	Supported SafeNet ver 2.20
Slot and token management functions	C_GetSlotList	Yes
	C_GetSlotInfo	Yes
	C_GetTokenInfo	Yes
	C_WaitForSlotEvent	No
	C_GetMechanismList	Yes
	C_GetMechanismInfo	Yes
	C_InitToken	Yes
	C_InitPIN	Yes
	C_SetPIN	Yes
Session management functions	C_OpenSession	Yes
	C_CloseSession	Yes
	C_CloseAllSessions	Yes
	C_GetSessionInfo	Yes
	C_GetOperationState	Yes
	C_SetOperationState	Yes
	C_Login	Yes
	C_Logout	Yes

Category	Function	Supported SafeNet ver 2.20
Object management functions	C_CreateObject	Yes
	C_CopyObject	Yes
	C_DestroyObject	Yes
	C_GetObjectSize	Yes
	C_GetAttributeValue	Yes
	C_SetAttributeValue	Yes
	C_FindObjectsInit	Yes
	C_FindObjects	Yes
	C_FindObjectsFinal	Yes
Encryption functions	C_EncryptInit	Yes
	C_Encrypt	Yes
	C_EncryptUpdate	Yes
	C_EncryptFinal	Yes
Decryption functions	C_DecryptInit	Yes
	C_Decrypt	Yes
	C_DecryptUpdate	Yes
	C_DecryptFinal	Yes
Message digesting functions	C_DigestInit	Yes
	C_Digest	Yes
	C_DigestUpdate	Yes
	C_DigestKey	Yes
	C_DigestFinal	Yes

Category	Function	Supported SafeNet ver 2.20
Signing and MACing functions	C_SignInit	Yes
	C_Sign	Yes
	C_SignUpdate	Yes
	C_SignFinal	Yes
	C_SignRecoverInit	No
	C_SignRecover	No
Functions for verifying signatures and MACs	C_VerifyInit	Yes
	C_Verify	Yes
	C_VerifyUpdate	Yes
	C_VerifyFinal	Yes
	C_VerifyRecoverInit	No
	C_VerifyRecover	No
Dual-purpose cryptographic functions	C_DigestEncryptUpdate	No
	C_DecryptDigestUpdate	No
	C_SignEncryptUpdate	No
	C_DecryptVerifyUpdate	No
Key management functions	C_GenerateKey	Yes
	C_GenerateKeyPair	Yes
	C_WrapKey	Yes
	C_UnwrapKey*	Yes
	C_DeriveKey	Yes
Random number generation functions	C_SeedRandom	Yes
	C_GenerateRandom	Yes

Category	Function	Supported SafeNet ver 2.20
Parallel function management functions	C_GetFunctionStatus	No
	C_CancelFunction	No
Callback function		No

\*C\_UnwrapKey has support for the CKA\_Unwrap\_Template object. All mechanisms which perform the unwrap function support an unwrap template. Nested templates are not supported.

#### Table 2: PKCS#11 feature support

Feature	Supported?
Exclusive sessions	Yes
Parallel sessions	No

### **Additional Functions**

Please note that certain additional functions have been implemented by SafeNet as extensions to the standard. These include aspects of object cloning, and are described in detail in "SafeNet Luna Extensions to PKCS#11" on page 31.

## Using the PKCS#11 Sample

The SafeNet SDK includes a simple "C" language cross platform source example, **p11Sample**, that demonstrates the following:

- > How to dynamically load the SafeNet cryptoki library.
- How to obtain the function pointers to the exported PKCS11 standard functions and the SafeNet extension functions.

The sample demonstrates how to invoke some, but not all of the API functions.

### The SfntLibPath Environment Variable

The sample depends on an environment variable created and exported prior to execution. This variable specifies the location of **cryptoki.dll** (Windows) or **libCryptoki2.so** on Linux/UNIX. The variable is called **SfntLibPath**. You are free to provide your own means for locating the library.

### What p11Sample Does

The p11Sample program performs the following actions:

 The sample first attempts to load the dynamic library in the function called LoadP11Functions. This calls LoadLibrary (Windows) or dlopen (Linux/UNIX).

- 2. The function then attempts to get a function pointer to the PKCS11 API C\_GetFunctionList using GetProcAddress (Windows) or dlsym (Linux/UNIX).
- Once the function pointer is obtained, use the API to obtain a pointer called P11Functions that points to the static CK\_FUNCTION\_LIST structure in the library. This structure holds pointers to all the other PKCS11 API functions supported by the library.

At this point, if successful, PKCS11 APIs may be invoked like the following:

```
PllFunctions->C_Initialize(...);
PllFunctions->C_GetSlotList(...);
PllFunctions->C_OpenSession(...);
PllFunctions->C_Login(...);
PllFunctions->C_GenerateKey(...);
PllFunctions->C_Encrypt(...);
:
etc
```

- 4. The sample next attempts to get a function pointer to the SafeNet extension API CA\_GetFunctionList using GetProcAddress (Windows) or dlsym (Linux/UNIX).
- 5. Once the function pointer is obtained, use the API to obtain a pointer called SfntFunctions that points to the static CK\_SFNT\_CA\_FUNCTION\_LIST structure in the library. This structure holds pointers to some but not all of the other SafeNet extension API functions supported by the library.
- 6. At this point, if successful, SafeNet extension APIs may be invoked like the following:

```
SfntFunctions->CA_GetHAState(...);
:
etc.
```

7. A sample makefile is provided for 64-bit AIX

You can easily port to another platform with minor changes.

8. To build: make -f Makefile.aix.64

**NOTE** Please note that this simple example loads the cryptoki library directly. If your application requires integration with cklog or ckshim, you will need to load the required library (see SDK General for naming on your platform) in lieu of cryptoki. cklog and ckshim will then use the Chrystoki configuration file to locate and load cryptoki. You also have the option of locating the cryptoki library by parsing the Chrystoki2 section of the Chrystoki config file. If you do this, then the initial library (cryptoki, cklog, or ckshim) can be changed by simply updating the configuration file.

# **CHAPTER 3:** Extensions to PKCS#11

This chapter describes the SafeNet extensions to the PKCS#11 standard. It contains the following topics:

- > "SafeNet Luna Extensions to PKCS#11" below
- > "HSM Configuration Settings" on page 42
- > "Secure PIN Port Authentication" on page 42
- > "High Availability Indirect Login Functions" on page 43
- > "MofN Secret Sharing (quorum or multi-person access control)" on page 46
- > "Key Export Features" on page 46
- > "Derivation of Symmetric Keys with 3DES\_ECB" on page 49
- > "PKCS#11 Extension HA Status Call" on page 49
- > "Counter Mode KDF Mechanisms" on page 50
- > "BIP32 Mechanism Support and Implementation" on page 50
- > "Derive Template" on page 58
- > "3GPP Mechanisms for 5G Mobile Networks" on page 60
- > "SM2/SM4 Mechanisms" on page 64
- > "SHA-3 Mechanisms" on page 67

## SafeNet Luna Extensions to PKCS#11

The following table provides a list of the SafeNet Luna PKCS#11 C-API extensions.

#### **Firmware Dependencies**

Some functions are firmware-dependent, as indicated. Where there is a firmware dependency, the specified firmware version applies to all minor revisions of the firmware. In the following table, if no firmware version/series is mentioned, then the extension applies to all. If a firmware version is mentioned, then the extension applies to that firmware series, but not to others. A function that applies to Firmware 4 (example: CA\_CloneModifyMofN) works with firmware versions 4.xx.xx, but not with firmware 6.xx.xx nor firmware 7.xx.xx.

#### **Other APIs**

These commands and functions can also be used as extensions to other Application Programming Interfaces (for example, OpenSSL).

#### **Cryptoki Version Supported**

The current release of SafeNet Toolkit provides the Chrystoki library supporting version 2.20 of the Cryptoki standard.

Extension	Description
CA_ActivateMofN	Activates a token that has the secret sharing feature enabled.
CA_CapabilityUpdate	Apply configuration update file as Security Officer only.
CA_CheckOperationState	Checks if the specified cryptographic operation (encrypt, decrypt, sign, verify, digest) is in progress or not in the given session.
CA_CloneAsSource	Refer to the <i>SafeNet Luna Cloning Functions Technical Note</i> , available from Technical Support.
CA_CloneAsTarget	Refer to the <i>SafeNet Luna Cloning Functions Technical Note</i> , available from Technical Support.
CA_CloneAsTargetInit	Refer to the <i>SafeNet Luna Cloning Functions Technical Note</i> , available from Technical Support.
CA_CloneModifyMofN	Firmware 4. Cloning of M of N.
CA_CloneMofN	Firmware 4 cloning of M of N. Copy a cloneable secret- splitting vector from one token to another.
CA_CloneMofN_Common	Firmware 4 cloning of M of N.
CA_CloneObject	Refer to the <i>SafeNet Luna Cloning Functions Technical Note</i> , available from Technical Support.
CA_ClonePrivateKey	Permits the secure transfer a private key (RSA) between a source token and a target token.
CA_CloseApplicationID	Deactivate an application identifier.
CA_CloseApplicationIDForContainer	Deactivate an application identifier for a container.
CA_CloseSecureToken	Firmware 6. Close context for an SFF token.
CA_ConfigureRemotePED	Configure the given slot to use the provided remote PED information (appliance slot only).
CA_CreateContainer	Create a partition for non-PPSO users.
CA_CreateContainerLoginChallenge	Create a challenge for a role on a partition.

Extension	Description
CA_CreateContainerWithPolicy	Firmware 6. Create a partition with per-partition template data.
CA_CreateLoginChallenge	Create a login challenge for the specified user.
CA_Deactivate	Deactivate a partition.
CA_DeactivateMofN	Firmware 4. Deactivate M of N.
CA_DeleteContainer	Delete a partition.
CA_DeleteContainerWithHandle	Delete a partition.
CA_DeleteRemotePEDVector	Delete the Remote PED vector.
CA_DeriveKeyAndWrap	This is an optimization of C_DeriveKey with C_Wrap, merging the two functions into one (the in and out constraints are the same as for the individual functions). A further optimization is applied when mechanism CKM_ ECDH1_DERIVE is used with CA_DeriveKeyAndWrap.
CA_DestroyMultipleObjects	Delete multiple objects.
CA_DismantleRemotePED	Inverse of CA_ConfigureRemotePED(). Delete remote PED configuration information.
CA_DuplicateMofN	Create duplicates (copies) of all MofN secret splits.
CA_EncodeECChar2Params	Encode EC curve parameters for user defined curves.
CA_EncodeECParamsFromFile	Encode EC curve parameters for user defined curves.
CA_EncodeECPrimeParams	Encode EC curve parameters for user defined curves.
CA_Extract	Extract a SIM3 blob.
CA_FactoryReset	Factory Reset the HSM.
CA_FindAdminSlotForSlot	Get the Admin slot for the current slot.
CA_FirmwareRollback	Rollback firmware.
CA_FirmwareUpdate	Firmware 4. Firmware update for Firmware 4 (only used in Luna SA 4.x).

Extension	Description
CA_GenerateCloneableMofN	Create a cloneable secret-splitting vector on a token.
CA_GenerateCloningKEV	Refer to the <i>SafeNet Luna Cloning Functions Technical Note</i> , available from Technical Support.
CA_GenerateMofN	Generate the secret information on a token.
CA_GenerateMofN_Common	Refer to the M of N document.
CA_Get	Get HSM parameters such as serial numbers, and certificates.
CA_GetConfigurationElementDescription	Get capability / policy description and properties.
CA_GetContainerCapabilitySet	Get all partition capability values.
CA_GetContainerCapabilitySetting	Get a single partition capability value.
CA_GetContainerList	Get the list of all partitions on a slot.
CA_GetContainerName	Get the name of a specific partition.
CA_GetContainerPolicySet	Get all partition policy values.
CA_GetContainerPolicySetting	Get a single partition policy value.
CA_GetContainerStatus	Get partition status, which returns authentication status flags.
CA_GetContainerStorageInformation	Get partition storage information such as size, usage, and number of objects.
CA_GetDefaultHSMPolicyValue	Get the default value of a single HSM policy.
CA_GetDefaultPartitionPolicyValue	Get the default value of a single partition policy.
CA_GetFirmwareVersion	Get the vendor-specific firmware version of the SafeNet Luna HSM.
CA_GetHAState	Get HA status from the application perspective.
CA_GetHSMCapabilitySet	Get all HSM capability values.
CA_GetHSMCapabilitySetting	Get a single HSM capability value.

Extension	Description
CA_GetHSMPolicySet	Get all HSM policy values.
CA_GetHSMPolicySetting	Get a single HSM policy value.
CA_GetHSMStats	Get HSM usage stats such as operational counters and how busy the HSM is.
CA_GetHSMStorageInformation	Get HSM storage information such as storage and usage.
CA_GetMofNStatus	Retrieve the MofN structure of the specified token.
CA_GetNumberOfAllowedContainers	Get the number of allowed partitions depending on the partition license count.
CA_GetObjectHandle	Get the object handle for a given OUID.
CA_GetObjectUID	Get the OUID for a given object handle.
CA_GetPartitionPolicyTemplate	Firmware 6. Gets default partition policy template data from HSM.
CA_GetPedId	Get the PED ID.
CA_GetRemotePEDVectorStatus	Get the status of the RPV, created or not.
CA_GetRollbackFirmwareVersion	Get the available rollback version.
CA_GetSecureElementMeta	Get META data for objects on an SFF backup token.
CA_GetServerInstanceBySlotID	Get the instance # in the chrystoki.conf (crystoki.ini) file for the appliance/server the specified slot maps to.
CA_GetSessionInfo	Gets the session info that includes vendor specific information such as authentication state and container handle.
CA_GetSlotIdForContainer	Return a slot for a given container handle.
CA_GetSlotIdForPhysicalSlot	Return a slot for a given physical slot.
CA_GetSlotListFromServerInstance	Get the list of slots for the specified appliance/server instance #, as defined in the chrystoki.conf (crystoki.ini) file.

Extension	Description
CA_GetTime	Get the HSM time.
CA_GetTokenCapabilities	Get the capabilities for the specified partition.
CA_GetTokenCertificateInfo	Get the cloning certificate.
CA_GetTokenCertificates	Get all HSM certifcates.
CA_GetTokenInsertionCount	Get the insertion or reset count of HSM in the given slot.
CA_GetTokenObjectHandle	Retrieves a partition's handle, if there is a partition security officer. Firmware 6.22.0 or higher for SafeNet Luna Devices. Same as CA_GetObjectHandle.
CA_GetTokenObjectUID	Retrieves a partition's OUID, if there is a partition security office. Firmware 6.22.0 or higher for SafeNet Luna Devices. Same as CA_GetObjectOUID.
CA_GetTokenPolicies	Get partition policies.
CA_GetTokenStatus	Get partition status.
CA_GetTokenStorageInformation	Get partition storage information.
CA_GetTunnelSlotNumber	Get the tunnel slot number for a given slot number.
CA_HAActivateMofN	See "High Availability Indirect Login Functions" on page 43.
CA_HAAnswerLoginChallenge	See "High Availability Indirect Login Functions" on page 43.
CA_HAAnswerMofNChallenge	See "High Availability Indirect Login Functions" on page 43.
CA_HAGetLoginChallenge	See "High Availability Indirect Login Functions" on page 43.
CA_HAGetMasterPublic	See "High Availability Indirect Login Functions" on page 43.
CA_HAInit	See "High Availability Indirect Login Functions" on page 43.

Extension	Description
CA_HALogin	See "High Availability Indirect Login Functions" on page 43.
CA_InitAudit	Initialize the Auditor role.
CA_InitializeRemotePEDVector	Create the Remote PED Vector.
CA_InitRolePIN	Initialize a role on the current slot.
CA_InitSlotRolePIN	Initialize a role on a different slot.
CA_InitToken	Same as CA_Init_token with PPT support.
CA_Insert	Insert a SIM3 blob.
CA_IsMofNEnabled	Firmware 4. Queries M of N status.
CA_IsMofNRequired	Firmware 4. Queries M of N status.
CA_ListSecureTokenInit	Retrieve information from an SFF backup token.
CA_ListSecureTokenUpdate	Continue retrieving information from a backup SFF token.
CA_LogExportSecret	Export (backup) the audit log HMAC key.
CA_LogExternal	Log external message - pushes an application-provided message to the HSM and logs it via the audit log.
CA_LogGetConfig	Get the audit log configuration.
CA_LogGetStatus	Get the audit log status (audit role, logs needing export, HSM to PedClient communication status).
CA_LogImportSecret	Restore the audit log HMAC key.
CA_LogSetConfig	Modify the audit log configuration.
CA_LogVerify	Verify the audit log record(s).
CA_LogVerifyFile	Verify the audit log record file.
CA_ManualKCV	Set the key cloning vector (KCV) (sets the domain).
CA_ModifyMofN	Modify the secret-splitting vector on a token.

Extension	Description
CA_ModifyUsageCount	Modify key usage count (Crypto Officer).
CA_MTKGetState	Firmware 6. Get the master tamper key (MTK) state (tampered or not).
CA_MTKResplit	Generate new MTK split, new purple key value.
CA_MTKRestore	Return MTK, provide purple key to recover from tamper.
CA_MTKSetStorage	Create purple key, enables STM/SRK.
CA_MTKZeroize	Erase the MTK, user invoked tamper. Puts HSM in to transport mode.
CA_OpenApplicationID	Activate an application identifier, independent of any open sessions.
CA_OpenApplicationIDForContainer	Same as CA_OpenApplicationID, but partition specific.
CA_OpenSecureToken	Firmware 6. Open context for an SFF token.
CA_OpenSession	Same as C_OpenSession, but lets you specify partition.
CA_OpenSessionWithAppID	Same as CA_OpenSession, but lets you specify an application ID (AppID)
CA_PerformSelfTest	Invoke a self test on HSM (RNG statistics, Cryptographic Algorithms).
CA_QueryLicense	Get License/CUF information.
CA_ResetDevice	Reset the HSM .
CA_ResetPIN	SO reset of a CO role PIN (if "SO can reset PIN" policy is on).
CA_Restart	Clean up all sessions for a given slot.
CA_RestartForContainer	Clean up all sessions for a given partition.
CA_RetrieveLicenseList	Get a list of all Licenses/CUFs.
CA_RoleStateGet	Get the state of a role (initialized, activated, failed logins, challenge created, etc).

Extension	Description
CA_SetApplicationID	Set the application's identifier.
CA_SetCloningDomain	Set the domain string used during token initialization.
CA_SetContainerPolicies	Set multiple partition policies.
CA_SetContainerPolicy	Set single partition policy.
CA_SetContainerSize	Set container storage size.
CA_SetDestructiveHSMPolicies	Set multiple destructive HSM policies.
CA_SetDestructiveHSMPolicy	Set single destructive HSM policy.
CA_SetHSMPolicies	Set multiple HSM policies.
CA_SetHSMPolicy	Set single HSM policy.
CA_SetKCV	Set KCV (domain).
CA_SetLKCV	Set a legacy KCV (legacy domain).
CA_SetMofN	Set the security policy for the token to use the secret sharing feature.
CA_SetPedId	Set the PED ID for a specific slot.
CA_SetRDK	Set the RDK (role specific KCV) for the current role.
CA_SetTokenPolicies	Set partition policies for given slot (PPSO only)
CA_SetUserContainerName	Set the name the library should use for the user partition on non-PPSO partitions.
CA_SIMExtract	SIM2, SKS, firmware 4.x, firmware 6.x. Extract SIM2 blob.
CA_SIMInsert	SIM2, SKS, firmware 4.x, firmware 6.x. Insert SIM2 blob.
CA_SIMMultiSign	SIM2, SKS, firmware 4.x, firmware 6.x. Sign multiple data blobs with multiple keys provided as SIM2 blobs.
CA_SpRawRead	PED key migration - read PED key value from DataKey PED Key.

Extension	Description
CA_SpRawWrite	PED key migration - store PED key value to iKey PED Key.
CA_STCClearCipherAlgorithm	Remove the specified Cipher Algorithm from use with STC for the specified slot.
CA_STCClearDigestAlgorithm	Remove the specified Digest Algorithm from use with STC for the specified slot.
CA_STCDeregister	Remove STC registration of a client from the specified slot.
CA_STCGetAdminPubKey	Get the public key for the Admin slot's STC identity RSA keypair.
CA_STCGetChannelID	Get the Secure Trusted Channel ID for the current slot.
CA_STCGetCipherAlgorithm	Get all the valid cipher suites allowed for the specified slot.
CA_STCGetCipherID	Get the ID for the cipher currently in use on active STC to this slot.
CA_STCGetCipherIDs	Get all cipher IDs valid for use with STC to the specified slot.
CA_STCGetCipherNameByID	Get the readable name string for the specified Cipher ID.
CA_STCGetClientInfo	Get the STC registration details (name, public key, active access) about the specified client on the specified slot.
CA_STCGetClientsList	Get the list of all STC clients registered to the specified slot.
CA_STCGetCurrentKeyLife	Get the remaining lifetime (in operations) for the active negotiated STC session key.
CA_STCGetDigestAlgorithm	Get all the valid digest algorithms allowed for the specified slot.
CA_STCGetDigestID	Get the ID for the digest currently in use on active STC to this slot.
CA_STCGetDigestIDs	Get all digest IDs valid for use with STC to the specified slot.

Extension	Description
CA_STCGetDigestNameByID	Get the readable name string for the specified Digest ID.
CA_STCGetKeyActivationTimeOut	Get the amount of time allowed between the initiation and completion of STC session negotiation.
CA_STCGetKeyLifeTime	Get the configured session key lifetime (in operations) for the specified slot.
CA_STCGetPartPubKey	Get the public key for the specified slot STC identity RSA keypair.
CA_STCGetPubKey	Get the specified slot's public key.
CA_STCGetSequenceWindowSize	Get the replay window size for the specified slot.
CA_STCGetState	Get the STC state of the specified slot.
CA_STCIsEnabled	Determine if STC is configured for the specified slot.
CA_STCRegister	Register a client for STC to the specified slot.
CA_STCSetCipherAlgorithm	Set a cipher algorithm as valid for use with STC on the specified slot.
CA_STCSetDigestAlgorithm	Set a digest algorithm as valid for use with STC on the specified slot.
CA_STCSetKeyActivationTimeOut	Set the amount of time allowed between the initiation and completion of STC session negotiations for the specified slot.
CA_STCSetKeyLifeTime	Set how long a STC key can live before STC rekeying occurs.
CA_STCSetSequenceWindowSize	Set the replay window size for the specified slot.
CA_STMGetState	Firmware 7. Get STM state (enabled or disabled).
CA_STMToggle	Enter, or recover from, Secure Transport Mode.
CA_TamperClear	Firmware 7. Used by the SO to clear tamper status.
CA_TimeSync	Synchronize the HSM time with the host time.
CA_TokenDelete	SO can delete a partition (PPSO only).

Extension	Description
CA_TokenZeroize	Zeroize a PPSO partition.
CA_ValidateContainerPolicySet	Firmware 7. Validate partition policy settings prior to calling SetPolicies.
CA_ValidateHSMPolicySet	Firmware 7. Validate HSM policy settings prior to calling SetPolicies.
CA_WaitForSlotEvent	For PCMCIA HSMs, extends C_WaitForSlotEvent and provides some history of events.
CA_Zeroize	Zeroize the HSM.

## **HSM Configuration Settings**

SafeNet Luna PCIe HSMs implement configuration settings that can be used to modify the behavior of the HSM, or can be read to determine how the HSM will behave. There are multiple settings that may be manipulated. Other than the "allow non-FIPS algorithms", most customers have no need to either query or change HSM settings. If you believe that your application needs more control over the HSM, please contact SafeNet for guidance.

## Secure PIN Port Authentication

Generally, an application collects an authentication code or PIN from a user and/or other source controlled by the host computer. With Thales Group's FIPS 140-2 level 3-validated products (such as SafeNet Luna PCIe HSM), the PIN must come from a device connected to the secure port of the physical interface (or connected via a secure Remote PED protocol connection). The Luna PED (PIN Entry Device) is used for secure entry of PINs.

A bit setting in the device's capabilities settings determines whether the HSM requires that PINs be entered through the secure port. If the appropriate configuration bit is set, PINs must be entered through the secure port.

If the device's configuration bit is off, the application must provide the PIN through the existing mechanism. Through setting the PIN parameters, the application tells the token where to look for PINs. A similar programming approach applies to define the key cloning domain identifier.

Applications wanting PINs to be collected via the secure port must pass a NULL pointer for the pPin parameter and a value of zero for the ulPinLen parameter in function calls with PIN parameters. This restriction applies everywhere PINs are used. The following functions are affected:

- > C\_InitToken
- > C\_InitIndirectToken
- > C\_InitPIN
- > C\_SetPIN

- > CA\_InitIndirectPIN
- > C\_Login
- > CA\_IndirectLogin

When domains are generated/collected through the secure port during a C\_InitToken call, the application must pass a NULL pointer for the pbDomainString parameter and a value of zero for the ulDomainStringLen parameter in the CA\_SetCloningDomain function.

## High Availability Indirect Login Functions

**NOTE** In order to implement High Availability Recovery, the primary and secondary tokens must exist on separate systems.

The following enhancements securely extend the indirect login capability to SafeNet CA3 tokens. SafeNet CA3 tokens to store sensitive information (encrypted) in flash memory, and must therefore be protected against attack by a man-in-the-middle who physically attacks the target token to expose the contents of flash memory, and employs that information against intercepted (or spuriously-generated) message traffic.

The SafeNet CA3 to SafeNet CA3 indirect login protocol also supports old-style MofN authentication between tokens that share an MofN secret.

### Initialization functions

Initialization of tokens in a high-availability environment involves three steps:

- 1. The generation of an RSA login key pair (the public key of the pair may be discarded),
- 2. Cloning of the private key member to the User (and optionally to the SO) spaces of all tokens within that environment and,
- 3. Calling the **CA\_HAInit** function on all tokens within that environment, in the context of the session owned by the User or SO.

The first two steps are performed using ordinary key generate and cloning Cryptoki function calls. The **CA\_ HAInit** function is implemented as follows:

### CA\_HAInit()

```
CK_RV_CK_ENTRY_CA_HAInit(
CK_SESSION_HANDLE hSession, // Logged-in session of user
// who owns the Login key pair
CK_OBJECT_HANDLE hLoginPrivateKey // Handle to Login private key
);
```

### **Recovery Functions**

The HA recovery mechanism requires the following commands and interface functions:

### CA\_HAGetMasterPublic()

Called on the primary token, CA\_HAGetMasterPublic() retrieves the primary token's TWC (Token Wrapping Certificate) and returns it as a blob (octet string and length). The format of this function is as follows:

```
CK_RV_CK_ENTRY_CA_HAGetMasterPublic(
CK_SLOT_ID_slotId, // Slot number of the primary
// token
CK_BYTE_PTR pCertificate, // pointer to buffer to hold
//TWC certificate
CK_ULONG_PTR pulCertificateLen // pointer to value to hold
//TWC certificate length
);
```

### CA\_HAGetLoginChallenge()

Called on the secondary token, **CA\_HAGetLoginChallenge()** accepts the TWC blob and returns the secondary token's login challenge blob. The format of this command is as follows:

```
CK_RV_CK_ENTRY_CA_HAGetLoginChallenge(
CK_SESSION_HANDLE hSession, // Public session
CK_USER_TYPE userType, // User type - SO or USER
CK_BYTE_PTR pCertificate, // TWC certificate retrieved
// from primary
CK_ULONG ulCertificateLen, // TWC certificate length
CK_BYTE_PTR pChallengeBlob, // pointer to buffer to hold
// challenge blob
CK_ULONG_PTR pulChallengeBlobLen // pointer to value to hold
// challenge blob length
);
```

### CA\_HAAnswerLoginChallenge()

Called on the primary token, **CA\_HAAnswerLoginChallenge()** accepts the login challenge blob and returns the encrypted SO or User PIN, as appropriate.

```
CK_RV_CK_ENTRY_CA_HAAnswerLoginChallenge(
CK_SESSION_HANDLE_hSession, // Session of the Login Private
// key owner
CK_OBJECT_HANDLE_hLoginPrivateKey, // object handle to login key
CK_BYTE_PTR_pChallengeBlob, // pointer to buffer containing
// challenge_blob
CK_ULONG_ulChallengeBlobLen, // length of challenge_blob
CK_BYTE_PTR_pEncryptedPin, // pointer to buffer holding
// encrypted_PIN
CK_ULONG_PTR_pulEncryptedPinLen // pointer to value holding
// encrypted_PIN length
);
```

### CA\_HALogin()

Called on the secondary token, **CA\_HALogin()** accepts the encrypted PIN and logs the secondary token in. If the second-ary token requires MofN authentication, an MofN challenge blob is returned. If no MofN authentication is required, a zero-length blob is returned. The format of this function is as follows:

```
CK_RV_CK_ENTRY_CA_HALogin(
CK_SESSION_HANDLE_hSession, // Same public session opened
// in CA_HAGetLoginChallenge,
//above
CK_BYTE_PTR_pEncryptedPin, // pointer to buffer holding
// encrypted PIN
CK_ULONG_ulEncryptedPinLen, // length of encrypted PIN
CK_BYTE_PTR_pMofNBlob, // pointer to buffer to hold
// MofN_blob
```

```
CK_ULONG_PTR pulMofNBlobLen // pointer to value to hold the
// length of MofN blob
);
```

If the call is successful, then the session now becomes a pri-vate session owned by the User or SO (as appropriate).

### CA\_AnswerMofNChallenge()

Called on the primary token, **CA\_AnswerMofNChallenge()** accepts the MofN challenge blob and returns the primary token's masked MofN secret. The format of this function is as follows:

```
CK_RV_CK_ENTRY_CA_HAAnswerMofNChallenge(
CK_SESSION_HANDLE_hSession, // Private session
CK_BYTE_PTR pMofNBlob, // passed in MofN blob
CK_ULONG_ulMofNBlobLen, // length of MofN blob
CK_BYTE_PTR pMofNSecretBlob, // pointer to buffer to hold
// MofN secret blob
CK_ULONG_PTR pulMofNSecretBlobLen//pointer to value that holds
// the MofN secret blob len
);
```

### CA\_HAActivateMofN()

Called on the secondary token, **CA\_HAActivateMofN()** accepts the masked MofN secret and performs MofN authentication. The resulting MofN secret is checked against the CRC stored in the MofN PARAM structure.

```
CK_RV_CK_ENTRY_CA_HAActivateMofN(
CK_SESSION_HANDLE_hSession, // The now-private session from
// successful CA_HALogin call
CK_BYTE_PTR_pMofNSecretBlob, // pointer to MofN secret
// blob that is passed in
CK_ULONG_ulMofNSecretBlobLen // length of MofN secret blob
);
```

It is expected that the recovery functions will be executed in the proper sequence and as part of an atomic operation. Nonetheless, the recovery operation may be restarted at any time due to an error. Restarting the recovery operation resets the state condition of the secondary token, and any data that has been stored or generated on the token is discarded.

## Login Key Attributes

The login keys must possess the following attributes to function properly in a HA recovery scenario:

```
// Object
CKA_CLASS = CKO_PRIVATE_KEY,
// StorageClass
CKA_TOKEN = True,
CKA_PRIVATE = True,
CKA_MODIFIABLE = False,
// Key
CKA_KEY_TYPE = CKK_RSA,
CKA_DERIVE = False,
CKA_LOCAL = True,
// Private
CKA_SENSITIVE = True,
CKA_DECRYPT = False,
CKA_SIGN = False,
```

```
CKA_SIGN_RECOVER = False,
CKA_UNWRAP = False,
CKA_EXTRACTABLE = False
```

## Control of HA Functionality

Refer to for the mechanisms by which the SO can control availability of the HA functionality.

## MofN Secret Sharing (quorum or multi-person access control)

In previous SafeNet Luna PCIe HSM releases, this page described library and firmware aspects of MofN secret sharing.

Current implementation (since HSM firmware 5) no longer implements MofN via the HSM.

Instead, MofN is entirely mediated via Luna PED 2.4 and later. The HSM is unaware of secret sharing. Multiperson access control for any of the authentication secrets (SO, User, Cloning domains, Remote PED Vector) is a PED function, and the HSM sees only the fully reconstituted MofN secrets as they are presented to it by the PED.

This implementation is both cleaner and more flexible than the legacy implementation. If you have used, or are still using legacy SafeNet Luna PCIe HSMs, be aware that the legacy implementation of MofN split-secret, multi-person access control is not compatible with the modern implementation. For migration instructions, contact Thales Group Technical Support.

## **Key Export Features**

The SafeNet Key Export HSM provides the feature(s) detailed in this section.

## RSA Key Component Wrapping

The RSA Key Component Wrapping is a feature that allows an application to wrap any subset of attributes from an RSA private key with 3-DES. Access to the feature is through the PKCS #11 function C\_WrapKey with the CKM\_DES3\_ECB mechanism. The wrapping key must be a CKK\_DES2 or CKK\_DES3 key with its CKA\_WRAP attribute set to TRUE. The key to wrap must be an RSA private key with CKA\_EXTRACTABLE set to TRUE and the FPV must have FPV\_WRAPPING\_TOKEN turned on.

The details of the wrapping format are specified with a format descriptor. The format descriptor is provided as the mechanism parameter to the CKM\_DES3\_ECB mechanism. This descriptor consists of a 32-bit format version, followed by a set of field element descriptors. Each field element descriptor consists of a 32-bit Field Type Identifier and optionally some additional data. The SafeNet firmware parses the set of field element descriptors and builds the custom layout of the RSA private key in an internal buffer. Once all field element descriptors are processed, the buffer is wrapped with 3-DES and passed out to the calling application. It is the responsibility of the calling application to ensure that the buffer is a multiple of 8 bytes.

The format descriptor version (the first 32-bit value in the format data) must always be set to zero.

The set of supported field element descriptor constants is as follows:

- > #define KM\_APPEND\_STRING 0x0000000
- > #define KM\_APPEND\_ATTRIBUTE 0x00000001

- > #define KM\_APPEND\_REVERSED\_ATTRIBUTE 0x00000002
- > #define KM\_APPEND\_RFC1423\_PADDING 0x00000010
- > #define KM\_APPEND\_ZERO\_PADDING 0x00000011
- > #define KM\_APPEND\_ZERO\_WORD\_PADDING 0x00000012
- > #define KM\_APPEND\_INV\_XOR\_CHECKSUM 0x00000020
- > #define KM\_DEFINE\_IV\_FOR\_CBC 0x00000030

The meanings of the field element descriptors is as follows:

Field element descriptor	Description
KM_APPEND_ STRING	Appends an arbitrary string of bytes to the custom layout buffer. The field type identifier is followed by a 32-bit length field defining the number of bytes to append. The length field is followed by the bytes to append. There is no restriction of the length of data that may be appended, as long as the total buffer length does not exceed 3072 bytes.
KM_APPEND_ ATTRIBUTE	Appends an RSA private key component into the buffer in big endian representation. The field type identifier is followed by a 32-bit CK_ATTRIBUTE_TYPE value set to one of the following: CKA_PRIVATE_EXPONENT, CKA_PRIME_1, CKA_PRIME_2, CKA_EXPONENT_1, CKA_EXPONENT_2, or CKA_COEFFICIENT The key component is padded with leading zeros such that the length is equal to the modulus length in the case of the private exponent, or equal to half of the modulus length in the case of the other 5 components.
KM_APPEND_ REVERSED_ ATTRIBUTE	Appends an RSA private key component into the buffer in little endian representation. The field type identifier is followed by a 32-bit CK_ATTRIBUTE_TYPE value set to one of the following: CKA_PRIVATE_EXPONENT, CKA_PRIME_1, CKA_PRIME_2, CKA_EXPONENT_ 1, CKA_EXPONENT_2, or CKA_COEFFICIENT. The key component is padded with trailing zeros such that the length is equal to the modulus length in the case of the private exponent, or equal to half of the modulus length in the case of the other 5 components.
KM_APPEND_ RFC1423_ PADDING	Applies RFC 1423 padding to the buffer (appends 1 to 8 bytes with values equal to the number of bytes, such that the total buffer length becomes a multiple of 8). This would typically be the last formatting element in a set, but this is not enforced.
KM_APPEND_ ZERO_ PADDING	Applies Zero padding to the buffer (appends 0 to 7 bytes with values equal to Zero, such that the total buffer length becomes a multiple of 8). This would typically be the last formatting element in a set, but this is not enforced.
KM_APPEND_ ZERO_ WORD_ PADDING	Zero pads the buffer to the next 32-bit word boundary.

Field element descriptor	Description
KM_APPEND_ INV_XOR_ CHECKSUM	Calculates and adds a checksum byte to the buffer. The checksum is calculated as the complement of the bytewise XOR of the buffer being built.
KM_DEFINE_ IV_FOR_CBC	Allows definition of an IV so that 3DES_CBC wrapping can be performed even though the functionality is invoked with the CKM_3DES_ECB mechanism. The field type identifier is followed by a 32-bit length field, which must be set to 8. The length is followed by exactly 8 bytes of data which are used as the IV for the wrapping operation.

### Examples

To wrap just the private exponent of an RSA key in big endian representation, the parameters would appear as follows:

**NOTE** Ensure that the packing alignment for your structures uses one (1) byte boundaries.

```
struct
{
UInt32 version = 0;
UInt32 elementType = KM_APPEND_ATTRIBUTE;
CK_ATTRIBUTE_TYPE attribute = CKA_PRIVATE_EXPONENT;
}
```

To wrap the set of RSA key components Prime1, Prime2, Coefficient, Exponent1, Exponent2 in little endian representation with a leading byte of 0x05 and ending with a CRC byte and then zero padding, the parameters would appear in a packed structure as follows:

```
struct
{
UInt32 version = 0;
UInt32 elementType1 = KM APPEND STRING;
UInt32 length = 1;
UInt8 byteValue = 5;
UInt32 elementType2 = KM APPEND REVERSED ATTRIBUTE;
CK ATTRIBUTE TYPE attribute1 = CKA PRIME 1;
UInt32 elementType3 = KM APPEND REVERSED ATTRIBUTE;
CK ATTRIBUTE TYPE attribute2 = CKA PRIME 2;
UInt32 elementType4 = KM APPEND REVERSED ATTRIBUTE;
CK ATTRIBUTE_TYPE attribute3 = CKA_COEFFICIENT;
UInt32 elementType5 = KM APPEND REVERSED ATTRIBUTE;
CK ATTRIBUTE TYPE attribute4 = CKA EXPONENT 1;
UInt32 elementType6 = KM APPEND REVERSED ATTRIBUTE;
CK ATTRIBUTE TYPE attribute5 = CKA EXPONENT 2;
UInt32 elementType7 = KM APPEND INV XOR CHECKSUM;
UInt32 elementType8 = KM APPEND ZERO PADDING;
}
```

## Derivation of Symmetric Keys with 3DES\_ECB

SafeNet supports derivation of symmetric keys by the encryption of "diversification data" with a base key. Access to the derivation functionality is through the PKCS #11 C\_DeriveKey function with the CKM\_DES3\_ECB and CKM\_DES\_ECB mechanism. Diversification data is provided as the mechanism parameter. The derived key can be any type of symmetric key. The encrypted data forms the CKA\_VALUE attribute of the derived key. A template provided as a parameter to the C\_DeriveKey function defines all other attributes.

Rules for the derivation are as follows:

- > The Base Key must be of type CKK\_DES2 or CKK\_DES3 when using CKM\_DES3\_ECB. It must be of type CKK\_DES when using CKM\_DES\_ECB.
- > The base key must have its CKA\_DERIVE attribute set to TRUE.
- The template for the derived key must identify the key type (CKA\_KEY\_TYPE) and length (CKA\_VALUE\_LEN). The type and length must be compatible. The length can be omitted if the key type supports only one length. (E.g., If key type is CKK\_DES2, the length must either be explicitly defined as 16, or be omitted to allow the value to default to 16). Other attributes in the template must be consistent with the security policy settings of the SafeNet Luna PCIe HSM.
- > The derivation mechanism must be set to CKM\_DES3\_ECB or CKM\_DES\_ECB, the mechanism parameter pointer must point to the diversification data, and the mechanism parameter length must be set to the diversification data length.
- The diversification data must be the same length as the key to be derived, with one exception. If the key to be derived is16 bytes, the base key is CKK\_DES2 and the diversification data is only 8 bytes, then the data is encrypted twice once with the base key and once with the base key with its halves reversed. Joining the two encrypted pieces forms the derived key.
- If the derived key is of type CKK\_DES, CKK\_DES2 or CKK\_DES3, odd key parity is applied to the new key value immediately following the encryption of the diversification data. The encrypted data is taken as-is for the formation of all other types of symmetric keys.

## PKCS#11 Extension HA Status Call

A SafeNet extension to the PKCS#11 standard allows query of the HA group state.

## **Function Definition**

CK\_RV\_CK\_ENTRY\_CA\_GetHAState( CK\_SLOT\_ID slotId, CK\_HA\_STATE\_PTR pState ); The structure definitions for a CK\_HA\_STATE\_PTR and CK\_HA\_MEMBER are:

typedef struct CK\_HA\_MEMBER{
CK\_ULONG memberSerial;
CK\_RV memberStatus;
}CK\_HA\_MEMBER;

typedef struct CK\_HA\_STATUS{
CK\_ULONG groupSerial;
CK\_HA\_MEMBER memberList[CK\_HA\_MAX\_MEMBERS];
CK\_USHORT listSize;
}CK\_HA\_STATUS;

See the JavaDocs included with the software for a description of the Java methods derived from this cryptoki function.

## Counter Mode KDF Mechanisms

The SafeNet Luna PCIe HSMs support the following two vendor defined mechanisms. They can be used to perform Counter Mode KDF (key derivation functions) using various CMAC algorithms (DES3, AES, ARIA, SEED) as the PRF (pseudo-random function). See NIST SP 800-108.

```
#define CKM NIST PRF KDF
                                              (CKM VENDOR DEFINED + 0xA02)
#define CKM_PRF_KDF
                                              (CKM VENDOR DEFINED + 0xA03)
/* Parameter and values used with CKM PRF KDF and * CKM NIST PRF KDF. */
typedef CK ULONG CK KDF PRF TYPE;
typedef CK ULONG CK KDF PRF ENCODING SCHEME;
/** PRF KDF types */
#define CK NIST PRF KDF DES3 CMAC
                                      0x0000001
#define CK NIST_PRF_KDF_AES_CMAC
                                    0x0000002
#define CK PRF KDF ARIA CMAC
                                    0x0000003
#define CK PRF KDF SEED CMAC
                                    0x0000004
#define LUNA PRF KDF ENCODING SCHEME 1
                                          0x00000000
#define LUNA PRF KDF ENCODING SCHEME 2
                                          0x00000001
typedef struct CK KDF PRF PARAMS {
  CK KDF PRF TYPE
                           prfType;
  CK BYTE PTR
                             pLabel;
  CK ULONG
                             ulLabelLen;
  CK BYTE PTR
                           pContext;
  CK ULONG
                            ulContextLen;
  CK ULONG
                            ulCounter;
  CK KDF PRF ENCODING SCHEME ulEncodingScheme;
} CK PRF KDF PARAMS;
```

typedef CK\_PRF\_KDF\_PARAMS CK\_PTR CK\_KDF\_PRF\_PARAMS\_PTR;

## **BIP32** Mechanism Support and Implementation

This section describes the BIP32 functions, key attributes, error codes, and mechanisms supported for BIP32 with the HSM.

**NOTE** This feature requires minimum firmware version 7.3.0 and client 7.3. See Version Dependencies by Feature for more information.

## **Curve Support**

Only curve secp256k1 is supported. The BIP32 derivation mechanisms fail with CKR\_TEMPLATE\_INCONSISTENT if you attempt to specify a curve with CKA\_ECDSA\_PARAMS.

## Key Type and Form

The key type CKK\_BIP32 is used to distinguish keys that can be used for BIP32 from all the existing ECDSA keys. Existing ECDSA keys cannot be used with any of the BIP32 mechanisms because they lack a chain code. The serialization format when importing, exporting, wrapping and unwrapping keys is also different from ECDSA keys. All mechanisms supported by ECDSA keys are supported for BIP32 keys.

## Extended Keys and Hardened Keys

BIP32 includes hardened and non-hardened (normal) child keys. Each has a 32-bit index. Child keys are considered hardened if the most significant bit of their index is set. This bit is defined as CKF\_BIP32\_HARDENED. This allows 2^31 hardened keys and 2^31 non-hardened keys per parent.

Hardened private keys create a firewall through which multi-level key derivation compromises cannot happen. For normal (non-hardened) keys one can derive child public keys of a given parent key without knowing any private key. So if an attacker gets a normal parent chain code and parent public key, he can brute-force all chain codes deriving from it. If the attacker also obtains a child, grandchild, or further-descended private key, he can use the chain code to generate all of the extended private keys descending from that private key. The formula for creating hardened keys makes it impossible to create child public keys without knowing the parent private key.

## **Key Derivation**

Two new mechanisms are added to support all the key derivations in BIP32.

### CKM\_BIP32\_MASTER\_DERIVE

This mechanism derives the master key pair from a seed. The input key must have the type  $CKK\_GENERIC\_SECRET$  (size between 128 and 512 bits). This mechanism is unique in that it derives two keys from one. This requires us to accept two templates as input, and to output the two derived key handles. In order to avoid confusion, the three last arguments of C\_DeriveKey() (pTemplate, ulAttributeCount and phKey) must be null or zero.  $CKR\_ARGUMENTS\_BAD$  is returned if any of those parameters is non-NULL. The templates and returned handles are instead passed in through the mechanism parameters, which are clearly labeled public and private. Choose to not generate the public or private key by leaving those parameters as zero or null.

```
typedef struct CK_BIP32_MASTER_DERIVE_PARAMS {
    CK_ATTRIBUTE_PTR pPublicKeyTemplate;
    CK_ULONG ulPublicKeyAttributeCount;
    CK_ATTRIBUTE_PTR pPrivateKeyTemplate;
    CK_ULONG ulPrivateKeyAttributeCount;
    CK_OBJECT_HANDLE hPublicKey; // output parameter
    CK_OBJECT_HANDLE hPrivateKey; // output parameter
} CK_BIP32_MASTER_DERIVE_PARAMS;
```

See "Code Samples" on page 55 for a code example.

### CKM\_BIP32\_CHILD\_DERIVE

This mechanism derives child keys from a parent key. The mechanism can generate both the private and public part of the key pair, and can accept a BIP32 public or private key as input. An error is returned if a public to private derivation is attempted. Like the master key derivation, the templates and key handle outputs are passed through the mechanism parameters. Choose to not generate the public or private key by leaving those parameters as zero or null.

The BIP32 and BIP44 specifications recommend wallet structures and use cases. The specifications provide a good reference for deciding how a key tree should be organized and if a particular key should be hardened or not. Follow the specifications to avoid potential security holes.

This mechanism can be used to generate keys that are several levels deep in the key hierarchy. The path of the key is specified with pulPath and ulPathLen. The path is an array of 32-bit unsigned integers (key indices).

The highest bit (0x8000000) is used to indicate a hardened key. So a path value for a normal key must be  $\leq 0x7FFFFFF$  (decimal 2147483647). For a hardened key, 0x80000000  $\leq$  path value  $\leq 0xFFFFFFFF$ . The path is relative to the input key. For example, if the path is [5, 1, 4] and the path of the input key is m/0 then the resulting path is m/0/5/1/4.

The max number of levels (path length) is 255 for BIP32 in the HSM firmware. This is expected to be generally adequate.

```
typedef struct CK_BIP32_CHILD_DERIVE_PARAMS {
    CK_ATTRIBUTE_PTR pPublicKeyTemplate;
    CK_ULONG ulPublicKeyAttributeCount;
    CK_ATTRIBUTE_PTR pPrivateKeyTemplate;
    CK_ULONG ulPrivateKeyAttributeCount;
    CK_ULONG ulPathLen;
    CK_ULONG ulPathLen;
    CK_OBJECT_HANDLE hPublicKey; // output parameter
    CK_OBJECT_HANDLE hPrivateKey; // output parameter
    CK_ULONG ulPathErrorIndex; // output parameter
    SK_ULONG ulPathErrorIndex; // output parameter
    CK_ULONG ulPathErrorIndex; // output parameter
    SK_ULONG ulPathErrorIndex; // output parameter parameter
    SK_ULONG ulPathErrorIndex; //
```

See "Code Samples" on page 55 for a code example.

## **Error Codes**

These mechanisms can fail in ways not applicable to other mechanisms.

**CKR\_BIP32\_CHILD\_INDEX\_INVALID**: This error is returned on the rare occurrence (1/2^127) that a child derivation returns an all-zero private key, a private key bigger than or equal to the curve order parameter n, or a point at infinity. This error signifies that the child key index cannot be used to derive keys. Choose a different index and try the derivation again. The problematic child index is indicated by ulPathErrorIndex.

PCKS#11 does not have fixed width integers. This error can also be returned on platforms where  $CK\_ULONG$  is bigger than 32 bits and a child index is bigger than  $2^{32} - 1$ .

**CKR\_BIP32\_INVALID\_HARDENED\_DERIVATION**: This error is returned from an attempt to derive a hardened key from a public key. The BIP32 specification does not support such a derivation.

**CKR\_BIP32\_MASTER\_SEED\_LEN\_INVALID**: The BIP32 specification recommends deriving the master key from a seed that is between 128 and 512 bits long. This error is returned if the seed length is outside of that range.

**CKR\_BIP32\_MASTER\_SEED\_INVALID**: This error is returned on the rare occurrence  $(1/2^{127})$  that the master derivation returns an all zero private key, a private key bigger than or equal to the curve order parameter n, or a point at infinity. This error signifies that the master seed cannot be used for BIP32. Generate a new master seed and retry the derivation.

**CKR\_BIP32\_INVALID\_KEY\_PATH\_LEN**: This error is returned when ulPathLen is 0 or greater than 255. The BIP44 standard only requires paths of length 5 so this limit should be acceptable for all customers.

## **Key Attributes**

The following attributes will exist on all keys created with one of the above derivation mechanisms.

**CKA\_BIP32\_CHAIN\_CODE**: The chain code is essential for BIP32 keys and is used to derive future keys. The public and private key share this value. Read only.

**CKA\_BIP32\_VERSION\_BYTES**: Version bytes are used to further identify BIP32 keys. The version bytes help determine if a key is used on the main bitcoin network or the test network. This attribute defaults to CKG\_ BIP32\_VERSION\_MAINNET\_PUB/PRIV if it was not specified at key creation time. You can set this value to CKG\_BIP32\_VERSION\_TESTNET\_PUB/PRIV if applicable.

**CKA\_BIP32\_CHILD\_INDEX**: The child index stores which index was used to derive this key. An index with the CKF\_BIP32\_HARDENED bit set is considered a hardened child. The child index is 0 for the master key. The public and private key share this value. Read only.

**CKA\_BIP32\_CHILD\_DEPTH**: The depth of the child key in the key tree. The master key has a depth of 0. The public and private key share this value. Read only.

**CKA\_BIP32\_ID**: The unique identifier for the key. This value is derived from the HASH160 of the compressed public key. The first 32 bits of this value is known as the fingerprint. (CKA\_ID is not used for this purpose because it is writable by the user.) The public and private key share this value. Read only.

**NOTE** No attribute is included for the parent ID because it should not be required. The anticipated use-case is to derive a key, use it and then delete it. In general, there should not be a need to discover how keys are organized based on the fingerprints or IDs. The parent fingerprint is available in case there is need to rediscover a key tree, but the wallet software must deal with any collisions. The BIP32 designers considered the parent ID not sufficiently important to include in serialized keys; therefore we exclude it as well.

### CKA\_BIP32\_FINGERPRINT and CKA\_BIP32\_PARENT\_FINGERPRINT:

The fingerprints for the key and parent key are the first 32 bits of the BIP32 key identifier. These can be used to identify keys but the wallet software must handle any collisions. For identifying keys, it is better to use **CKA\_BIP32\_ID** because it is long enough that collisions should not be an issue. The public and private key share this value. The master key has a parent fingerprint of 0. Read only.

## Public Key Import/Export

To support importing existing BIP32 keys, we support their serialization format. For public keys, we will have functions in our library to facilitate importing and exporting.

```
CK_RV CA_Bip32ImportPubKey(
        CK_SESSION_HANDLE hSession,
        CK_ATTRIBUTE_PTR pTemplate,
        CK_ULONG ulCount,
        const CK_CHAR_PTR pKey, //in BIP32 serialization format
        CK_OBJECT_HANDLE_PTR phObject
);
CK_RV CA_Bip32ExportPubKey(
        CK_SESSION_HANDLE hSession,
        CK_OBJECT_HANDLE hObject,
        CK_CHAR_PTR pKey, //in BIP32 serialization format
```

CK\_ULONG\_PTR pulKeyLen

//on input contains max. buffer size, returns
// actual size

#### );

Importing is done with CA\_Bip32ImportPubKey(). The function is similar to C\_CreateObject() but it takes an additional parameter for the serialized public key. The template passed in should contain all the desired non-BIP32 attributes like CKA\_TOKEN, CKA\_PRIVATE, CKA\_DERIVE, etc. The function decodes the public key to get all the BIP32 attributes. Both sets of attributes are then used to create the public key on the HSM.

**NOTE** When importing a serialized extended public key, implementations must verify whether the X coordinate in the public key data corresponds to a point on the curve. If not, the extended public key is invalid.

Exporting is done with CA\_Bip32ExportPubKey(). The specified object is extracted from the HSM and encoded in the BIP32 format. The result is a NULL-terminated string and is placed in the pKey parameter. The length of pKey has a maximum of 112 characters. This constant is defined as CKG\_BIP32\_MAX\_SERIALIZED\_LEN. It's possible that not all characters are needed to serialize the key. Any unused characters are set to 0.

See "Code Samples" on the next page for code examples.

### **BIP32 Serialization Format**

Extended public and private keys are serialized as follows:

- > 4 byte: version bytes (mainnet: 0x0488B21E public, 0x0488ADE4 private; testnet: 0x043587CF public, 0x04358394 private)
- > 1 byte: depth: 0x00 for master nodes, 0x01 for level-1 derived keys, ....
- > 4 bytes: the fingerprint of the parent's key (0x0000000 if master key)
- 4 bytes: child number (index) 32-bit unsigned integer with most significant byte first (0x00000000 if master key)
- > 32 bytes: the chain code
- > 33 bytes: the public key or private key data

This 78 byte structure is encoded like other Bitcoin data in Base58, by first adding 32 checksum bits (derived from the double SHA-256 checksum), and then converting to the Base58 representation. This results in a Base58-encoded string of up to CKG\_BIP32\_MAX\_SERIALIZED\_LEN characters. Because of the choice of the version bytes, the Base58 representation will start with "xprv" or "xpub" on mainnet, "tprv" or "tpub" on testnet.

### Private Key Import/Export

Private keys can be imported and exported with existing PKCS#11 functions. They can be imported and exported only if the HSM uses the key wrap model instead of cloning. Import a key by calling C\_Encrypt\*() on the serialized key followed by C\_UnwrapKey(). Exporting keys by calling C\_WrapKey() followed by C\_Decrypt\*(). Use C\_WrapKey() and C\_UnwrapKey() to store keys off the HSM, or to move them between HSMs.

See "Code Samples" on the next page for code examples.

### Key Backup and Cloning

Backups and cloning of BIP32 keys are supported only between version 7.x Luna HSMs. Further, cloning of BIP32 keys is supported only in firmware versions that have BIP32 support. BIP32 keys cannot be cloned to older firmware versions made before BIP32 support was added.

### Non-FIPS Algorithm

The BIP32 mechanisms are available only if non-FIPS algorithms are allowed.

### Host Tools

Multitoken and Ckdemo support BIP32.

## **Code Samples**

### Deriving the master key pair

We highly recommend setting CKA\_PRIVATE on the master public and private keys to TRUE to prevent the chain code from being seen by unauthorized users. The master key should be used only for derivations so it is the only operation allowed. The version bytes default to 0x0488B21E/0x0488ADE4 for the public/private keys if the attribute is missing in the template. Those are the values specified in BIP32 for keys on the main bitcoin network.

```
CK_ATTRIBUTE pubTemplate[] =
```

```
{
   {CKA TOKEN,
                          &bToken,
                                       sizeof(bToken)},
   {CKA PRIVATE,
                         &bTrue,
                                       sizeof(bTrue) },
   {CKA DERIVE,
                         &bTrue,
                                       sizeof(bTrue)},
   {CKA MODIFIABLE,
                         &bTrue,
                                       sizeof(bTrue)},
   {CKA LABEL,
                          pbLabel,
                                        strlen(pbLabel) },
};
CK ATTRIBUTE privTemplate[] =
{
   {CKA TOKEN,
                          &bToken,
                                       sizeof(bToken)},
                         &bTrue,
   {CKA PRIVATE,
                                       sizeof(bTrue) },
                                       sizeof(bTrue)},
sizeof(bTrue)
   {CKA SENSITIVE,
                         &bTrue,
   {CKA DERIVE,
                          &bTrue,
                                       sizeof(bTrue)},
   {CKA MODIFIABLE,
                          &bTrue,
   {CKA LABEL,
                          pbLabel,
                                        strlen(pbLabel)},
};
CK BIP32 MASTER DERIVE PARAMS mechParams;
mechParams.pPublicKeyTemplate = pubTemplate;
mechParams.ulPublicKeyAttributeCount = ARRAY SIZE(pubTemplate);
mechParams.pPrivateKeyTemplate = privTemplate;
mechParams.ulPrivateKeyAttributeCount = ARRAY SIZE(privTemplate);
CK MECHANISM mechanism = {CKM BIP32 MASTER DERIVE, &mechParams, sizeof(mechParams)};
CK RV rv = C DeriveKey(hSession, &mechanism, hSeedKey, NULL, 0, NULL);
// fail if rv != CKR OK
CK OBJECT HANDLE pubKey = mechanism.hPublicKey;
CK OBJECT HANDLE privKey = mechanism.hPrivateKey;
The new key handles will be stored in pubKey and privKey if the derivation was successful.
```

### Deriving a child leaf key

We highly recommend setting CKA\_PRIVATE on the child public and private keys to TRUE to prevent the chain code from being seen by unauthorized users. A child leaf key (the bottom key in the tree) should not be used for derivation, and is meant for signing, verifying, encrypting and decrypting. Parent child keys need the derive attribute turned on. The version bytes default to 0x0488B21E/0x0488ADE4 for the public/private keys if the attribute is missing. Those are the values specified in BIP32 for keys on the main bitcoin network.

```
CK ATTRIBUTE pubTemplate[] =
{
   {CKA TOKEN,
                          &bToken,
                                       sizeof(bToken)},
   {CKA PRIVATE,
                         &bTrue,
                                       sizeof(bTrue) },
                                       sizeof(bTrue)},
   {CKA ENCRYPT,
                          &bTrue,
                                      sizeof(bTrue)},
   {CKA VERIFY,
                          &bTrue,
   {CKA MODIFIABLE,
                         &bTrue,
                                       sizeof(bTrue)},
   {CKA LABEL,
                         pbLabel,
                                       strlen(pbLabel)},
};
CK ATTRIBUTE privTemplate[] =
{
   {CKA TOKEN,
                          &bToken,
                                       sizeof(bToken)},
   {CKA PRIVATE,
                          &bTrue,
                                        sizeof(bTrue) },
   {CKA SENSITIVE,
                                       sizeof(bTrue) },
                          &bTrue,
                          &bTrue,
                                       sizeof(bTrue) },
   {CKA SIGN,
   {CKA DECRYPT,
                          &bTrue,
                                       sizeof(bTrue)},
   {CKA MODIFIABLE,
                         &bTrue,
                                       sizeof(bTrue)},
                          pbLabel, strlen(pbLabel)},
   {CKA LABEL,
};
CK ULONG path[] = {
      CKF_BIP32_HARDENED | CKG_BIP44_PURPOSE,
       CKF_BIP32_HARDENED | CKG_BIP44_COIN_TYPE BTC,
       CKF BIP32 HARDENED | 1,
       CKG BIP32 EXTERNAL CHAIN,
       0
};
CK BIP32 MASTER DERIVE PARAMS mechParams;
mechParams.pPublicKeyTemplate = pubTemplate;
mechParams.ulPublicKeyAttributeCount = ARRAY SIZE(pubTemplate);
mechParams.pPrivateKeyTemplate = privTemplate;
mechParams.ulPrivateKeyAttributeCount = ARRAY SIZE(privTemplate);
mechParams.pulPath = path;
mechParams.ulPathLen = ARRAY SIZE(path);
CK MECHANISM mechanism = {CKM BIP32 CHILD DERIVE, &mechParams, sizeof(mechParams)};
CK RV rv = C DeriveKey(hSession, &mechanism, hMasterPrivKey, NULL, 0, NULL);
// fail if rv != CKR OK
CK OBJECT HANDLE pubKey = mechanism.hPublicKey;
CK OBJECT HANDLE privKey = mechanism.hPrivateKey;
```

The new key handles are stored in pubKey and privKey if the derivation was successful. The path generates a key pair that follows the BIP44 convention and can be used to receive BTC.

### Importing a public extended key

```
CK_ATTRIBUTE template[] =
{
    {CKA_TOKEN, & &DToken, sizeof(bToken)},
```

```
{CKA_PRIVATE, &bTrue, sizeof(bTrue)},
{CKA_DERIVE, &bTrue, sizeof(bTrue)},
{CKA_MODIFIABLE, &bTrue, sizeof(bTrue)},
{CKA_LABEL, pbLabel, strlen(pbLabel)},
```

};

```
CK_CHAR_PTR encodedKey = "xpub661MyMwAqRbcFtXgS5..."; //BIP32 serialization format
CK_OBJECT_HANDLE pubKey;
```

CK\_RV rv = CA\_Bip32ImportKey(hSession, template, ARRAY\_SIZE(template), encodedKey, &pubKey); The handle for the newly create key is stored in pubKey if the import was successful.

### Exporting a public extended key

```
CK_CHAR encodedKey[CKG_BIP32_MAX_SERIALIZED_LEN+1];
CK_ULONG_ulEncodedKeySize = sizeof(encodedKey);
```

CK\_RV rv = CA\_Bip32ExportPubKey(hSession, hObject, encodedKey, &ulEncodedKeySize); The encoded key is stored in encodedKey (BIP32 serialization format) if there were no errors.

#### Importing a private extended key

```
CK ATTRIBUTE template[] =
{
                           &keyClass, sizeof(keyClass)},
&bToken, sizeof(bToken)},
   {CKA CLASS
   {CKA TOKEN,
   {CKA KEY TYPE
                                        sizeof(keyType)},
                         &keyType,
   {CKA_PRIVATE,
                         &bTrue,
                                        sizeof(bTrue) },
   {CKA_DERIVE,
                         &bTrue,
                                        sizeof(bTrue)},
   {CKA MODIFIABLE,
                         &bTrue,
                                        sizeof(bTrue) },
   {CKA LABEL,
                         pbLabel,
                                        strlen(pbLabel) },
   {CKA SENSITIVE
                                        sizeof(bTrue) },
                          &bTrue,
};
CK CHAR PTR encodedKey = "xprv9s21ZrQH143K3QTDL4LXw2F...";
CK MECHANISM mechanism = {CKM AES KWP, NULL, 0};
CK BYTE wrappedKey[256];
CK ULONG wrappedKeyLen = sizeof(wrappedKey);
CK OBJECT HANDLE hUnwrappedKey;
CK RV rv = C EncryptInit(hSession, &mechanism, hWrappingKey);
// fail if rv != CKR OK
rv = C Encrypt (hSession, encodedKey, sizeof (encodedKey), wrappedKey, &wrappedKeyLen);
// fail if rv != CKR OK
```

rv = C\_UnwrapKey(hSession, &mechanism, hWrappingKey, wrappedKey, wrappedKeyLen, template, ARRAY\_ SIZE(template), &hUnwrappedKey);

After unwrapping, the encoded key's BIP32 serialization format is decoded (the template key type is checked for BIP32). The handle of the unwrapped key is stored in hUnwrappedKey if there were no errors.

#### Exporting a private extended key

```
CK_MECHANISM mechanism = {CKM_AES_KWP, NULL, 0};
CK_BYTE key[256];
CK_ULONG keyLen = sizeof(key);
```

CK\_RV rv = C\_WrapKey(hSession, &mechanism, hWrappingKey, hKeyToWrap, key, &keyLen);

```
// fail if rv != CKR_OK
rv = C_DecryptInit(hSession, &mechanism, hWrappingKey);
// fail if rv != CKR_OK
rv = C_Decrypt(hSession, key, keyLen, key, &keyLen);
// fail if rv != CKR_OK
```

 $key[keyLen] = 0 // The key isn't NULL terminated after C_Decrypt(). C_WrapKey() must convert the BIP32 key to the BIP32 serialization format before wrapping.$ 

The serialized key is stored in key if there were no errors.

#### **PKCS#11 Definitions**

```
#define CKK BIP32 (CKK VENDOR DEFINED | 0x14)
#define CKM BIP32 MASTER DERIVE (CKM VENDOR DEFINED | 0xE00)
#define CKM_BIP32_CHILD_DERIVE (CKM_VENDOR_DEFINED | 0xE01)
#define CKR BIP32 CHILD INDEX INVALID (CKR VENDOR DEFINED |
                                                                    0x83)
#define CKR BIP32 INVALID HARDENED DERIVATION (CKR VENDOR DEFINED | 0x84)
#define CKR BIP32 MASTER SEED LEN INVALID (CKR VENDOR DEFINED |
                                                                    0x85)
#define CKR BIP32 MASTER SEED INVALID (CKR VENDOR DEFINED |
                                                                    0x86)
#define CKR BIP32 INVALID KEY PATH LEN (CKR VENDOR DEFINED |
                                                                    0x87)
#define CKA BIP32 CHAIN CODE (CKA VENDOR DEFINED |
                                                           0x1100)
#define CKA_BIP32_VERSION_BYTES (CKA VENDOR DEFINED |
                                                           0x1101)
#define CKA BIP32 CHILD INDEX (CKA VENDOR DEFINED |
                                                           0x1102)
#define CKA BIP32 CHILD DEPTH (CKA VENDOR DEFINED |
                                                           0x1103)
#define CKA BIP32 ID (CKA VENDOR DEFINED |
                                                           0x1104)
#define CKA BIP32 FINGERPRINT (CKA VENDOR DEFINED |
                                                           0x1105)
#define CKA BIP32 PARENT FINGERPRINT (CKA VENDOR DEFINED | 0x1106)
#define CKG BIP32 VERSION MAINNET PUB (0x0488B21E)
#define CKG_BIP32_VERSION_MAINNET_PRIV
                                       (0x0488ADE4)
#define CKG_BIP32_VERSION_TESTNET_PUB (0x043587CF)
#define CKG_BIP32_VERSION_TESTNET_PRIV (0x04358394)
#define CKG BIP44 PURPOSE
                                        (0x000002C)
#define CKG BIP44 COIN TYPE BTC
                                        (0x0000000)
#define CKG BIP44 COIN TYPE BTC TESTNET (0x0000001)
#define CKG BIP32 EXTERNAL CHAIN
                                        (0x0000000)
#define CKG BIP32 INTERNAL CHAIN
                                        (0x0000001)
#define CKG BIP32 MAX SERIALIZED LEN (112)
                                        (0x8000000)
#define CKF BIP32 HARDENED
#define CKF BIP32 MAX PATH LEN
                                        (255)
```

## **Derive Template**

The CKA\_DERIVE\_TEMPLATE attribute is an optional extension to the C\_DeriveKey function. This attribute points to an array template which provides additional security by restricting important attributes in the resulting derived key. This derive template, along with the user-supplied application template (called pTemplate in the PKCS#11 specification), determine the attributes of the derived key.

To invoke a derive template, the base key must have the CKA\_DERIVE\_TEMPLATE attribute set, pointing to a user-supplied derive template. When you specify this attribute on the base key and then attempt to derive a key, the derive operation adds the attributes of the application template to the attributes in the derive template. If there are any mismatches between attribute values specified in the two templates, the derive operation fails. Otherwise, the operation succeeds, producing a derived key with the combined attributes of the two templates.

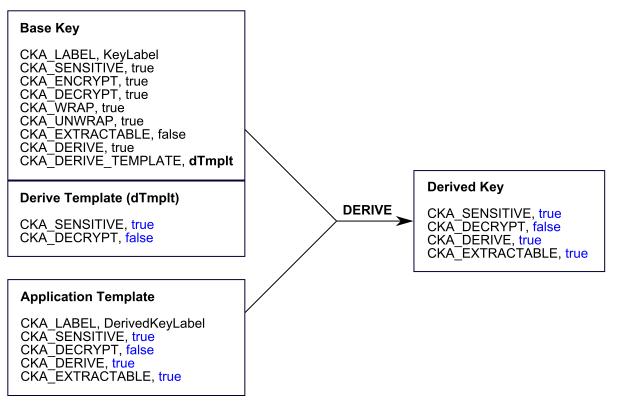
Any and all attributes which are valid for application template of a particular mechanism are also valid for the derive template. For security, the most effective attributes to restrict are those which might allow the derived key to be misused or expose secret information. Broadly these include but are not limited to encryption/decryption capabilities, extractability, the CKA\_SENSITIVE attribute and the CKA\_MODIFIABLE attribute. All mechanisms which support key derivation also support derive templates.

## Examples

The following examples show a successful derivation with a derive template, and a failed derivation.

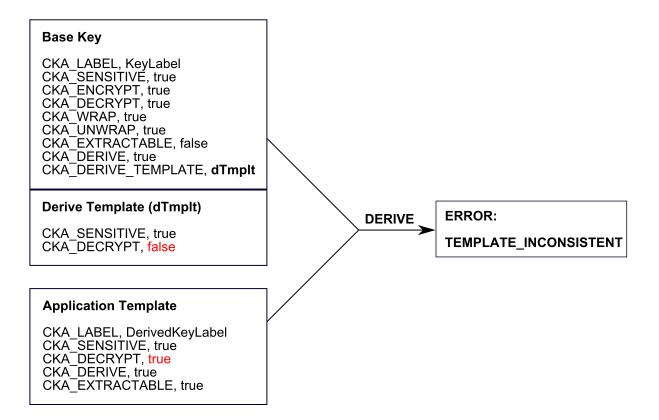
### **Successful Derivation**

Here, the base key has the CKA\_DERIVE\_TEMPLATE attribute pointing to the derive template dTmplt. There are no conflicts between dTmplt and the application template. The application template's extra attributes are added to dTmplt's attributes, and the derivation operation produces a derived key containing the attributes in the two templates.



### **Failed Derivation**

Here, the base key has the CKA\_DERIVE\_TEMPLATE attribute pointing to the derive template dTmplt. Notice that dTmplt has the CKA\_DECRYPT attribute set to false, where the application template has the CKA\_DECRYPT attribute set to true. This conflict causes the derivation operation to fail with the error TEMPLATE\_INCONSISTENT.



## 3GPP Mechanisms for 5G Mobile Networks

This section describes the C-based PKCS#11 interface to the 3GPP functions in the HSM firmware. Although PKCS#11 constitutes the core client-side API to the HSM, it is expected that other API layers (like Java) may be required in order to support the customers' application environment. These APIs are yet to be defined.

**NOTE** This feature requires minimum firmware version 7.4.2 and client version 10.2 (or a patched client 7.4). See Version Dependencies by Feature for more information.

- > "MILENAGE" below
- > "TUAK" on page 63
- > "Comp128" on page 64
- > "Storage Key (SK)" on page 64

## MILENAGE

### Authentication

As with all the 3GPP crypto operations, the C\_SignInit/C\_Sign function calls are made.

### C\_SignInit

```
(
```

```
CK_SESSION_HANDLE hSession,
CK MECHANISM PTR pMechanism,
```

// the session's handle
// the signature mechanism

```
mechanism // mechanism type CKM_MILENAGE
pParameter // pointer to the milenage mechanism CK_MILENAGE_SIGN_PARAMS
ulParameterLen // length (sizeof) in bytes of the parameter
CK_OBJECT_HANDLE hKey // AES storage key used to encrypt/decrypt Ki and OP (optional)
;
```

```
C_Sign
```

```
(
    CK_SESSION_HANDLE hSession, // the session's handle
    CK_BYTE_PTR    pData, // should be NULL for CKM_MILENAGE (see CKM_MILENAGE_RESYNC)
    CK_ULONG    ulDataLen, // should be set to zero for CKM_MILENAGE
    CK_BYTE_PTR    pSignature, // gets the signature - see OUTPUT response string below
    CK_ULONG_PTR    pulSignatureLen // gets signature length
);
```

#### Mechanism: CKM\_MILENAGE

#### Parameter Structure:

```
typedef struct CK MILENAGE SIGN PARAMS {
   CK ULONG
                    ulMilenageFlags;
   CK ULONG
                    ulEncKiLen;
   CK BYTE PTR
                   pEncKi;
   CK ULONG
                    ulEncOPcLen;
   CK BYTE PTR
                                     // Encrypted or plain - see flags
                     pEncOPc;
   CK_OBJECT_HANDLE hSecondaryKey; // optional OP object handle - see flags
   CK OBJECT HANDLE hRCKey;
                                     // optional R and C params - see flags
   CK BYTE
                     sqn[6];
   CK BYTE
                     amf[2];
} CK MILENAGE SIGN PARAMS;
```

typedef CK\_MILENAGE\_SIGN\_PARAMS CK\_PTR CK\_MILENAGE\_SIGN\_PARAMS\_PTR; The ulMilenageFlags can consist of one or more of the following:

```
#define LUNA_5G_OPC 0x0000001 // OPC is provided rather than OP#define LUNA_
5G_ENCRYPTED_OP 0x0000002 // OP or OPC is encrypted by Storage Key#define LUNA_5G_OP_
OBJECT 0x0000004 // OP or OPC is an object in HSM partition#define LUNA_5G_USE_TLV
0x0000008 // Use the Tag/Len/Val format in response#define LUNA_5G_USER_DEFINED_
RC 0x0000010 // User defined R and C constants
```

**NOTE** If the **LUNA\_5G\_OP\_OBJECT** flag is specified, then the hSecondaryKey parameter should contain the handle of the generic secret object which holds the OP string. As well, if the **LUNA\_5G\_USER\_DEFINED\_RC** flag is set, then set the hRCKey to point to the RC generic secret object.

Although the R and C values are hard-coded (per the 3GPP specification) the Luna implementation allows the user to define his own constants. It is first necessary to create a generic secret object on the HSM where the CKA\_VALUE attribute contains a concatenation of the R and C fields as follows:

C1[16 bytes], C2[16 bytes], C3[16 bytes], C4[16 bytes], C5[16 bytes], R1[1 byte], R2[1 byte], R3[1 byte], R4[1 byte], R5[1 byte]

**TIP** Use a hex editor to create the binary RC file. Use the API or the **ckdemo** utility to encrypt the file with the SK, and subsequently unwrap it onto the HSM as an 85 byte generic secret object.

**Output:** The signature (output) produced from the above function call will contain the following data (note the exact function as per the 3GPP spec is show in parentheses):

| RANDOM | XRES(f2) | CK(f3) | IK(f4) | AUTN | where AUTN = | SQN xor AK(f5) | AMF | MAC-A(f1) |

Although by default the data is returned as one concatenated binary string, the user may have the data returned in TLV (Tag/Length/Value) format by setting the "LUNA\_5G\_USE\_TLV" flag. The output will appear as follows:

```
| Tag (UINT8) | Length (UINT8) | Value | Tag (UINT8) | Length (UINT8) | Value | ...
```

The tag values are defined as follows:

<pre>#define LUNA_5G_TAG_RANDOM</pre>	0x0000001	<pre>// Random data generated in HSM</pre>
<pre>#define LUNA_5G_TAG_RES</pre>	0x0000002	// Response string
<pre>#define LUNA_5G_TAG_CK</pre>	0x0000003	// Confidentiality Key
<pre>#define LUNA_5G_TAG_IK</pre>	0x0000004	// Integrity Key
<pre>#define LUNA_5G_TAG_SQN_XOR_AK</pre>	0x0000005	<pre>// Sequence # xor'd with Anonymity Key (AK)</pre>
<pre>#define LUNA_5G_TAG_AMF</pre>	0x0000006	// Authentication Management Field
<pre>#define LUNA_5G_TAG_MAC</pre>	0x0000007	// MAC-A for authentication
#define LUNA 5G TAG SEQUENCE	0x0000008	<pre>// Sequence # in resynch operation</pre>

### Resynchronization

C\_SignInit and C\_Sign function calls same as Authentication.

### Mechanism: CKM\_MILENAGE\_RESYNC

Parameter Structure: same as for Authentication.

The API allows the USIM to resynchronize with a new SQN number in the event that a message was lost. The SQN is not sent in the clear however; rather it is XOR'ed with the AK (f5\*). As well the MAC-S (f1\*) is also sent to verify that this request is coming from a legitimate subscriber. This requires that the USIM sends the random data and an AUTS (Auth string) to the AUC which contains the following:

AUTS = | SQN xor AK | MAC-S |

The user should format the pData (data to sign in the C\_Sign call) as follows:

pData = | RAND | AUTS |

This mechanism uses the same mechanism parameter structure as for authentication, as the Ki, OP and AMF are required to generate the sequence number. (The sqn[] field in the param structure will be ignored.) The LUNA\_5G\_OP\_OBJECT, LUNA\_5G\_USE\_TLV, and LUNA\_5G\_USER\_DEFINED\_RC are valid flags.

**Output:** The HSM will first generate the new SQN number but will check the MAC-S signature to ensure the legitimacy of the request. If the MAC-S signature passed in is not equal to the signature calculated by the HSM, the HSM will return the error CKR\_SIGNATURE\_INVALID. If the return code is CKR\_OK, the signature will contain the new 6 byte SQN number.

### **AUTS Generation (Testing Only)**

The HSM supports generating the AUTS string for the purpose of testing the Resynchronization operation.

C\_SignInit and C\_Sign function calls same as Authentication.

### Mechanism: CKM\_MILENAGE\_AUTS

**Parameter Structure:** same as for Authentication. The user should pass in the random string in the pData field of the C\_Sign function call.

*Output:* The signature returned from the HSM will be a concatenation of the Random string plus the AUTS as follows (no TLV):

| RAND | SQN xor AK | MAC-S |

This string may be fed directly into the pData field of the C\_Sign call when doing the RESYNC operation.

## TUAK

}

### Authentication

TUAK is similar to MILENAGE in respect to the output data however the calculated components are largely based upon the Keccak (SHA-3) hashing scheme. In addition, TUAK allows some variability in the lengths of the output components. The desired lengths can be specified in input parameter structure as follows:

#### typedef struct CK\_TUAK\_SIGN\_PARAMS {

CK_ULONG	ulTuakFlags;	
CK_ULONG	ulEncKiLen;	
CK_BYTE_PTR	pEncKi;	
CK_ULONG	ulEncTOPcLen;	
CK_BYTE_PTR	pEncTOPc;	// Encrypted or plain - see flags
CK_ULONG	ulIterations;	// # of Keccak iterations
CK_OBJECT_HANDLE	hSecondaryKey;	// optional OP key handle
CK_ULONG	ulResLen;	<pre>// length of expected response (XRES)</pre>
CK_ULONG	ulMacALen;	// length of MAC
CK_ULONG	ulCkLen;	// length of crypto key CK
CK_ULONG	ulIkLen;	<pre>// length of identity key IK</pre>
CK_BYTE	sqn[6];	
CK_BYTE	amf[2];	
} CK_TUAK_SIGN_PARAMS;		

typedef CK TUAK SIGN PARAMS CK PTR CK TUAK SIGN PARAMS PTR;

The ulTuakFlags are the same as the MILENAGE flags with the exception of the LUNA\_5G\_USER\_DEFINED\_ RC flag which is not applicable to TUAK.

**Output:** same as MILENAGE authentication output.

### Resynchronization Mechanism: CKM\_TUAK\_RESYNC

**Parameter Structure:** same as for authentication, although some fields are not be required (key length fields, for example).

Format of the input data, and the resulting output are the same as MILENAGE.

### **AUTS Generation (Testing Only)**

As with MILENAGE, this function supports generation of the AUTS string for subsequent testing of the RESYNC operation.

## Comp128

### Authentication

The Comp128 algorithms supports device authentication for the legacy GSM 2/2.5 mobile networks.

Mechanism: CKM\_COMP128

### Parameter Structure:

typedef struct CK_COM	P128_SIGN_PARAMS {	
CK_ULONG	ulVersion;	// Version of Comp128
CK_ULONG	ulEncKiLen;	
CK_BYTE_PTR	pEncKi;	
} CK_COMP128_SIGN_PAR	AMS;	

typedef CK\_COMP128\_SIGN\_PARAMS CK\_PTR CK\_COMP128\_SIGN\_PARAMS\_PTR; This API supports the 3 versions of the COMP128 algorithm. This is passed in via the ulVersion field.

As with the other mechanisms, it is expected that the Ki will be encrypted under the Storage Key (SK) – the handle for this key is passed in as the signing key in C\_SignInit function call.

Output: The signature (output) produced from the above function call will contain the following data:

### | RANDOM | SRES | Kc |

**NOTE** Unlike MILENAGE and TUAK, the output is only in binary form, and the TLV formatting is not supported at this time. Only a single triplet output is supported via this API.

## Storage Key (SK)

It is assumed that under the security constraints imposed by the HSM, the subscribers key (Ki) will always be encrypted by the HSM resident Storage Key (SK). The SK is an AES key and can be any size although a 256 bit (32 byte) value is recommended. Currently, the encryption/decryption mechanism used is the NIST approved CKM\_AES\_KWP (PKCS#11 definition) and where the default IV (per NIST SP800-38F) is used. The Ki (and optionally the OP) must be encrypted using this mechanism for later use in the authentication and resynchronization operations.

### Java

The Java API is currently under development and will be added to this document when complete.

## SM2/SM4 Mechanisms

This section describes the C-based PKCS#11 interface to the SM2/SM4 functions in the HSM firmware. Although PKCS#11 constitutes the core client-side API to the HSM, it is expected that other API layers (like Java) will be required in order to support the customers' application environment. These APIs are yet to be defined.

**NOTE** This feature requires minimum firmware version 7.4.2 and client version 10.2 (or a patched client 7.4). See Version Dependencies by Feature for more information.

- > "SM2" below
- > "SM4" on the next page

### SM2

### Generate Key Pair

### Generate a keypair of type CKK\_SM2.

```
CK_BYTE sm2p256v1[] = { 0x06, 0x08, 0x2A, 0x81, 0x1C, 0xCF, 0x55, 0x01, 0x82, 0x2D };
```

```
CK_RV C_GenerateKeyPair(

CK_SESSION_HANDLE hSession,

CK_MECHANISM_PTR pMechanism, // CKM_SM2_KEY_PAIR_GEN

CK_ATTRIBUTE_PTR pPublicKeyTemplate, // eg CKA_EC_PARAMS with curve sm2p256v1 or any other curve

CK_ULONG ulPublicKeyAttributeCount,

CK_ATTRIBUTE_PTR pPrivateKeyTemplate,

CK_ULONG ulPrivateKeyAttributeCount,

CK_OBJECT_HANDLE_PTR phPublicKey,

CK_OBJECT_HANDLE_PTR phPrivateKey

);
```

### Sign

#### Use the C\_Sign\* family of functions.

```
typedef struct CK SM2DSA PARAMS {
   CK MECHANISM TYPE zhashAlg;
                                     // eg CKM SM3
   CK ULONG ulUserIdLen;
   CK VOID PTR pUserId;
} CK SM2DSA PARAMS;
CK RV C SignInit(
CK SESSION HANDLE hSession,
CK MECHANISM_PTR pMechanism,
                                      // eg CKM_SM3_SM2DSA with CK_SM2DSA_PARAMS
CK OBJECT HANDLE hKey
);
CK RV C Sign(
CK_SESSION_HANDLE hSession,
CK BYTE PTR pData,
CK ULONG ulDataLen,
```

CK\_BYTE\_PTR pSignature, CK\_ULONG\_PTR pulSignatureLen );

#### Also supported:

- > C\_SignUpdate, C\_SignFinal for multi-part operations
- > C\_VerifyInit, C\_Verify for verifying (single-part operations)
- > C\_VerifyUpdate, C\_VerifyFinal for verifying (multi-part operations)

Available mechanisms for signing include:

- > CKM\_SM2DSA
- > CKM\_SM3\_SM2DSA
- > CKM\_SHA1\_SM2DSA

- > CKM\_SHA224\_SM2DSA
- > CKM\_SHA256\_SM2DSA
- > CKM\_SHA384\_SM2DSA
- > CKM\_SHA512\_SM2DSA

Available mechanisms for field **zHashAlg** include:

- > CKM\_SM3
- > CKM\_SHA1
- > CKM\_SHA224
- > CKM\_SHA256
- > CKM\_SHA384
- > CKM\_SHA512

### SM4

#### Generate Key Generate a secret key of type CKK\_SM4.

CK\_RV C\_GenerateKey( CK\_SESSION\_HANDLE hSession CK\_MECHANISM\_PTR pMechanism, CK\_ATTRIBUTE\_PTR pTemplate, CK\_ULONG ulCount, CK\_OBJECT\_HANDLE\_PTR phKey );

// CKM\_SM4\_KEY\_GEN

### Encrypt

### Use the C\_Encrypt\* family of functions.

CK\_RV C\_EncryptInit( CK\_SESSION\_HANDLE hSession, CK\_MECHANISM\_PTR pMechanism, CK\_OBJECT\_HANDLE hKey );

// eg CKM\_SM4\_CBC\_PAD with InitializationVector [16 bytes]

CK\_RV C\_Encrypt( CK\_SESSION\_HANDLE hSession, CK\_BYTE\_PTR pData, CK\_ULONG ulDataLen, CK\_BYTE\_PTR pEncryptedData, CK\_ULONG\_PTR pulEncryptedDataLen ); Also supported:

- > C\_EncryptUpdate, C\_EncryptFinal for multi-part operations
- > C\_DecryptInit, C\_Decrypt for decrypting (single-part operations)
- > C\_DecryptUpdate, C\_DecryptFinal for decrypting (multi-part operations)

Available mechanisms for encryption include:

- > CKM\_SM4\_ECB
- > CKM\_SM4\_CBC
- > CKM\_SM4\_CBC\_PAD

## SHA-3 Mechanisms

This section describes the PKCS#11 interface to the **SHA-3** mechanisms in the HSM firmware for the digest bit lengths of **224**, **256**, **384** and **512**.

**NOTE** This feature requires minimum firmware version 7.4.2 and client version 10.2 (or a patched client 7.4). See Version Dependencies by Feature for more information.

- > "Digest Mechanisms" below
- > "HMAC Mechanisms" on the next page
- > "Signature/Verification Mechanisms" on the next page
- > "Encrypt/Decrypt Mechanisms" on page 70
- > "Digest Key Derive Mechanisms" on page 70
- > "Key Derivation Function (KDF) Mechanisms" on page 71

### **Digest Mechanisms**

Mechanism Type	Description
SHA-3	The following mechanisms for performing a SHA-3 hash have been added: > CKM_SHA3_224 > CKM_SHA3_256 > CKM_SHA3_384 > CKM_SHA3_512
SHAKE	The following mechanisms for performing a SHAKE XOF have been added: > CKM_SHAKE_128 > CKM_SHAKE_256 These mechanisms require a CK_SHAKE_PARAMS mechanism parameters structure defined as follows:

Mechanism Type	Description
KECCAK	There are variants of the SHA-3 mechanisms that are included for compatibility with implementations that preceded the publication of FIPS PUB 202 where there is a difference in a single padding byte. These mechanisms are: > CKM_KECCAK_224 > CKM_KECCAK_256 > CKM_KECCAK_384 > CKM_KECCAK_512

### **HMAC Mechanisms**

The following mechanisms for performing an HMAC with SHA-3 have been added:

- > CKM\_SHA3\_224\_HMAC
- > CKM\_SHA3\_224\_HMAC\_GENERAL
- > CKM\_SHA3\_256\_HMAC
- > CKM\_SHA3\_256\_HMAC\_GENERAL
- > CKM\_SHA3\_384\_HMAC
- > CKM\_SHA3\_384\_HMAC\_GENERAL
- > CKM\_SHA3\_512\_HMAC
- > CKM\_SHA3\_512\_HMAC\_GENERAL

### Signature/Verification Mechanisms

Mechanism Type	Description
RSA PKCS	The following mechanisms for performing a <b>RSA PKCS #1 v1.5</b> signature/verification with a <b>SHA-3</b> digest have been added: > <b>CKM_SHA3_224_RSA_PKCS</b> > <b>CKM_SHA3_256_RSA_PKCS</b> > <b>CKM_SHA3_384_RSA_PKCS</b> > <b>CKM_SHA3_512_RSA_PKCS</b>

Mechanism Type	Description
RSA PSS	The following mechanisms for performing a <b>RSA</b> signature/verification with <b>PSS</b> encoding with a <b>SHA-3</b> digest have been added: > <b>CKM_SHA3_224_RSA_PKCS_PSS</b> > <b>CKM_SHA3_256_RSA_PKCS_PSS</b> > <b>CKM_SHA3_384_RSA_PKCS_PSS</b> The following <b>MGF1</b> constants have been defined with corresponding support: > <b>CKG_MGF1_SHA3_224</b> > <b>CKG_MGF1_SHA3_256</b> > <b>CKG_MGF1_SHA3_256</b> > <b>CKG_MGF1_SHA3_384</b> > <b>CKG_MGF1_SHA3_512</b> These values can be specified via the <b>mgf</b> field of the CK_RSA_PKCS_PSS_PARAMS mechanism parameters. The <b>hashAlg</b> field of the CK_RSA_PKCS_PSS_PARAMS mechanism parameters can be given the new values of <b>CKM_SHA3_224</b> , <b>CKM_SHA3_256</b> , <b>CKM_SHA3_384</b> or <b>CKM_SHA3_512</b> .
DSA	The following mechanisms for performing a DSA signature/verification with a SHA-3 digest have been added: <ul> <li>CKM_DSA_SHA3_224</li> <li>CKM_DSA_SHA3_256</li> <li>CKM_DSA_SHA3_384</li> <li>CKM_DSA_SHA3_512</li> </ul>
ECDSA	The following mechanisms for performing an ECDSA signature/verification with a SHA-3 digest have been added: <ul> <li>CKM_ECDSA_SHA3_224</li> <li>CKM_ECDSA_SHA3_256</li> <li>CKM_ECDSA_SHA3_384</li> <li>CKM_ECDSA_SHA3_512</li> </ul>
EDDSA	The following mechanisms for performing an EDDSA signature/verification with a SHA-3 digest have been added: <ul> <li>CKM_SHA3_224_EDDSA</li> <li>CKM_SHA3_256_EDDSA</li> <li>CKM_SHA3_384_EDDSA</li> <li>CKM_SHA3_512_EDDSA</li> </ul>

## Encrypt/Decrypt Mechanisms

### CKM\_RSA\_PKCS\_OAEP

For the **CKM\_RSA\_PKCS\_OAEP** mechanism, the following values can be specified for the **mgf** field of the **CK\_RSA\_PKCS\_OAEP\_PARAMS** mechanism parameters:

- > CKG\_MGF1\_SHA3\_224
- > CKG\_MGF1\_SHA3\_256
- > CKG\_MGF1\_SHA3\_384
- > CKG\_MGF1\_SHA3\_512

For the **hashAlg** field of the **CK\_RSA\_PKCS\_OAEP\_PARAMS** mechanism parameters, the following hash algorithms can be specified:

- > CKM\_SHA3\_224
- > CKM\_SHA3\_256
- > CKM\_SHA3\_384
- > CKM\_SHA3\_512

### **Digest Key Derive Mechanisms**

Mechanism Type	Description
SHA-3	The following mechanisms can be used to derive a key using SHA-3: > CKM_SHA3_224_KEY_DERIVE > CKM_SHA3_256_KEY_DERIVE > CKM_SHA3_384_KEY_DERIVE > CKM_SHA3_512_KEY_DERIVE
SHAKE	The following mechanisms can be used to derive a key using SHAKE: > CKM_SHAKE_128_KEY_DERIVE > CKM_SHAKE_256_KEY_DERIVE

Mechanism Type	Description
CKM_X9_42_DH_ DERIVE CKM_ECDH1_ DERIVE	The following values can be specified for the kdf field of the CK_X9_42_DH1_DERIVE_ PARAMS and CK_ECDH1_DERIVE_PARAMS mechanism parameters to make use of the SHA-3 variants: > CKD_SHA3_224_KDF > CKD_SHA3_256_KDF > CKD_SHA3_384_KDF > CKD_SHA3_512_KDF > CKD_SHA3_224_NIST_KDF > CKD_SHA3_256_NIST_KDF > CKD_SHA3_256_NIST_KDF > CKD_SHA3_384_NIST_KDF > CKD_SHA3_512_NIST_KDF > CKD_SHA3_224_SES_KDF > CKD_SHA3_256_SES_KDF > CKD_SHA3_384_SES_KDF > CKD_SHA3_512_SES_KDF
CKM_PRF_KDF	The following values can be specified for the <b>prfType</b> field of the <b>CK_PRF_KDF_PARAMS</b> mechanism parameters to make use of the <b>SHA-3</b> variants: <b>CK_NIST_PRF_KDF_HMAC_SHA3_224</b> <b>CK_NIST_PRF_KDF_HMAC_SHA3_256</b> <b>CK_NIST_PRF_KDF_HMAC_SHA3_384</b> <b>CK_NIST_PRF_KDF_HMAC_SHA3_512</b>

## Key Derivation Function (KDF) Mechanisms

# **CHAPTER 4:** Supported Mechanisms

This chapter provides information about the supported PKCS#11 standard mechanisms and the Thales Group-proprietary mechanisms supported in all released versions of the Luna HSM firmware. Refer to the page that applies to your installed firmware version.

The individual mechanism pages provide additional information about using each mechanism. You can also compare the attributes/requirements of each mechanism across different firmware versions.

- > Firmware 7.4.2 Mechanisms
- > Firmware 7.4.0 Mechanisms
- > Firmware 7.3.3 Mechanisms
- > Firmware 7.3.0 Mechanisms
- > Firmware 7.2.0 Mechanisms
- > Firmware 7.1.0 Mechanisms
- > Firmware 7.0.3 Mechanisms
- > Firmware 7.0.2 Mechanisms
- > Firmware 7.0.1 Mechanisms

If you are using RSA keys in FIPS mode, refer to "Mechanism Remap for FIPS Compliance" below for information about remapping your mechanisms to maintain FIPS compliance. This applies to Luna HSM Client versions earlier than 10.1 only.

## Mechanism Remap for FIPS Compliance

Under FIPS 186-3/4, the only RSA methods permitted for generating keys are 186-3 with primes and 186-3 with aux primes. This means that RSA PKCS and X9.31 key generation is no longer approved for operation in a FIPS-compliant HSM.

Supported Mechanisms	FIPS-mode Allowed Mechanisms
PKCS, X9.31, 186-3 with primes, 186-3 with aux primes	186-3 with primes, 186-3 with aux primes

## Mechanism Remap Configuration Settings

Two configuration settings are available in the Chrystoki.conf (Linux/UNIX) or Crystoki.ini (Windows) configuration file installed with SafeNet Luna PCIe HSM Client, to deal with calls to newer-firmware HSMs for outdated mechanisms, or calls to older-firmware HSMs for newer mechanisms that they do not support. The configuration settings control redirecting or mapping of mechanism calls.

**NOTE** This remapping is automatic if you are using Luna HSM Client 10.1 or newer, and the configuration file entry is ignored.

#### In FIPS mode

#### When RSAKeyGenMechRemap is enabled:

- 1. CKM\_RSA\_PKCS\_KEY\_PAIR\_GEN is inserted into the C\_GetMechanismList output by the client library, as the HSM does not return it in FIPS mode.
- 2. C\_GetMechanismInfo for CKM\_RSA\_PKCS\_KEY\_PAIR\_GEN returns the default Mechanism information from the client library. In FIPS mode, the HSM does not return it.

#### When RSAKeyGenMechRemap is disabled:

- 1. CKM\_RSA\_PKCS\_KEY\_PAIR\_GEN is not returned by C\_GetMechanismList.
- 2. C\_GetMechanismInfo for CKM\_RSA\_PKCS\_KEY\_PAIR\_GEN results in an Invalid Mechanism Attribute error.

### CKM\_AES\_CBC

FIPS approved?	Yes
Supported functions	Encrypt   Decrypt   Wrap   Unwrap
Minimum key length (bits)	128
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	128
Maximum key length (bits)	256
Block size	16
Digest size	0
Key types	AES
Algorithms	AES
Modes	CBC
Flags	Extractable

# CKM\_AES\_CBC\_ENCRYPT\_DATA

FIPS approved?	Yes
Supported functions	Derive
Minimum key length (bits)	128
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	128
Maximum key length (bits)	256
Block size	0
Digest size	0
Key types	AES
Algorithms	None
Modes	None
Flags	None

# CKM\_AES\_CBC\_PAD

FIPS approved?	Yes
Supported functions	Encrypt   Decrypt   Wrap   Unwrap
Minimum key length (bits)	128
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	128
Maximum key length (bits)	256
Block size	16
Digest size	0
Key types	AES
Algorithms	AES
Modes	CBC_PAD
Flags	Extractable

# CKM\_AES\_CBC\_PAD\_IPSEC

No
Encrypt   Decrypt   Wrap   Unwrap
128
N/A
N/A
256
16
0
AES
AES
CBC_PAD_IPSEC
Extractable

# CKM\_AES\_CFB8

FIPS approved?	Yes
Supported functions	Encrypt   Decrypt
Minimum key length (bits)	128
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	128
Maximum key length (bits)	256
Block size	16
Digest size	1
Key types	AES
Algorithms	AES
Modes	CFB
Flags	Extractable

# CKM\_AES\_CFB128

FIPS approved?	Yes
Supported functions	Encrypt   Decrypt
Minimum key length (bits)	128
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	128
Maximum key length (bits)	256
Block size	16
Digest size	16
Key types	AES
Algorithms	AES
Modes	CFB
Flags	Extractable

# CKM\_AES\_CMAC

FIPS approved?	Yes
Supported functions	Sign   Verify
Minimum key length (bits)	128
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	128
Maximum key length (bits)	256
Block size	16
Digest size	0
Key types	AES
Algorithms	AES
Modes	MAC
Flags	Extractable   CMAC

# CKM\_AES\_CMAC\_GENERAL

FIPS approved?	Yes
Supported functions	Sign   Verify
Minimum key length (bits)	128
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	128
Maximum key length (bits)	256
Block size	16
Digest size	0
Key types	AES
Algorithms	AES
Modes	MAC
Flags	Extractable   CMAC

# CKM\_AES\_CTR

FIPS approved?	Yes
Supported functions	Encrypt   Decrypt   Wrap   Unwrap
Minimum key length (bits)	128
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	128
Maximum key length (bits)	256
Block size	16
Digest size	0
Key types	AES
Algorithms	AES
Modes	CTR
Flags	Extractable

# CKM\_AES\_ECB

FIPS approved?	Yes
Supported functions	Encrypt   Decrypt   Wrap   Unwrap
Minimum key length (bits)	128
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	128
Maximum key length (bits)	256
Block size	16
Digest size	0
Key types	AES
Algorithms	AES
Modes	ECB
Flags	Extractable

# CKM\_AES\_ECB\_ENCRYPT\_DATA

FIPS approved?	Yes
Supported functions	Derive
Minimum key length (bits)	128
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	128
Maximum key length (bits)	256
Block size	0
Digest size	0
Key types	AES
Algorithms	None
Modes	None
Flags	None

## CKM\_AES\_GCM

GCM is the Galois/Counter Mode of operation of the AES algorithm for symmetric key encryption.

#### **Usage Notes**

#### Data size

The maximum allowed data size for this mechanism is 64KB (64 \* 1024).

#### **Initialization Vector (IV)**

Random initialization vector (IV) is supported and recommended for GCM and for GMAC. In FIPS mode, the HSM firmware does not accept the IV parameter, and instead returns a generated IV.

The internal IV is a randomly generated 16-byte IV.

#### **JCPROV**

AES-GMAC and AES-GCM are supported in JCPROV. Use CK\_AES\_CMAC\_PARAMS.java to define the GMAC operation. Implementation is the same as for PKCS#11.

#### Accumulating data

Our GMAC and GCM are single part operations, so even if they are called using multi-part API, we accumulate the data (up to a maximum) and return data only on the "final" operation. That is the meaning of "Accumulating" in the table.

FIPS approved?	Yes
Supported functions	Encrypt   Decrypt
Minimum key length (bits)	128
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	128
Maximum key length (bits)	256
Block size	16
Digest size	0
Key types	AES
Algorithms	AES

Modes	GCM
Flags	Extractable   Accumulating

# CKM\_AES\_GMAC

GCM is the Galois/Counter Mode of operation of the AES algorithm for symmetric key encryption.

GMAC is a variant of GCM for sign/verify operation. If GCM input is confined to data that will not be encrypted, then GMAC is purely an authentication mode on the input data. The SafeNet Luna PCIe HSM GMAC implementation, formerly invoked only via PKCS#11 interface, can now be accessed via JCPROV and via our Java Provider (see Notes, below).

The GMAC implementation follows NIST SP-800-38D. It supports AES symmetric key sizes of 128, 192, and 256 bits.

#### **Usage Notes**

#### Initialization Vector (IV)

If the HSM is in FIPS mode (see HSM policy 12 at HSM Capabilities and Policies), the initialization vector (IV) is generated in the HSM and returned to the PKCS#11 function call. The buffer must be large enough to store the GMAC tag plus the generated IV (which has a length of 16 bytes).

If the HSM is **not** in FIPS mode, then the developer is responsible to specify an IV. Random IV is supported and recommended for GCM and GMAC. If you are not using random IV, then the most efficient IV value length is 12 bytes; any other size reduces performance and requires more work (per NIST SP-800-38D).

The internal IV is a randomly generated 16-byte IV.

#### Performance

For authentication, it is possible to use CKM\_AES\_GCM mechanism, when passing no data to encrypt (for strict compliance with NIST specification), and performance in that mode is better than in previous SafeNet releases.

The correlation is not exact but, as a general rule for a given mechanism, invocation by PKCS#11 API always provides the best performance, JSP performance is close but lower due to Java architecture, and JCPROV performance is somewhat lower still than PKCS#11 and JSP performance levels.

#### **JCPROV**

AES-GMAC and AES-GCM are supported in JCPROV. Use CK\_AES\_CMAC\_PARAMS.java to define the GMAC operation. Implementation is the same as for PKCS#11.

#### Java Provider (JSP)

Both GMC and GMAC are supported. "GmacAesDemo.java" provides a sample for using GMAC with Java.

Java Parameter Specification class LunaGmacParameterSpec.java defines default values recommended by the NIST specification.

#### **Accumulating Data**

Our GMAC and GCM are single part operations, so even if they are called using multi-part API, we accumulate the data (up to a maximum) and return data only on the "final" operation. That is the meaning of "Accumulating" in the table, below.

FIPS approved?	Yes
Supported functions	Sign   Verify
Minimum key length (bits)	128
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	128
Maximum key length (bits)	256
Block size	16
Digest size	0
Key types	AES
Algorithms	AES
Modes	GCM
Flags	Extractable   Accumulating

# CKM\_AES\_KEY\_GEN

FIPS approved?	Yes
Supported functions	Generate Key
Minimum key length (bits)	128
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	128
Maximum key length (bits)	256
Block size	0
Digest size	0
Key types	AES
Algorithms	None
Modes	None
Flags	None

## CKM\_AES\_KW

NIST Special Publication 800-38F describes cryptographic methods that are approved for "key wrapping," that is, the protection of the confidentiality and integrity of cryptographic keys. In addition to describing existing methods, that publication specifies two new, deterministic authenticated-encryption modes of operation of the Advanced Encryption Standard (AES) algorithm: the AES Key Wrap (KW) mode and the AES Key Wrap With Padding (KWP) mode. SafeNet Luna PCIe HSM implements the AES Key Wrap (KW) mode at this time, which SP800-38F recommends as more secure than CKM\_AES\_CBC.

**NOTE** NIST Special Publication 800-38F recommends this method as more secure than CKM\_AES\_CBC.

FIPS approved?	Yes
Supported functions	Encrypt   Decrypt   Wrap   Unwrap
Minimum key length (bits)	128
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	128
Maximum key length (bits)	256
Block size	8
Digest size	0
Key types	AES
Algorithms	AES
Modes	KEYWRAP
Flags	Extractable   Accumulating

## CKM\_AES\_KWP

FIPS approved?	Yes
Supported functions	Encrypt   Decrypt   Wrap   Unwrap
Minimum key length (bits)	128
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	128
Maximum key length (bits)	256
Block size	8
Digest size	0
Key types	AES
Algorithms	AES
Modes	KEYWRAP_PAD
Flags	Extractable   Accumulating

## CKM\_AES\_MAC

FIPS approved?	Yes
Supported functions	Sign   Verify
Minimum key length (bits)	128
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	128
Maximum key length (bits)	256
Block size	16
Digest size	0
Key types	AES
Algorithms	AES
Modes	MAC
Flags	Extractable

# CKM\_AES\_MAC\_GENERAL

FIPS approved?	Yes
Supported functions	Sign   Verify
Minimum key length (bits)	128
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	128
Maximum key length (bits)	256
Block size	16
Digest size	0
Key types	AES
Algorithms	AES
Modes	MAC
Flags	Extractable

# CKM\_AES\_OFB

FIPS approved?	Yes
Supported functions	Encrypt   Decrypt
Minimum key length (bits)	128
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	128
Maximum key length (bits)	256
Block size	16
Digest size	0
Key types	AES
Algorithms	AES
Modes	OFB
Flags	Extractable

# CKM\_AES\_XTS

FIPS approved?	Yes
Supported functions	Encrypt   Decrypt
Minimum key length (bits)	128
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	128
Maximum key length (bits)	256
Block size	16
Digest size	0
Key types	AES
Algorithms	AES
Modes	XTS
Flags	Extractable

# CKM\_ARIA\_CBC

FIPS approved?	No
Supported functions	Encrypt   Decrypt   Wrap   Unwrap
Minimum key length (bits)	128
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	256
Block size	16
Digest size	0
Key types	ARIA
Algorithms	ARIA
Modes	CBC
Flags	Extractable

# CKM\_ARIA\_CBC\_ENCRYPT\_DATA

FIPS approved?	No
Supported functions	Derive
Minimum key length (bits)	128
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	256
Block size	0
Digest size	0
Key types	ARIA
Algorithms	None
Modes	None
Flags	None

# CKM\_ARIA\_CBC\_PAD

FIPS approved?	No
Supported functions	Encrypt   Decrypt   Wrap   Unwrap
Minimum key length (bits)	128
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	256
Block size	16
Digest size	0
Key types	ARIA
Algorithms	ARIA
Modes	CBC_PAD
Flags	Extractable

## CKM\_ARIA\_CFB8

FIPS approved?	No
Supported functions	Encrypt   Decrypt
Minimum key length (bits)	128
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	256
Block size	16
Digest size	1
Key types	ARIA
Algorithms	ARIA
Modes	CFB
Flags	Extractable

## CKM\_ARIA\_CFB128

FIPS approved?	No
Supported functions	Encrypt   Decrypt
Minimum key length (bits)	128
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	256
Block size	16
Digest size	16
Key types	ARIA
Algorithms	ARIA
Modes	CFB
Flags	Extractable

## CKM\_ARIA\_CMAC

FIPS approved?	No
Supported functions	Sign   Verify
Minimum key length (bits)	128
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	256
Block size	16
Digest size	0
Key types	ARIA
Algorithms	ARIA
Modes	MAC
Flags	Extractable   CMAC

# CKM\_ARIA\_CMAC\_GENERAL

FIPS approved?	No
Supported functions	Sign   Verify
Minimum key length (bits)	128
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	256
Block size	16
Digest size	0
Key types	ARIA
Algorithms	ARIA
Modes	MAC
Flags	Extractable   CMAC

## CKM\_ARIA\_CTR

FIPS approved?	No
Supported functions	Encrypt   Decrypt
Minimum key length (bits)	128
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	256
Block size	16
Digest size	0
Key types	ARIA
Algorithms	ARIA
Modes	CTR
Flags	Extractable

# CKM\_ARIA\_ECB

FIPS approved?	No
Supported functions	Encrypt   Decrypt   Wrap   Unwrap
Minimum key length (bits)	128
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	256
Block size	16
Digest size	0
Key types	ARIA
Algorithms	ARIA
Modes	ECB
Flags	Extractable

# CKM\_ARIA\_ECB\_ENCRYPT\_DATA

FIPS approved?	No
Supported functions	Derive
Minimum key length (bits)	128
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	256
Block size	0
Digest size	0
Key types	ARIA
Algorithms	None
Modes	None
Flags	None

# CKM\_ARIA\_KEY\_GEN

FIPS approved?	No
Supported functions	Generate Key
Minimum key length (bits)	128
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	256
Block size	0
Digest size	0
Key types	ARIA
Algorithms	None
Modes	None
Flags	None

# CKM\_ARIA\_L\_CBC

FIPS approved?	No
Supported functions	Decrypt   Unwrap
Minimum key length (bits)	128
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	256
Block size	16
Digest size	0
Key types	ARIA
Algorithms	ARIA
Modes	CBC
Flags	Extractable

# CKM\_ARIA\_L\_CBC\_PAD

FIPS approved?	No
Supported functions	Decrypt   Unwrap
Minimum key length (bits)	128
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	256
Block size	16
Digest size	0
Key types	ARIA
Algorithms	ARIA
Modes	CBC_PAD
Flags	Extractable

# CKM\_ARIA\_L\_ECB

FIPS approved?	No
Supported functions	Decrypt   Unwrap
Minimum key length (bits)	128
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	256
Block size	16
Digest size	0
Key types	ARIA
Algorithms	ARIA
Modes	ECB
Flags	Extractable

# CKM\_ARIA\_L\_MAC

FIPS approved?	No
Supported functions	Verify
Minimum key length (bits)	128
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	256
Block size	16
Digest size	0
Key types	ARIA
Algorithms	ARIA
Modes	MAC
Flags	Extractable

# CKM\_ARIA\_L\_MAC\_GENERAL

FIPS approved?	No
Supported functions	Verify
Minimum key length (bits)	128
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	256
Block size	16
Digest size	0
Key types	ARIA
Algorithms	ARIA
Modes	MAC
Flags	Extractable

# CKM\_ARIA\_MAC

FIPS approved?	No
Supported functions	Sign   Verify
Minimum key length (bits)	128
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	256
Block size	16
Digest size	0
Key types	ARIA
Algorithms	ARIA
Modes	MAC
Flags	Extractable

# CKM\_ARIA\_MAC\_GENERAL

FIPS approved?	No
Supported functions	Sign   Verify
Minimum key length (bits)	128
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	256
Block size	16
Digest size	0
Key types	ARIA
Algorithms	ARIA
Modes	MAC
Flags	Extractable

# CKM\_ARIA\_OFB

FIPS approved?	No
Supported functions	Encrypt   Decrypt
Minimum key length (bits)	128
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	256
Block size	16
Digest size	0
Key types	ARIA
Algorithms	ARIA
Modes	OFB
Flags	Extractable

# CKM\_BIP32\_CHILD\_DERIVE

This mechanism is used to derive child keys from a parent key, and can generate both the private and public part of the key pair, accepting a BIP32 public or private key as input.

Cloning (or backup) of BIP32 keys can be performed only between PCIe and/or Network HSMs containing firmware versions that support BIP32.

See "BIP32 Mechanism Support and Implementation" on page 50.

#### Firmware 7.3.0 and Newer Summary

FIPS approved?	No
Supported functions	Derive
Minimum key length (bits)	256
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	256
Block size	0
Digest size	0
Key types	BIP32
Algorithms	None
Modes	None
Flags	Extractable

# CKM\_BIP32\_MASTER\_DERIVE

This mechanism is used to derive the master key pair from a seed. The input key must have the type CKK\_ GENERIC\_SECRET (size between 128 and 512 bits).

Only curve secp256k1 is supported. Key type CKK\_BIP32 is introduced; existing ECDSA keys cannot be used with the BIP32 mechanisms. All mechanisms supported by ECDSA keys are supported for BIP32 keys.

Cloning (or backup) of BIP32 keys can be performed only between PCIe and/or Network HSMs containing firmware versions that support BIP32.

See "BIP32 Mechanism Support and Implementation" on page 50.

#### Firmware 7.3.0 and Newer Summary

FIPS approved?	No
Supported functions	Derive
Minimum key length (bits)	128
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	512
Block size	0
Digest size	0
Key types	GENERIC_SECRET
Algorithms	None
Modes	None
Flags	Extractable

# CKM\_CAST3\_CBC

FIPS approved?	No
Supported functions	Encrypt   Decrypt   Wrap   Unwrap
Minimum key length (bits)	64
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	64
Block size	8
Digest size	0
Key types	CAST3
Algorithms	CAST3
Modes	СВС
Flags	Extractable

# CKM\_CAST3\_CBC\_PAD

FIPS approved?	No
Supported functions	Encrypt   Decrypt   Wrap   Unwrap
Minimum key length (bits)	64
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	64
Block size	8
Digest size	0
Key types	CAST3
Algorithms	CAST3
Modes	CBC_PAD
Flags	Extractable

# CKM\_CAST3\_ECB

FIPS approved?NoSupported functionsEncrypt   Wrap   UnwrapMinimum key length (bits)64Minimum key length for FIPS use (bits)N/AMinimum legacy key length for FIPS use (bits)64Maximum key length (bits)64Block size8Digest size0Key typesCAST3AlgorithmsECBFlagsExtractable		
Minimum key length (bits)64Minimum key length for FIPS use (bits)N/AMinimum legacy key length for FIPS use (bits)N/AMaximum key length (bits)64Block size8Digest size0Key typesCAST3AlgorithmsCAST3ModesECB	FIPS approved?	No
Minimum key length for FIPS use (bits)N/AMinimum legacy key length for FIPS use (bits)N/AMaximum key length (bits)64Block size8Digest size0Key typesCAST3AlgorithmsCAST3ModesECB	Supported functions	Encrypt   Decrypt   Wrap   Unwrap
Minimum legacy key length for FIPS use (bits)N/AMaximum key length (bits)64Block size8Digest size0Key typesCAST3AlgorithmsCAST3ModesECB	Minimum key length (bits)	64
Maximum key length (bits)64Block size8Digest size0Key typesCAST3AlgorithmsCAST3ModesECB	Minimum key length for FIPS use (bits)	N/A
Block size8Digest size0Key typesCAST3AlgorithmsCAST3ModesECB	Minimum legacy key length for FIPS use (bits)	N/A
Digest size0Key typesCAST3AlgorithmsCAST3ModesECB	Maximum key length (bits)	64
Key types     CAST3       Algorithms     CAST3       Modes     ECB	Block size	8
Algorithms     CAST3       Modes     ECB	Digest size	0
Modes ECB	Key types	CAST3
	Algorithms	CAST3
Flags Extractable	Modes	ECB
	Flags	Extractable

# CKM\_CAST3\_KEY\_GEN

FIPS approved?	No
Supported functions	Generate Key
Minimum key length (bits)	64
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	64
Block size	0
Digest size	0
Key types	CAST3
Algorithms	None
Modes	None
Flags	None

# CKM\_CAST3\_MAC

FIPS approved?	No
Supported functions	Sign   Verify
Minimum key length (bits)	64
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	64
Block size	8
Digest size	0
Key types	CAST3
Algorithms	CAST3
Modes	MAC
Flags	Extractable

# CKM\_CAST3\_MAC\_GENERAL

FIPS approved?	No
Supported functions	Sign   Verify
Minimum key length (bits)	64
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	64
Block size	8
Digest size	0
Key types	CAST3
Algorithms	CAST3
Modes	MAC
Flags	Extractable

# CKM\_CAST5\_CBC

FIPS approved?NoSupported functionsEncrypt   Unwrap   UnwrapMinimum key length (bits)64Minimum key length for FIPS use (bits)N/AMinimum legacy key length for FIPS use (bits)N/AMaximum key length (bits)128Block size0Flogest size0Key typesCAST5ModesCBCFlagsExtractable		
Minimum key length (bits)64Minimum key length for FIPS use (bits)N/AMinimum legacy key length for FIPS use (bits)N/AMaximum key length (bits)128Block size8Digest size0Key typesCAST5AlgorithmsCBC	FIPS approved?	No
Minimum key length for FIPS use (bits)N/AMinimum legacy key length for FIPS use (bits)N/AMaximum key length (bits)128Block size8Digest size0Key typesCAST5AlgorithmsCAST5ModesCBC	Supported functions	Encrypt   Decrypt   Wrap   Unwrap
Minimum legacy key length for FIPS use (bits)N/AMaximum key length (bits)128Block size8Digest size0Key typesCAST5AlgorithmsCAST5ModesCBC	Minimum key length (bits)	64
Maximum key length (bits)128Block size8Digest size0Key typesCAST5AlgorithmsCAST5ModesCBC	Minimum key length for FIPS use (bits)	N/A
Block size8Digest size0Key typesCAST5AlgorithmsCAST5ModesCBC	Minimum legacy key length for FIPS use (bits)	N/A
Digest size0Key typesCAST5AlgorithmsCAST5ModesCBC	Maximum key length (bits)	128
Key types     CAST5       Algorithms     CAST5       Modes     CBC	Block size	8
Algorithms     CAST5       Modes     CBC	Digest size	0
Modes CBC	Key types	CAST5
	Algorithms	CAST5
Flags Extractable	Modes	CBC
	Flags	Extractable

# CKM\_CAST5\_CBC\_PAD

FIPS approved?	No
Supported functions	Encrypt   Decrypt   Wrap   Unwrap
Minimum key length (bits)	64
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	128
Block size	8
Digest size	0
Key types	CAST5
Algorithms	CAST5
Modes	CBC_PAD
Flags	Extractable

# CKM\_CAST5\_ECB

FIPS approved?	No
Supported functions	Encrypt   Decrypt   Wrap   Unwrap
Minimum key length (bits)	64
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	128
Block size	8
Digest size	0
Key types	CAST5
Algorithms	CAST5
Modes	ECB
Flags	Extractable

# CKM\_CAST5\_KEY\_GEN

No
Generate Key
64
N/A
N/A
128
0
0
CAST5
None
None
None

# CKM\_CAST5\_MAC

FIPS approved?	No
Supported functions	Sign   Verify
Minimum key length (bits)	64
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	128
Block size	8
Digest size	0
Key types	CAST5
Algorithms	CAST5
Modes	MAC
Flags	Extractable

# CKM\_CAST5\_MAC\_GENERAL

FIPS approved?	No
Supported functions	Sign   Verify
Minimum key length (bits)	64
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	128
Block size	8
Digest size	0
Key types	CAST5
Algorithms	CAST5
Modes	MAC
Flags	Extractable

# CKM\_COMP128

## Firmware 7.4.2 and Newer Summary

FIPS approved?	No
Supported functions	Sign
Minimum key length (bits)	128
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	256
Block size	16
Digest size	0
Key types	AES
Algorithms	AES
Modes	ECB
Flags	None

# CKM\_DES\_CBC

FIPS approved?	No
Supported functions	Encrypt   Decrypt   Wrap   Unwrap
Minimum key length (bits)	64
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	64
Block size	8
Digest size	0
Key types	DES
Algorithms	DES
Modes	CBC
Flags	Extractable

# CKM\_DES\_CBC\_ENCRYPT\_DATA

FIPS approved?	No
Supported functions	Derive
Minimum key length (bits)	64
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	64
Block size	0
Digest size	0
Key types	Symmetric
Algorithms	None
Modes	None
Flags	None

# CKM\_DES\_CBC\_PAD

No
Encrypt   Decrypt   Wrap   Unwrap
64
N/A
N/A
64
8
0
DES
DES
CBC_PAD
Extractable

# CKM\_DES\_CFB8

FIPS approved?	Yes
Supported functions	Encrypt   Decrypt
Minimum key length (bits)	128
Minimum key length for FIPS use (bits)	192
Minimum legacy key length for FIPS use (bits)	128
Maximum key length (bits)	192
Block size	8
Digest size	1
Key types	DES3
Algorithms	DES3
Modes	CFB
Flags	Extractable

# CKM\_DES\_CFB64

FIPS approved?	Yes
Supported functions	Encrypt   Decrypt
Minimum key length (bits)	128
Minimum key length for FIPS use (bits)	192
Minimum legacy key length for FIPS use (bits)	128
Maximum key length (bits)	192
Block size	8
Digest size	8
Key types	DES3
Algorithms	DES3
Modes	CFB
Flags	Extractable

# CKM\_DES\_ECB

FIPS approved?	No
Supported functions	Encrypt   Decrypt   Wrap   Unwrap
Minimum key length (bits)	64
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	64
Block size	8
Digest size	0
Key types	DES
Algorithms	DES
Modes	ECB
Flags	Extractable

# CKM\_DES\_ECB\_ENCRYPT\_DATA

FIPS approved?	No
Supported functions	Derive
Minimum key length (bits)	64
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	64
Block size	0
Digest size	0
Key types	Symmetric
Algorithms	None
Modes	None
Flags	None

# CKM\_DES\_KEY\_GEN

FIPS approved?	No
Supported functions	Generate Key
Minimum key length (bits)	64
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	64
Block size	0
Digest size	0
Key types	DES
Algorithms	None
Modes	None
Flags	None

# CKM\_DES\_MAC

FIPS approved?	No
Supported functions	Sign   Verify
Minimum key length (bits)	64
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	64
Block size	8
Digest size	0
Key types	DES
Algorithms	DES
Modes	MAC
Flags	Extractable

# CKM\_DES\_MAC\_GENERAL

FIPS approved?	No
Supported functions	Sign   Verify
Minimum key length (bits)	64
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	64
Block size	8
Digest size	0
Key types	DES
Algorithms	DES
Modes	MAC
Flags	Extractable

## CKM\_DES\_OFB64

# CKM\_DES2\_DUKPT\_DATA

The CKM\_DES2\_DUKPT family of key derive mechanisms create keys used to protect EFTPOS terminal sessions. The mechanisms implement the algorithm for server side DUKPT derivation as defined by ANSI X9.24 part 1.

#### Summary

FIPS approved?	No
Supported functions	Derive
Minimum key length (bits)	128
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	192
Block size	0
Digest size	0
Key types	Symmetric
Algorithms	None
Modes	None
Flags	None

#### Usage

This mechanism has the following attributes:

- Only CKK\_DES2 keys can be derived. The mechanism will force the CKA\_KEY\_TYPE attribute of the derived object to equal CKK\_DES2. If the template does specify a CKA\_KEY\_TYPE attribute then it must be CKK\_DES2.
- > The mechanism takes a CK\_KEY\_DERIVATION\_STRING\_DATA structure as a parameter.
- The pData field of the parameter must point to a 10 byte array which holds the 80 bit Key Sequence Number (KSN).
- > This mechanism contributes the CKA\_CLASS and CKA\_KEY\_TYPE and CKA\_VALUE to the resulting object.

The DUKPT MAC and DATA versions will default to the appropriate usage mechanism as described in the following table:

Mechanism	CKA_SIGN	CKA_VERIFY	CKA_DECRYPT	CKA_ENCRYPT
CKM_DES2_DUKPT_MAC	True	True		
CKM_DES2_DUKPT_MAC_RESP	True			
CKM_DES2_DUKPT_DATA			True	True
CKM_DES2_DUKPT_DATA_RESP				True

## Example

<pre>#define CKM_DES2_DUKPT_PIN #define CKM_DES2_DUKPT_MAC #define CKM_DES2_DUKPT_MAC_RESP #define CKM_DES2_DUKPT_DATA #define CKM_DES2_DUKPT_DATA_RESP</pre>	<pre>(CKM_VENDOR_DEFINED + 0x611) (CKM_VENDOR_DEFINED + 0x612) (CKM_VENDOR_DEFINED + 0x613) (CKM_VENDOR_DEFINED + 0x614) (CKM_VENDOR_DEFINED + 0x615)</pre>
CK_OBJECT_HANDLE hBDKey; // handle of C CK_OBJECT_HANDLE hMKey; // handle of CK_MECHANISM svMech = { CKM_DES3_X919_M	CKK_DES2 MAC session Key
<pre>CK_KEY_DERIVATION_STRING_DATA param; CK_MECHANISM kdMech = { CKM_DES2_DUKPT_ CK_CHAR ksn[10]; CK_CHAR inp[any length]; CK_CHAR mac[4]; CK_SIZE len;</pre>	MAC , NULL, 0};
<pre>// Derive MAC verify session key param.pData=ksn; param.ulLen = 10;</pre>	
kdMech.mechanism = CKM_DES2_DUKPT_MAC; kdMech.pParameter = &param kdMech.ulParameterLen = sizeof parram;	
C_DeriveKey(hSes, &kdMech, hBDKey , NUL	L, 0, &hMKey);
<pre>// Single part verify operation</pre>	
C_VerifyInit(hSes, &svMech, hMKey); len = sizeof mac; C_Verify(hSes, inp, sizeof inp, mac, le	en);
// clean up	
C_DestroyObject(hSes, hMKey);	
// Test vectors	

# CKM\_DES2\_DUKPT\_DATA\_RESP

The CKM\_DES2\_DUKPT family of key derive mechanisms create keys used to protect EFTPOS terminal sessions. The mechanisms implement the algorithm for server side DUKPT derivation as defined by ANSI X9.24 part 1.

#### Summary

FIPS approved?	No
Supported functions	Derive
Minimum key length (bits)	128
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	192
Block size	0
Digest size	0
Key types	Symmetric
Algorithms	None
Modes	None
Flags	None

#### Usage

This mechanism has the following attributes:

- Only CKK\_DES2 keys can be derived. The mechanism will force the CKA\_KEY\_TYPE attribute of the derived object to equal CKK\_DES2. If the template does specify a CKA\_KEY\_TYPE attribute then it must be CKK\_DES2.
- > The mechanism takes a CK\_KEY\_DERIVATION\_STRING\_DATA structure as a parameter.
- The pData field of the parameter must point to a 10 byte array which holds the 80 bit Key Sequence Number (KSN).
- > This mechanism contributes the CKA\_CLASS and CKA\_KEY\_TYPE and CKA\_VALUE to the resulting object.

The DUKPT MAC and DATA versions will default to the appropriate usage mechanism as described in the following table:

Mechanism	CKA_SIGN	CKA_VERIFY	CKA_DECRYPT	CKA_ENCRYPT
CKM_DES2_DUKPT_MAC	True	True		
CKM_DES2_DUKPT_MAC_RESP	True			
CKM_DES2_DUKPT_DATA			True	True
CKM_DES2_DUKPT_DATA_RESP				True

## Example

<pre>#define CKM_DES2_DUKPT_PIN #define CKM_DES2_DUKPT_MAC #define CKM_DES2_DUKPT_MAC_RESP #define CKM_DES2_DUKPT_DATA #define CKM_DES2_DUKPT_DATA_RESP</pre>	<pre>(CKM_VENDOR_DEFINED + 0x611) (CKM_VENDOR_DEFINED + 0x612) (CKM_VENDOR_DEFINED + 0x613) (CKM_VENDOR_DEFINED + 0x614) (CKM_VENDOR_DEFINED + 0x615)</pre>
CK_OBJECT_HANDLE hBDKey; // handle of C CK_OBJECT_HANDLE hMKey; // handle of CK_MECHANISM svMech = { CKM_DES3_X919_M	CKK_DES2 MAC session Key
<pre>CK_KEY_DERIVATION_STRING_DATA param; CK_MECHANISM kdMech = { CKM_DES2_DUKPT_ CK_CHAR ksn[10]; CK_CHAR inp[any length]; CK_CHAR mac[4]; CK_SIZE len;</pre>	MAC , NULL, 0};
<pre>// Derive MAC verify session key param.pData=ksn; param.ulLen = 10;</pre>	
kdMech.mechanism = CKM_DES2_DUKPT_MAC; kdMech.pParameter = &param kdMech.ulParameterLen = sizeof parram;	
C_DeriveKey(hSes, &kdMech, hBDKey , NUL	L, 0, &hMKey);
<pre>// Single part verify operation</pre>	
C_VerifyInit(hSes, &svMech, hMKey); len = sizeof mac; C_Verify(hSes, inp, sizeof inp, mac, le	en);
// clean up	
C_DestroyObject(hSes, hMKey);	
// Test vectors	

# CKM\_DES2\_DUKPT\_MAC

The CKM\_DES2\_DUKPT family of key derive mechanisms create keys used to protect EFTPOS terminal sessions. The mechanisms implement the algorithm for server side DUKPT derivation as defined by ANSI X9.24 part 1.

#### Summary

FIPS approved?	No
Supported functions	Derive
Minimum key length (bits)	128
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	192
Block size	0
Digest size	0
Key types	Symmetric
Algorithms	None
Modes	None
Flags	None

#### Usage

This mechanism has the following attributes:

- Only CKK\_DES2 keys can be derived. The mechanism will force the CKA\_KEY\_TYPE attribute of the derived object to equal CKK\_DES2. If the template does specify a CKA\_KEY\_TYPE attribute then it must be CKK\_DES2.
- > The mechanism takes a CK\_KEY\_DERIVATION\_STRING\_DATA structure as a parameter.
- The pData field of the parameter must point to a 10 byte array which holds the 80 bit Key Sequence Number (KSN).
- > This mechanism contributes the CKA\_CLASS and CKA\_KEY\_TYPE and CKA\_VALUE to the resulting object.

The DUKPT MAC and DATA versions will default to the appropriate usage mechanism as described in the following table:

Mechanism	CKA_SIGN	CKA_VERIFY	CKA_DECRYPT	CKA_ENCRYPT
CKM_DES2_DUKPT_MAC	True	True		
CKM_DES2_DUKPT_MAC_RESP	True			
CKM_DES2_DUKPT_DATA			True	True
CKM_DES2_DUKPT_DATA_RESP				True

## Example

<pre>#define CKM_DES2_DUKPT_PIN #define CKM_DES2_DUKPT_MAC #define CKM_DES2_DUKPT_MAC_RESP #define CKM_DES2_DUKPT_DATA #define CKM_DES2_DUKPT_DATA_RESP</pre>	(CKM_VENDOR_DEFINED + 0x611) (CKM_VENDOR_DEFINED + 0x612) (CKM_VENDOR_DEFINED + 0x613) (CKM_VENDOR_DEFINED + 0x614) (CKM_VENDOR_DEFINED + 0x615)
CK_OBJECT_HANDLE hBDKey; // handle of C CK_OBJECT_HANDLE hMKey; // handle of CK_MECHANISM svMech = { CKM_DES3_X919_M	CKK_DES2 MAC session Key
<pre>CK_KEY_DERIVATION_STRING_DATA param; CK_MECHANISM kdMech = { CKM_DES2_DUKPT_ CK_CHAR ksn[10]; CK_CHAR inp[any length]; CK_CHAR mac[4]; CK_SIZE len;</pre>	_MAC , NULL, 0};
<pre>// Derive MAC verify session key param.pData=ksn; param.ulLen = 10;</pre>	
kdMech.mechanism = CKM_DES2_DUKPT_MAC; kdMech.pParameter = &param kdMech.ulParameterLen = sizeof parram;	
C_DeriveKey(hSes, &kdMech, hBDKey , NUL	L, 0, &hMKey);
<pre>// Single part verify operation</pre>	
C_VerifyInit(hSes, &svMech, hMKey); len = sizeof mac; C_Verify(hSes, inp, sizeof inp, mac, le	en);
// clean up	
C_DestroyObject(hSes, hMKey);	
// Test vectors	

## CKM\_DES2\_DUKPT\_MAC\_RESP

The CKM\_DES2\_DUKPT family of key derive mechanisms create keys used to protect EFTPOS terminal sessions. The mechanisms implement the algorithm for server side DUKPT derivation as defined by ANSI X9.24 part 1.

### Summary

FIPS approved?	No
Supported functions	Derive
Minimum key length (bits)	128
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	192
Block size	0
Digest size	0
Key types	Symmetric
Algorithms	None
Modes	None
Flags	None

#### Usage

This mechanism has the following attributes:

- Only CKK\_DES2 keys can be derived. The mechanism will force the CKA\_KEY\_TYPE attribute of the derived object to equal CKK\_DES2. If the template does specify a CKA\_KEY\_TYPE attribute then it must be CKK\_DES2.
- > The mechanism takes a CK\_KEY\_DERIVATION\_STRING\_DATA structure as a parameter.
- The pData field of the parameter must point to a 10 byte array which holds the 80 bit Key Sequence Number (KSN).
- > This mechanism contributes the CKA\_CLASS and CKA\_KEY\_TYPE and CKA\_VALUE to the resulting object.

The DUKPT MAC and DATA versions will default to the appropriate usage mechanism as described in the following table:

Mechanism	CKA_SIGN	CKA_VERIFY	CKA_DECRYPT	CKA_ENCRYPT
CKM_DES2_DUKPT_MAC	True	True		
CKM_DES2_DUKPT_MAC_RESP	True			
CKM_DES2_DUKPT_DATA			True	True
CKM_DES2_DUKPT_DATA_RESP				True

## Example

<pre>#define CKM_DES2_DUKPT_PIN #define CKM_DES2_DUKPT_MAC #define CKM_DES2_DUKPT_MAC_RESP #define CKM_DES2_DUKPT_DATA #define CKM_DES2_DUKPT_DATA_RESP</pre>	(CKM_VENDOR_DEFINED + 0x611) (CKM_VENDOR_DEFINED + 0x612) (CKM_VENDOR_DEFINED + 0x613) (CKM_VENDOR_DEFINED + 0x614) (CKM_VENDOR_DEFINED + 0x615)
CK_OBJECT_HANDLE hBDKey; // handle of C CK_OBJECT_HANDLE hMKey; // handle of CK_MECHANISM svMech = { CKM_DES3_X919_M	CKK_DES2 MAC session Key
<pre>CK_KEY_DERIVATION_STRING_DATA param; CK_MECHANISM kdMech = { CKM_DES2_DUKPT_ CK_CHAR ksn[10]; CK_CHAR inp[any length]; CK_CHAR mac[4]; CK_SIZE len;</pre>	_MAC , NULL, 0};
<pre>// Derive MAC verify session key param.pData=ksn; param.ulLen = 10;</pre>	
kdMech.mechanism = CKM_DES2_DUKPT_MAC; kdMech.pParameter = &param kdMech.ulParameterLen = sizeof parram;	
C_DeriveKey(hSes, &kdMech, hBDKey , NUL	L, 0, &hMKey);
<pre>// Single part verify operation</pre>	
C_VerifyInit(hSes, &svMech, hMKey); len = sizeof mac; C_Verify(hSes, inp, sizeof inp, mac, le	en);
// clean up	
C_DestroyObject(hSes, hMKey);	
// Test vectors	

## CKM\_DES2\_DUKPT\_PIN

The CKM\_DES2\_DUKPT family of key derive mechanisms create keys used to protect EFTPOS terminal sessions. The mechanisms implement the algorithm for server side DUKPT derivation as defined by ANSI X9.24 part 1.

### Summary

FIPS approved?	No
Supported functions	Derive
Minimum key length (bits)	128
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	192
Block size	0
Digest size	0
Key types	Symmetric
Algorithms	None
Modes	None
Flags	None

#### Usage

This mechanism has the following attributes:

- Only CKK\_DES2 keys can be derived. The mechanism will force the CKA\_KEY\_TYPE attribute of the derived object to equal CKK\_DES2. If the template does specify a CKA\_KEY\_TYPE attribute then it must be CKK\_DES2.
- > The mechanism takes a CK\_KEY\_DERIVATION\_STRING\_DATA structure as a parameter.
- The pData field of the parameter must point to a 10 byte array which holds the 80 bit Key Sequence Number (KSN).
- > This mechanism contributes the CKA\_CLASS and CKA\_KEY\_TYPE and CKA\_VALUE to the resulting object.

The DUKPT MAC and DATA versions will default to the appropriate usage mechanism as described in the following table:

Mechanism	CKA_SIGN	CKA_VERIFY	CKA_DECRYPT	CKA_ENCRYPT
CKM_DES2_DUKPT_MAC	True	True		
CKM_DES2_DUKPT_MAC_RESP	True			
CKM_DES2_DUKPT_DATA			True	True
CKM_DES2_DUKPT_DATA_RESP				True

## Example

<pre>#define CKM_DES2_DUKPT_PIN #define CKM_DES2_DUKPT_MAC #define CKM_DES2_DUKPT_MAC_RESP #define CKM_DES2_DUKPT_DATA #define CKM_DES2_DUKPT_DATA_RESP</pre>	<pre>(CKM_VENDOR_DEFINED + 0x611) (CKM_VENDOR_DEFINED + 0x612) (CKM_VENDOR_DEFINED + 0x613) (CKM_VENDOR_DEFINED + 0x614) (CKM_VENDOR_DEFINED + 0x615)</pre>
CK_OBJECT_HANDLE hBDKey; // handle of C CK_OBJECT_HANDLE hMKey; // handle of CK_MECHANISM svMech = { CKM_DES3_X919_M	CKK_DES2 MAC session Key
<pre>CK_KEY_DERIVATION_STRING_DATA param; CK_MECHANISM kdMech = { CKM_DES2_DUKPT_ CK_CHAR ksn[10]; CK_CHAR inp[any length]; CK_CHAR mac[4]; CK_SIZE len;</pre>	_MAC , NULL, 0};
<pre>// Derive MAC verify session key param.pData=ksn; param.ulLen = 10;</pre>	
kdMech.mechanism = CKM_DES2_DUKPT_MAC; kdMech.pParameter = &param kdMech.ulParameterLen = sizeof parram;	
C_DeriveKey(hSes, &kdMech, hBDKey , NUL	L, 0, &hMKey);
<pre>// Single part verify operation</pre>	
C_VerifyInit(hSes, &svMech, hMKey); len = sizeof mac; C_Verify(hSes, inp, sizeof inp, mac, le	en);
// clean up	
C_DestroyObject(hSes, hMKey);	
// Test vectors	

## CKM\_DES2\_KEY\_GEN

FIPS approved?	Yes
Supported functions	Generate Key
Minimum key length (bits)	128
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	128
Maximum key length (bits)	128
Block size	0
Digest size	0
Key types	DES2
Algorithms	None
Modes	None
Flags	None

## CKM\_DES3\_CBC

FIPS approved?	Yes
Supported functions	Encrypt   Decrypt   Wrap   Unwrap
Minimum key length (bits)	128
Minimum key length for FIPS use (bits)	192
Minimum legacy key length for FIPS use (bits)	128
Maximum key length (bits)	192
Block size	8
Digest size	0
Key types	DES3
Algorithms	DES3
Modes	CBC
Flags	Extractable

## CKM\_DES3\_CBC\_ENCRYPT\_DATA

FIPS approved?	Yes
Supported functions	Derive
Minimum key length (bits)	128
Minimum key length for FIPS use (bits)	192
Minimum legacy key length for FIPS use (bits)	128
Maximum key length (bits)	192
Block size	0
Digest size	0
Key types	Symmetric
Algorithms	None
Modes	None
Flags	None

# CKM\_DES3\_CBC\_PAD

FIPS approved?	Yes
Supported functions	Encrypt   Decrypt   Wrap   Unwrap
Minimum key length (bits)	128
Minimum key length for FIPS use (bits)	192
Minimum legacy key length for FIPS use (bits)	128
Maximum key length (bits)	192
Block size	8
Digest size	0
Key types	DES3
Algorithms	DES3
Modes	CBC_PAD
Flags	Extractable

# CKM\_DES3\_CBC\_PAD\_IPSEC

FIPS approved?	No
Supported functions	Encrypt   Decrypt   Wrap   Unwrap
Minimum key length (bits)	128
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	192
Block size	8
Digest size	0
Key types	DES3
Algorithms	DES3
Modes	CBC_PAD_IPSEC
Flags	Extractable

# CKM\_DES3\_CMAC

FIPS approved?	Yes
Supported functions	Sign   Verify
Minimum key length (bits)	128
Minimum key length for FIPS use (bits)	192
Minimum legacy key length for FIPS use (bits)	128
Maximum key length (bits)	192
Block size	8
Digest size	0
Key types	DES3
Algorithms	DES3
Modes	MAC
Flags	Extractable   CMAC

# CKM\_DES3\_CMAC\_GENERAL

FIPS approved?	Yes
Supported functions	Sign   Verify
	Sign Veniy
Minimum key length (bits)	128
Minimum key length for FIPS use (bits)	192
Minimum legacy key length for FIPS use (bits)	128
Maximum key length (bits)	192
Block size	8
Digest size	0
Key types	DES3
Algorithms	DES3
Modes	MAC
Flags	Extractable   CMAC

## CKM\_DES3\_CTR

#### Firmware 7.2.0-7.4.2 Summary

FIPS approved?	Yes
Supported functions	Encrypt   Decrypt   Wrap   Unwrap
Minimum key length (bits)	128
Minimum key length for FIPS use (bits)	192
Minimum legacy key length for FIPS use (bits)	128
Maximum key length (bits)	192
Block size	8
Digest size	0
Key types	DES3
Algorithms	DES3
Modes	CTR
Flags	Extractable

FIPS approved?	Yes
Supported functions	Encrypt   Decrypt
Minimum key length (bits)	128
Minimum key length for FIPS use (bits)	192
Minimum legacy key length for FIPS use (bits)	128
Maximum key length (bits)	192
Block size	8
Digest size	0

Key types	DES3
Algorithms	DES3
Modes	CTR
Flags	Extractable

## CKM\_DES3\_ECB

FIPS approved?	Yes
Supported functions	Encrypt   Decrypt   Wrap   Unwrap
Minimum key length (bits)	128
Minimum key length for FIPS use (bits)	192
Minimum legacy key length for FIPS use (bits)	128
Maximum key length (bits)	192
Block size	8
Digest size	0
Key types	DES3
Algorithms	DES3
Modes	ECB
Flags	Extractable

## CKM\_DES3\_ECB\_ENCRYPT\_DATA

FIPS approved?	Yes
Supported functions	Derive
Minimum key length (bits)	128
Minimum key length for FIPS use (bits)	192
Minimum legacy key length for FIPS use (bits)	128
Maximum key length (bits)	192
Block size	0
Digest size	0
Key types	Symmetric
Algorithms	None
Modes	None
Flags	None

# CKM\_DES3\_KEY\_GEN

FIPS approved?	Yes
Supported functions	Generate Key
Minimum key length (bits)	192
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	192
Maximum key length (bits)	192
Block size	0
Digest size	0
Key types	DES3
Algorithms	None
Modes	None
Flags	None

## CKM\_DES3\_MAC

CKM\_DES3\_MAC is no longer supported for MAC generation when 'HSM Policy (12) Allow Non-FIPS Algorithms' is off.

FIPS approved?	Yes
Supported functions	Sign   Verify
Minimum key length (bits)	128
Minimum key length for FIPS use (bits)	192
Minimum legacy key length for FIPS use (bits)	128
Maximum key length (bits)	192
Block size	8
Digest size	0
Key types	DES3
Algorithms	DES3
Modes	MAC
Flags	Extractable

# CKM\_DES3\_MAC\_GENERAL

FIPS approved?	Yes
Supported functions	Sign   Verify
Minimum key length (bits)	128
Minimum key length for FIPS use (bits)	192
Minimum legacy key length for FIPS use (bits)	128
Maximum key length (bits)	192
Block size	8
Digest size	0
Key types	DES3
Algorithms	DES3
Modes	MAC
Flags	Extractable

## CKM\_DES3\_X919\_MAC

The CKM\_DES3\_X919\_MAC is a signature generation and verification mechanism, as defined ANSI X9.19-1996 Financial Institution Retail Message Authentication annex 1 Cipher Block Chaining Procedure.

#### Firmware 7.4.2 and Older Summary

FIPS approved?	No
Supported functions	Sign   Verify
Minimum key length (bits)	128
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	192
Block size	8
Digest size	0
Key types	DES3
Algorithms	DES3
Modes	MAC
Flags	Extractable

#### Usage

The CKM\_DES3\_X919\_MAC mechanism is used with the **C\_VerifyInit** and **C\_SignInit** functions. It has the following attriobutes:

- > Only CKK\_DES2 and CKK\_DES3 keys are supported.
- > The mechanism takes no parameter.
- > Multi-part operation is supported.
- > The total input data length must be at least one byte.
- > The length of result is half the size of the DES block (i.e. 4 bytes).

#### Example

#define CKM\_DES3\_X919\_MAC (CKM\_VENDOR\_DEFINED + 0x150)

CK\_OBJECT\_HANDLE hKey; // handle of CKK\_DES2 or CKK\_DES3 key CK\_MECHANISM\_mech = { CKM\_DES3\_X919\_MAC , NULL, 0};

```
CK CHAR inp[any length];
CK_CHAR mac[4];
CK SIZE len;
// Single-part operation
C_SignInit(hSes, &mech, hKey);
len = sizeof mac;
C_Sign(hSes, inp, sizeof inp, mac, &len);
// Multi-part operation
C SignInit(hSes, &mech, hKey);
C SignUpdate(hSes, inp, sizeof inp/2);
C SignUpdate(hSes, inp+ (sizeof inp)/2, sizeof inp/2);
len = sizeof mac;
C SignFinal(hSes, mac, &len);
// Test vectors
static const UInt8 retailKey[16] =
{
   0x58, 0x91, 0x25, 0x86, 0x3D, 0x46, 0x10, 0x7F,
   0x46, 0x3E, 0x52, 0x3B, 0xF7, 0x46, 0x9D, 0x52
};
static const UInt8 retailInputAscii[19] =
{
   't','h','e',' ','q','u','i','c','k',' ','b','r','o','w','n',' ','f','o','x'
};
static const UInt8 retailMACAscii[4] =
{
   0x55, 0xA7, 0xBF, 0xBA
};
static const UInt8 retailInputEBCDIC[19] =
{
   // "the quick brown fox" in EBCDIC
   0xA3, 0x88, 0x85, 0x40, 0x98, 0xA4, 0x89, 0x83,
   0x92, 0x40, 0x82, 0x99, 0x96, 0xA6, 0x95, 0x40,
   0x86, 0x96, 0xA7
};
static const UInt8 retailMACEBCDIC[4] =
{
   0x60, 0xAE, 0x2C, 0xD7
};
```

# CKM\_DH\_PKCS\_DERIVE

FIPS approved?	No
Supported functions	Derive
Minimum key length (bits)	512
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	2048
Block size	0
Digest size	0
Key types	DH
Algorithms	None
Modes	None
Flags	None

# CKM\_DH\_PKCS\_KEY\_PAIR\_GEN

FIPS approved?	No
Supported functions	Generate Key Pair
Minimum key length (bits)	512
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	2048
Block size	0
Digest size	0
Key types	DH
Algorithms	None
Modes	None
Flags	None

## CKM\_DH\_PKCS\_PARAMETER\_GEN

FIPS approved?	No
Supported functions	Generate Key
Minimum key length (bits)	512
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	2048
Block size	0
Digest size	0
Key types	DH
Algorithms	None
Modes	None
Flags	None

## CKM\_DSA

FIPS approved?	Yes
Supported functions	Sign   Verify
Minimum key length (bits)	1024
Minimum key length for FIPS use (bits)	2048
Minimum legacy key length for FIPS use (bits)	1024
Maximum key length (bits)	3072
Block size	0
Digest size	0
Key types	DSA
Algorithms	DSA
Modes	None
Flags	None

# CKM\_DSA\_KEY\_PAIR\_GEN

FIPS approved?	Yes
Supported functions	Generate Key Pair
Minimum key length (bits)	1024
Minimum key length for FIPS use (bits)	2048
Minimum legacy key length for FIPS use (bits)	1024
Maximum key length (bits)	3072
Block size	0
Digest size	0
Key types	DSA
Algorithms	None
Modes	None
Flags	None

# CKM\_DSA\_PARAMETER\_GEN

FIPS approved?	Yes
Supported functions	Generate Key
Minimum key length (bits)	1024
Minimum key length for FIPS use (bits)	2048
Minimum legacy key length for FIPS use (bits)	1024
Maximum key length (bits)	3072
Block size	0
Digest size	0
Key types	DSA
Algorithms	None
Modes	None
Flags	None

## CKM\_DSA\_SHA1

**NOTE** The flags MPE\_NO\_SIGN and MPE\_NO\_WRAP are assigned to the SHA1 mechanisms. When the HSM policy "Allow NonFIPS Algorithms" is disabled, mechanisms with the MPE\_NO\_SIGN flag *are not* allowed to sign data, and mechanisms with the MPE\_NO\_WRAP flag *are not* allowed to wrap objects. When the policy is enabled, these mechanisms *are* allowed to sign data and wrap objects respectively.

FIPS approved?	Yes
Supported functions	Sign   Verify
Minimum key length (bits)	1024
Minimum key length for FIPS use (bits)	2048
Minimum legacy key length for FIPS use (bits)	1024
Maximum key length (bits)	3072
Block size	64
Digest size	20
Key types	DSA
Algorithms	SHA
Modes	None
Flags	Extractable

## CKM\_DSA\_SHA224

FIPS approved?	Yes
Supported functions	Sign   Verify
Minimum key length (bits)	1024
Minimum key length for FIPS use (bits)	2048
Minimum legacy key length for FIPS use (bits)	1024
Maximum key length (bits)	3072
Block size	64
Digest size	28
Key types	DSA
Algorithms	SHA224
Modes	None
Flags	Extractable

## CKM\_DSA\_SHA256

FIPS approved?	Yes
Supported functions	Sign   Verify
Minimum key length (bits)	1024
Minimum key length for FIPS use (bits)	2048
Minimum legacy key length for FIPS use (bits)	1024
Maximum key length (bits)	3072
Block size	64
Digest size	32
Key types	DSA
Algorithms	SHA256
Modes	None
Flags	Extractable

# CKM\_EC\_EDWARDS\_KEY\_PAIR\_GEN

FIPS approved?	No
Supported functions	Generate Key Pair
Minimum key length (bits)	256
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	256
Block size	0
Digest size	0
Key types	EDDSA
Algorithms	None
Modes	None
Flags	None

# CKM\_EC\_KEY\_PAIR\_GEN

FIPS approved?	Yes
Supported functions	Generate Key Pair
Minimum key length (bits)	105
Minimum key length for FIPS use (bits)	224
Minimum legacy key length for FIPS use (bits)	160
Maximum key length (bits)	571
Block size	0
Digest size	0
Key types	ECDSA
Algorithms	None
Modes	None
Flags	None

## CKM\_EC\_KEY\_PAIR\_GEN\_W\_EXTRA\_BITS

FIPS approved?	Yes
Supported functions	Generate Key Pair
Minimum key length (bits)	105
Minimum key length for FIPS use (bits)	224
Minimum legacy key length for FIPS use (bits)	160
Maximum key length (bits)	571
Block size	0
Digest size	0
Key types	ECDSA
Algorithms	None
Modes	None
Flags	Extra bits

# CKM\_EC\_MONTGOMERY\_KEY\_PAIR\_GEN

Generate keys over Montgomery curves. Keys generated with this mechanism are of type CKK\_EC\_ MONTGOMERY. They can be used with the existing CKM\_ECDH1\_DERIVE mechanism. Given that the ECDH mechanism is the same, and relies on "point multiply" on the given curve, no Montgomery-specific mechanism is provided at this time. Allowed curve is "Curve25519".

#### Firmware 7.1.0 and Newer Summary

FIPS approved?	Yes
Supported functions	Generate Key Pair
Minimum key length (bits)	256
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	256
Maximum key length (bits)	256
Block size	0
Digest size	0
Key types	EC_MONT
Algorithms	None
Modes	None
Flags	None

FIPS approved?	No
Supported functions	Generate Key Pair
Minimum key length (bits)	256
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	256

Block size	0
Digest size	0
Key types	EC_MONT
Algorithms	None
Modes	None
Flags	None

## CKM\_ECDH1\_COFACTOR\_DERIVE

### Firmware 7.3.0 and Newer Summary

FIPS approved?	Yes
Supported functions	Derive
Minimum key length (bits)	105
Minimum key length for FIPS use (bits)	224
Minimum legacy key length for FIPS use (bits)	160
Maximum key length (bits)	571
Block size	0
Digest size	0
Key types	ECDSA   BIP32
Algorithms	None
Modes	None
Flags	None

FIPS approved?	Yes
Supported functions	Derive
Minimum key length (bits)	105
Minimum key length for FIPS use (bits)	224
Minimum legacy key length for FIPS use (bits)	160
Maximum key length (bits)	571
Block size	0
Digest size	0

Key types	ECDSA
Algorithms	None
Modes	None
Flags	None

# CKM\_ECDH1\_DERIVE

Elliptic Curve Diffie-Hellman is an anonymous key-agreement protocol. CKM\_ECDH1\_DERIVE is the derive function for that protocol.

**NOTE** To enhance performance, we have created a proprietary call CA\_ DeriveKeyAndWrap, which is an optimization of C\_DeriveKey with C\_Wrap, merging the two functions into one (the in and out constraints are the same as for the individual functions). A further optimization is applied when mechanism CKM\_ECDH1\_DERIVE is used with CA\_ DeriveKeyAndWrap.

If CA\_DeriveKeyAndWrap is called with other mechanisms, those would not be optimized.

#### Firmware 7.3.0 and Newer Summary

FIPS approved?	Yes
Supported functions	Derive
Minimum key length (bits)	105
Minimum key length for FIPS use (bits)	224
Minimum legacy key length for FIPS use (bits)	160
Maximum key length (bits)	571
Block size	0
Digest size	0
Key types	ECDSA   EC_MONT   BIP32
Algorithms	None
Modes	None
Flags	None

FIPS approved?	Yes
Supported functions	Derive

Minimum key length (bits)	105
Minimum key length for FIPS use (bits)	224
Minimum legacy key length for FIPS use (bits)	160
Maximum key length (bits)	571
Block size	0
Digest size	0
Key types	ECDSA   EC_MONT
Algorithms	None
Modes	None
Flags	None

# CKM\_ECDSA

### Firmware 7.3.0 and Newer Summary

FIPS approved?	Yes
Supported functions	Sign   Verify
Minimum key length (bits)	105
Minimum key length for FIPS use (bits)	224
Minimum legacy key length for FIPS use (bits)	160
Maximum key length (bits)	571
Block size	0
Digest size	0
Key types	ECDSA   BIP32
Algorithms	ECDSA
Modes	None
Flags	None

FIPS approved?	Yes
Supported functions	Sign   Verify
Minimum key length (bits)	105
Minimum key length for FIPS use (bits)	224
Minimum legacy key length for FIPS use (bits)	160
Maximum key length (bits)	571
Block size	0
Digest size	0

Key types	ECDSA
Algorithms	ECDSA
Modes	None
Flags	None

# CKM\_ECDSA\_GBCS\_SHA256

### Firmware 7.3.0 and Newer Summary

FIPS approved?	No
Supported functions	Sign   Verify
Minimum key length (bits)	256
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	256
Block size	64
Digest size	32
Key types	ECDSA   BIP32
Algorithms	SHA256
Modes	None
Flags	Extractable

FIPS approved?	No
Supported functions	Sign   Verify
Minimum key length (bits)	256
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	256
Block size	64
Digest size	32

Key types	ECDSA
Algorithms	SHA256
Modes	None
Flags	Extractable

**NOTE** The flags MPE\_NO\_SIGN and MPE\_NO\_WRAP are assigned to the SHA1 mechanisms. When the HSM policy "Allow NonFIPS Algorithms" is disabled, mechanisms with the MPE\_NO\_SIGN flag *are not* allowed to sign data, and mechanisms with the MPE\_NO\_WRAP flag *are not* allowed to wrap objects. When the policy is enabled, these mechanisms *are* allowed to sign data and wrap objects respectively.

### Firmware 7.3.0 and Newer Summary

FIPS approved?	Yes
Supported functions	Sign   Verify
Minimum key length (bits)	105
Minimum key length for FIPS use (bits)	224
Minimum legacy key length for FIPS use (bits)	160
Maximum key length (bits)	571
Block size	64
Digest size	20
Key types	ECDSA   BIP32
Algorithms	SHA
Modes	None
Flags	Extractable

FIPS approved?	Yes
Supported functions	Sign   Verify
Minimum key length (bits)	105
Minimum key length for FIPS use (bits)	224
Minimum legacy key length for FIPS use (bits)	160

Maximum key length (bits)	571
Block size	64
Digest size	20
Key types	ECDSA
Algorithms	SHA
Modes	None
Flags	Extractable

### Firmware 7.3.0 and Newer Summary

FIPS approved?YesSupported functionsSign   VerifyMinimum key length (bits)105Minimum key length for FIPS use (bits)244Minimum legacy key length for FIPS use (bits)160Maximum key length (bits)571Block size64Digest size28Key typesECDSA   BIP32AlgorithmsShA224ModesNoneFlagsExtractable		
Minimum key length (bits)105Minimum key length for FIPS use (bits)224Minimum legacy key length for FIPS use (bits)160Maximum key length (bits)571Block size64Digest size28Key typesECDSA   BIP32AlgorithmsSHA224ModesNone	FIPS approved?	Yes
Minimum key length for FIPS use (bits)224Minimum legacy key length for FIPS use (bits)160Maximum key length (bits)571Block size64Digest size28Key typesECDSA   BIP32AlgorithmsSHA224ModesNone	Supported functions	Sign   Verify
Minimum legacy key length for FIPS use (bits)160Maximum key length (bits)571Block size64Digest size28Key typesECDSA   BIP32AlgorithmsSHA224ModesNone	Minimum key length (bits)	105
Maximum key length (bits)571Block size64Digest size28Key typesECDSA   BIP32AlgorithmsSHA224ModesNone	Minimum key length for FIPS use (bits)	224
Block size64Digest size28Key typesECDSA   BIP32AlgorithmsSHA224ModesNone	Minimum legacy key length for FIPS use (bits)	160
Digest size       28         Key types       ECDSA   BIP32         Algorithms       SHA224         Modes       None	Maximum key length (bits)	571
Key types       ECDSA   BIP32         Algorithms       SHA224         Modes       None	Block size	64
Algorithms     SHA224       Modes     None	Digest size	28
Modes None	Key types	ECDSA   BIP32
	Algorithms	SHA224
Flags Extractable	Modes	None
	Flags	Extractable

FIPS approved?	Yes
Supported functions	Sign   Verify
Minimum key length (bits)	105
Minimum key length for FIPS use (bits)	224
Minimum legacy key length for FIPS use (bits)	160
Maximum key length (bits)	571
Block size	64
Digest size	28

Key types	ECDSA
Algorithms	SHA224
Modes	None
Flags	Extractable

### Firmware 7.3.0 and Newer Summary

FIPS approved?	Yes
Supported functions	Sign   Verify
Minimum key length (bits)	105
Minimum key length for FIPS use (bits)	224
Minimum legacy key length for FIPS use (bits)	160
Maximum key length (bits)	571
Block size	64
Digest size	32
Key types	ECDSA   BIP32
Algorithms	SHA256
Modes	None
Flags	Extractable

FIPS approved?	Yes
Supported functions	Sign   Verify
Minimum key length (bits)	105
Minimum key length for FIPS use (bits)	224
Minimum legacy key length for FIPS use (bits)	160
Maximum key length (bits)	571
Block size	64
Digest size	32

Key types	ECDSA
Algorithms	SHA256
Modes	None
Flags	Extractable

### Firmware 7.3.0 and Newer Summary

FIPS approved?YesSupported functionsSign   VerifyMinimum key length (bits)105Minimum key length for FIPS use (bits)224Minimum legacy key length for FIPS use (bits)160Maximum key length (bits)571	
Minimum key length (bits)105Minimum key length for FIPS use (bits)224Minimum legacy key length for FIPS use (bits)160	
Minimum key length for FIPS use (bits)       224         Minimum legacy key length for FIPS use (bits)       160	
Minimum legacy key length for FIPS use (bits)       160	
Maximum key length (bits) 571	
Block size 128	
Digest size 48	
Key types ECDSA   BIP32	
Algorithms SHA384	
Modes None	
Flags Extractable	

FIPS approved?	Yes
Supported functions	Sign   Verify
Minimum key length (bits)	105
Minimum key length for FIPS use (bits)	224
Minimum legacy key length for FIPS use (bits)	160
Maximum key length (bits)	571
Block size	128
Digest size	48

Key types	ECDSA
Algorithms	SHA384
Modes	None
Flags	Extractable

### Firmware 7.3.0 and Newer Summary

FIPS approved?	Yes
Supported functions	Sign   Verify
Minimum key length (bits)	105
Minimum key length for FIPS use (bits)	224
Minimum legacy key length for FIPS use (bits)	160
Maximum key length (bits)	571
Block size	128
Digest size	*
Key types	ECDSA   BIP32
Algorithms	SHA512
Modes	None
Flags	Extractable

FIPS approved?	Yes
Supported functions	Sign   Verify
Minimum key length (bits)	105
Minimum key length for FIPS use (bits)	224
Minimum legacy key length for FIPS use (bits)	160
Maximum key length (bits)	571
Block size	128
Digest size	*

Key types	ECDSA
Algorithms	SHA512
Modes	None
Flags	Extractable

# CKM\_ECIES

**NOTE** This is a single part operation, so even if it is called using multi-part API, we accumulate the data (up to a maximum) and return data only on the "final" operation. That is the meaning of "Accumulating" in the table, below.

### Firmware 7.3.0 and Newer Summary

FIPS approved?	Yes
Supported functions	Encrypt   Decrypt
Minimum key length (bits)	105
Minimum key length for FIPS use (bits)	224
Minimum legacy key length for FIPS use (bits)	160
Maximum key length (bits)	571
Block size	0
Digest size	0
Key types	ECDSA   EC_MONT   BIP32
Algorithms	None
Modes	None
Flags	Accumulating

FIPS approved?	Yes
Supported functions	Encrypt   Decrypt
Minimum key length (bits)	105
Minimum key length for FIPS use (bits)	224
Minimum legacy key length for FIPS use (bits)	160
Maximum key length (bits)	571

Block size	0
Digest size	0
Key types	ECDSA   EC_MONT
Algorithms	None
Modes	None
Flags	Accumulating

## CKM\_EDDSA

This mechanism makes use of keys generated by "CKM\_EC\_EDWARDS\_KEY\_PAIR\_GEN" on page 175 (using keys generated over Edwards curves) for EDDSA signing. The keys used by this mechanism are of type CKK\_EC\_EDWARDS. For SafeNet HSM, the EDDSA algorithm is compliant with "PureEDDSA" as defined in RFC 8032 and "EdDSA for more curves, July 2015".

#### Summary

FIPS approved?	No
Supported functions	Sign   Verify
Minimum key length (bits)	256
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	256
Block size	0
Digest size	0
Key types	EDDSA
Algorithms	SHA512
Modes	None
Flags	Extractable

#### **Mechanism Parameters**

Mechanism parameters are optional; not using the parameters selects the PureEdDSA algorithm **ed25519**. Setting the prehashed flag (phFlag) to TRUE will select the prehashed **ed25519ph** curve variant. **NOTE:** This curve variant requires minimum firmware version 7.4.0. See Version Dependencies by Feature for more information.

```
CK_EDDSA_PARAMS eddsaParams;
```

```
eddsaParams.phFlag = CK_TRUE; // Set prehashed flag to true for Ed25519ph. Setting it to
false or not using mechanism parameters does Ed25519.
    eddsaParams.ulContextDataLen = 0; // Context length must be 0
    eddsaParams.pContextData = NULL; // Context must be NULL
CK_MECHANISM mechanism;
    mechanism.mechanism = CKM_EDDSA;
    mechanism.pParameter = &eddsaParams;
```

```
mechanism.ulParameterLen = sizeof(eddsaParams);
C_SignInit(hSession, &mechanism, hKey); // or C_VerifyInit
```

// followed by C\_Sign, C\_SignUpdate/C\_SignFinal or verify equivalents.

#### OIDs and Algorithm Identifiers for 25519 Keys

New OIDs and algorithm identifiers are as follows. Curve identifiers, including the plaintext curve names, must be ASN.1-encoded.

#### Edwards 25519 (sign/verify)

Curve Identifier (CKA\_ECDSA\_PARAMS):

- > "edwards25519" (RFC7748)
- > "Ed25519" (RFC8410)
- > 1.3.6.1.4.1.11591.15.1 (https://www.alvestrand.no/objectid/1.3.6.1.4.1.11591.15.1.html)

Key OIDs (wrap/unwrap):

- > 1.3.101.100 (https://tools.ietf.org/html/draft-josefsson-pkix-eddsa-04)
- > 1.3.101.112 (RFC8410)

#### Curve 25519 (ECDH)

Curve Identifier (CKA\_ECDSA\_PARAMS):

- > "curve25519" (RFC7748)
- > "X25519" (RFC8410)
- > 1.3.6.1.4.1.3029.1.5.1 (http://oidref.com/1.3.6.1.4.1.3029.1.5.1)

#### Key OIDs (wrap/unwrap):

- > 1.3.6.1.4.1.11591.7 (https://tools.ietf.org/html/draft-josefsson-pkix-newcurves-00)
- > 1.3.101.110 (RFC8410)

# CKM\_EDDSA\_NACL

Use EDDSA keys in Networking and Cryptography Library ("salt") sign/verify operations.

FIPS approved?	No
Supported functions	Sign   Verify
Minimum key length (bits)	256
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	256
Block size	0
Digest size	0
Key types	EDDSA
Algorithms	SHA512
Modes	None
Flags	Extractable

# CKM\_GENERIC\_SECRET\_KEY\_GEN

FIPS approved?	Yes
Supported functions	Generate Key
Minimum key length (bits)	8
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	112
Maximum key length (bits)	4096
Block size	0
Digest size	0
Key types	None
Algorithms	None
Modes	None
Flags	None

# CKM\_HAS160

FIPS approved?	No
Supported functions	Digest
Minimum key length (bits)	0
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	0
Block size	64
Digest size	20
Key types	None
Algorithms	HAS160
Modes	None
Flags	Extractable   Korean

FIPS approved?	No
Supported functions	Sign   Verify
Minimum key length (bits)	8
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	4096
Block size	*
Digest size	28
Key types	Symmetric
Algorithms	SHA3_224
Modes	НМАС
Flags	Extractable

FIPS approved?	No
Supported functions	Sign   Verify
Minimum key length (bits)	8
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	4096
Block size	136
Digest size	32
Key types	Symmetric
Algorithms	SHA3_256
Modes	НМАС
Flags	Extractable

FIPS approved?	No
Supported functions	Sign   Verify
Minimum key length (bits)	8
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	4096
Block size	104
Digest size	48
Key types	Symmetric
Algorithms	SHA3_384
Modes	HMAC
Flags	Extractable

FIPS approved?	No
Supported functions	Sign   Verify
Minimum key length (bits)	8
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	4096
Block size	72
Digest size	64
Key types	Symmetric
Algorithms	SHA3_512
Modes	HMAC
Flags	Extractable

# CKM\_KCDSA\_HAS160

**NOTE** The SEED and KCDSA mechanisms are available on your HSM if Korean Algorithms are enabled.

FIPS approved?	No
Supported functions	Sign   Verify
Minimum key length (bits)	1024
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	2048
Block size	64
Digest size	20
Key types	KCDSA
Algorithms	HAS160
Modes	None
Flags	Korean

# CKM\_KCDSA\_HAS160\_NO\_PAD

**NOTE** The SEED and KCDSA mechanisms are available on your HSM if Korean Algorithms are enabled.

FIPS approved?	No
Supported functions	Sign   Verify
Minimum key length (bits)	1024
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	2048
Block size	64
Digest size	20
Key types	KCDSA
Algorithms	HAS160
Modes	None
Flags	Korean

# CKM\_KCDSA\_KEY\_PAIR\_GEN

**NOTE** The SEED and KCDSA mechanisms are available on your HSM if Korean Algorithms are enabled.

FIPS approved?	No
Supported functions	Generate Key Pair
Minimum key length (bits)	1024
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	2048
Block size	0
Digest size	0
Key types	KCDSA
Algorithms	None
Modes	None
Flags	Korean

# CKM\_KCDSA\_PARAMETER\_GEN

**NOTE** The SEED and KCDSA mechanisms are available on your HSM if Korean Algorithms are enabled.

FIPS approved?	No
Supported functions	Generate Key
Minimum key length (bits)	1024
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	2048
Block size	0
Digest size	0
Key types	KCDSA
Algorithms	None
Modes	None
Flags	Korean

**NOTE** The SEED and KCDSA mechanisms are available on your HSM if Korean Algorithms are enabled.

**NOTE** The flags MPE\_NO\_SIGN and MPE\_NO\_WRAP are assigned to the SHA1 mechanisms. When the HSM policy "Allow NonFIPS Algorithms" is disabled, mechanisms with the MPE\_NO\_SIGN flag *are not* allowed to sign data, and mechanisms with the MPE\_NO\_WRAP flag *are not* allowed to wrap objects. When the policy is enabled, these mechanisms *are* allowed to sign data and wrap objects respectively.

FIPS approved?	No
Supported functions	Sign   Verify
Minimum key length (bits)	1024
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	2048
Block size	64
Digest size	20
Key types	KCDSA
Algorithms	SHA
Modes	None
Flags	Korean

# CKM\_KCDSA\_SHA1\_NO\_PAD

**NOTE** The SEED and KCDSA mechanisms are available on your HSM if Korean Algorithms are enabled.

**NOTE** The flags MPE\_NO\_SIGN and MPE\_NO\_WRAP are assigned to the SHA1 mechanisms. When the HSM policy "Allow NonFIPS Algorithms" is disabled, mechanisms with the MPE\_NO\_SIGN flag *are not* allowed to sign data, and mechanisms with the MPE\_NO\_WRAP flag *are not* allowed to wrap objects. When the policy is enabled, these mechanisms *are* allowed to sign data and wrap objects respectively.

FIPS approved?	No
Supported functions	Sign   Verify
Minimum key length (bits)	1024
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	2048
Block size	64
Digest size	20
Key types	KCDSA
Algorithms	SHA
Modes	None
Flags	Korean

**NOTE** The SEED and KCDSA mechanisms are available on your HSM if Korean Algorithms are enabled.

FIPS approved?	No
Supported functions	Sign   Verify
Minimum key length (bits)	1024
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	2048
Block size	64
Digest size	28
Key types	KCDSA
Algorithms	SHA224
Modes	None
Flags	Korean

# CKM\_KCDSA\_SHA224\_NO\_PAD

**NOTE** The SEED and KCDSA mechanisms are available on your HSM if Korean Algorithms are enabled.

**NOTE** The flags MPE\_NO\_SIGN and MPE\_NO\_WRAP are assigned to the SHA1 mechanisms. When the HSM policy "Allow NonFIPS Algorithms" is disabled, mechanisms with the MPE\_NO\_SIGN flag *are not* allowed to sign data, and mechanisms with the MPE\_NO\_WRAP flag *are not* allowed to wrap objects. When the policy is enabled, these mechanisms *are* allowed to sign data and wrap objects respectively.

No
Sign   Verify
1024
N/A
N/A
2048
64
28
KCDSA
SHA224
None
Korean

## CKM\_KCDSA\_SHA256

**NOTE** The SEED and KCDSA mechanisms are available on your HSM if Korean Algorithms are enabled.

FIPS approved?	No
Supported functions	Sign   Verify
Minimum key length (bits)	1024
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	2048
Block size	64
Digest size	32
Key types	KCDSA
Algorithms	SHA256
Modes	None
Flags	Korean

## CKM\_KCDSA\_SHA256\_NO\_PAD

**NOTE** The SEED and KCDSA mechanisms are available on your HSM if Korean Algorithms are enabled.

FIPS approved?	No
Supported functions	Sign   Verify
Minimum key length (bits)	1024
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	2048
Block size	64
Digest size	32
Key types	KCDSA
Algorithms	SHA256
Modes	None
Flags	Korean

## CKM\_KCDSA\_SHA384

**NOTE** The SEED and KCDSA mechanisms are available on your HSM if Korean Algorithms are enabled.

FIPS approved?	No
Supported functions	Sign   Verify
Minimum key length (bits)	1024
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	2048
Block size	128
Digest size	48
Key types	KCDSA
Algorithms	SHA384
Modes	None
Flags	Korean

## CKM\_KCDSA\_SHA384\_NO\_PAD

**NOTE** The SEED and KCDSA mechanisms are available on your HSM if Korean Algorithms are enabled.

FIPS approved?	No
Supported functions	Sign   Verify
Minimum key length (bits)	1024
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	2048
Block size	128
Digest size	48
Key types	KCDSA
Algorithms	SHA384
Modes	None
Flags	Korean

## CKM\_KCDSA\_SHA512

**NOTE** The SEED and KCDSA mechanisms are available on your HSM if Korean Algorithms are enabled.

FIPS approved?	No
Supported functions	Sign   Verify
Minimum key length (bits)	1024
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	2048
Block size	128
Digest size	*
Key types	KCDSA
Algorithms	SHA512
Modes	None
Flags	Korean

## CKM\_KCDSA\_SHA512\_NO\_PAD

**NOTE** The SEED and KCDSA mechanisms are available on your HSM if Korean Algorithms are enabled.

FIPS approved?	No
Supported functions	Sign   Verify
Minimum key length (bits)	1024
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	2048
Block size	128
Digest size	*
Key types	KCDSA
Algorithms	SHA512
Modes	None
Flags	Korean

FIPS approved?	No
Supported functions	Digest
Minimum key length (bits)	0
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	0
Block size	*
Digest size	28
Key types	None
Algorithms	KECCAK_224
Modes	None
Flags	Extractable

FIPS approved?NoSupported functionsDigestMinimum key length (bits)0Minimum key length for FIPS use (bits)N/AMinimum key length for FIPS use (bits)0Maximum key length (bits)0Block size30Figst sizeNoneModesKECCAK_256ModesNoneFigsExtraction		
Minimum key length (bits)0Minimum key length for FIPS use (bits)N/AMinimum legacy key length for FIPS use (bits)N/AMaximum key length (bits)0Block size136Digest size32Key typesNoneAlgorithmsKECCAK_256ModesNone	FIPS approved?	No
Minimum key length for FIPS use (bits)N/AMinimum legacy key length for FIPS use (bits)N/AMaximum key length (bits)0Block size136Digest size32Key typesNoneAlgorithmsKECCAK_256ModesNone	Supported functions	Digest
Minimum legacy key length for FIPS use (bits)N/AMaximum key length (bits)0Block size136Digest size32Key typesNoneAlgorithmsKECCAK_256ModesNone	Minimum key length (bits)	0
Maximum key length (bits)0Block size136Digest size32Key typesNoneAlgorithmsKECCAK_256ModesNone	Minimum key length for FIPS use (bits)	N/A
Block size136Digest size32Key typesNoneAlgorithmsKECCAK_256ModesNone	Minimum legacy key length for FIPS use (bits)	N/A
Digest size32Key typesNoneAlgorithmsKECCAK_256ModesNone	Maximum key length (bits)	0
Key types       None         Algorithms       KECCAK_256         Modes       None	Block size	136
Algorithms     KECCAK_256       Modes     None	Digest size	32
Modes None	Key types	None
	Algorithms	KECCAK_256
Flags Extractable	Modes	None
	Flags	Extractable

FIPS approved?	No
Supported functions	Digest
Minimum key length (bits)	0
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	0
Block size	104
Digest size	48
Key types	None
Algorithms	KECCAK_384
Modes	None
Flags	Extractable

FIPS approved?	No
Supported functions	Digest
Minimum key length (bits)	0
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	0
Block size	72
Digest size	64
Key types	None
Algorithms	KECCAK_512
Modes	None
Flags	Extractable

# CKM\_KEY\_WRAP\_SET\_OAEP

FIPS approved?	No
Supported functions	Wrap   Unwrap
Minimum key length (bits)	256
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	8192
Block size	0
Digest size	0
Key types	RSA
Algorithms	None
Modes	None
Flags	None

### CKM\_MD2

FIPS approved?	No
Supported functions	Digest
Minimum key length (bits)	0
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	0
Block size	16
Digest size	16
Key types	None
Algorithms	MD2
Modes	None
Flags	Extractable

# CKM\_MD2\_KEY\_DERIVATION

FIPS approved?	No
Supported functions	Derive
Minimum key length (bits)	8
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	4096
Block size	16
Digest size	16
Key types	Symmetric
Algorithms	None
Modes	None
Flags	None

## CKM\_MD5\_HMAC

FIPS approved?	No
Supported functions	Sign   Verify
Minimum key length (bits)	8
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	4096
Block size	64
Digest size	16
Key types	Symmetric
Algorithms	MD5
Modes	HMAC
Flags	Extractable

# CKM\_MD5\_HMAC\_GENERAL

FIPS approved?	No
Supported functions	Sign   Verify
Minimum key length (bits)	8
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	4096
Block size	64
Digest size	16
Key types	Symmetric
Algorithms	MD5
Modes	HMAC
Flags	Extractable

# CKM\_MD5\_KEY\_DERIVATION

FIPS approved?	No
Supported functions	Derive
Minimum key length (bits)	8
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	4096
Block size	64
Digest size	16
Key types	Symmetric
Algorithms	None
Modes	None
Flags	None

## CKM\_MILENAGE

FIPS approved?NoSupported functionsSignMinimum key length (bits)128Minimum key length for FIPS use (bits)N/AMinimum legacy key length for FIPS use (bits)256Block size16Digest size0Key typesAES		
Minimum key length (bits)128Minimum key length for FIPS use (bits)N/AMinimum legacy key length for FIPS use (bits)N/AMaximum key length (bits)256Block size16Digest size0	FIPS approved?	No
Minimum key length for FIPS use (bits)N/AMinimum legacy key length for FIPS use (bits)N/AMaximum key length (bits)256Block size16Digest size0	Supported functions	Sign
Minimum legacy key length for FIPS use (bits)N/AMaximum key length (bits)256Block size16Digest size0	Minimum key length (bits)	128
Maximum key length (bits)256Block size16Digest size0	Minimum key length for FIPS use (bits)	N/A
Block size     16       Digest size     0	Minimum legacy key length for FIPS use (bits)	N/A
Digest size 0	Maximum key length (bits)	256
	Block size	16
Key types AES	Digest size	0
	Key types	AES
Algorithms AES	Algorithms	AES
Modes ECB	Modes	ECB
Flags None	Flags	None

# CKM\_MILENAGE\_AUTS

FIPS approved?	No
Supported functions	Sign
Minimum key length (bits)	128
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	256
Block size	16
Digest size	0
Key types	AES
Algorithms	AES
Modes	ECB
Flags	None

# CKM\_MILENAGE\_RESYNC

FIPS approved?	No
Supported functions	Sign
Minimum key length (bits)	128
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	256
Block size	16
Digest size	0
Key types	AES
Algorithms	AES
Modes	ECB
Flags	None

# CKM\_NIST\_PRF\_KDF

#### Summary

FIPS approved?	Yes
Supported functions	Derive
Minimum key length (bits)	8
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	112
Maximum key length (bits)	4096
Block size	0
Digest size	0
Key types	Symmetric
Algorithms	None
Modes	None
Flags	None

#### Usage

The CKM\_NIST\_PRF\_KDF mechanism only supports counter mode. CKM\_NIST\_PRF\_KDF is always allowed, whether **HSM policy 12: Allow Non-FIPS algorithms** is on or off. This mechanism can be used with the following mechanisms as the pseudorandom function:

- > AES\_CMAC
- > DES3\_CMAC
- > HMAC\_SHA1
- > HMAC\_SHA224
- > HMAC\_SHA256
- > HMAC\_SHA384
- > HMAC\_SHA512

*NIST SP 800-108* allows for some variation on what/how information is encoded and describes some fields as optional. To accommodate this, there are multiple encoding schemes you can specify, with variations on what information is included and what order the fields are arranged in. All counters and lengths are represented in big endian format. The following schemes are available:

- LUNA\_PRF\_KDF\_ENCODING\_SCHEME\_1: the Counter (4 bytes), Context, Separator byte, Label, and Length (4 bytes) fields are included.
- LUNA\_PRF\_KDF\_ENCODING\_SCHEME\_2: the Counter (4 bytes), Context and Label fields are included.
- LUNA\_PRF\_KDF\_ENCODING\_SCHEME\_3: the Counter (4 bytes), Label, Separator byte, Context, and Length (4 bytes) fields are included.
- LUNA\_PRF\_KDF\_ENCODING\_SCHEME\_4: the Counter (4 bytes), Label and Context fields are included.
- LUNA\_PRF\_KDF\_ENCODING\_SCHEME\_SCP03: the Label, Separator byte, Length (2 bytes), Counter, and Context fields are included.
- LUNA\_PRF\_KDF\_ENCODING\_SCHEME\_HID\_KD: the Counter, Label, Separator byte, Context, and Length (2 bytes) fields are included.

#### Example

```
/* Parameter and values used with CKM PRF KDF and CKM NIST PRF KDF. */
typedef CK ULONG CK KDF PRF TYPE;
typedef CK ULONG CK KDF PRF ENCODING SCHEME;
/** PRF KDF schemes */
                                     0x0000001
#define CK NIST PRF KDF DES3 CMAC
                                     0x0000002
#define CK NIST PRF KDF AES CMAC
#define CK PRF KDF ARIA CMAC
                                      0x0000003
#define CK PRF KDF SEED CMAC
                                      0x00000004
#define CK NIST PRF KDF HMAC SHA1
                                     0x00000005
#define CK NIST PRF KDF HMAC SHA224 0x0000006
#define CK NIST PRF KDF HMAC SHA256
                                       0x00000007
#define CK NIST PRF KDF HMAC SHA384
                                       0x0000008
                                     0x0000009
#define CK_NIST_PRF_KDF_HMAC_SHA512
#define CK PRF KDF HMAC RIPEMD160
                                       0x000000A
#define LUNA PRF KDF ENCODING SCHEME 1
                                           0x00000000 // Counter (4 bytes) || Context || 0x00 ||
Label || Length
#define LUNA_PRF_KDF_ENCODING_SCHEME_2
#define LUNA PRF KDF ENCODING SCHEME 3
                                           0x00000001 // Counter (4 bytes) || Context || Label
#define LUNA PRF KDF ENCODING SCHEME 3
                                           0x00000002 // Counter (4 bytes) || Label || 0x00 ||
Context || Length
#define LUNA PRF KDF ENCODING SCHEME 4
                                           0x00000003 // Counter (4 bytes) || Label || Context
#define LUNA PRF KDF ENCODING SCHEME SCP03 0x00000004 // Label || 0x00 || Length (2 bytes) ||
Counter (1 byte) || Context
#define LUNA PRF KDF ENCODING SCHEME HID KD 0x00000005 // Counter (1 byte) || Label || 0x00 ||
Context || Length (2 bytes)
typedef struct CK KDF PRF PARAMS {
CK KDF PRF TYPE
                           prfType;
                           pLabel;
CK BYTE PTR
CK ULONG
                           ulLabelLen;
CK BYTE PTR
                           pContext;
CK ULONG
                           ulContextLen;
CK ULONG
                           ulCounter;
CK KDF PRF ENCODING SCHEME ulEncodingScheme;
} CK PRF KDF PARAMS;
typedef CK PRF KDF PARAMS CK PTR CK KDF PRF PARAMS PTR;
```

# CKM\_PBE\_MD2\_DES\_CBC

FIPS approved?	No
Supported functions	Generate Key
Minimum key length (bits)	64
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	64
Block size	16
Digest size	16
Key types	None
Algorithms	None
Modes	None
Flags	None

## CKM\_PBE\_SHA1\_CAST5\_CBC

**NOTE** The flags MPE\_NO\_SIGN and MPE\_NO\_WRAP are assigned to the SHA1 mechanisms. When the HSM policy "Allow NonFIPS Algorithms" is disabled, mechanisms with the MPE\_NO\_SIGN flag *are not* allowed to sign data, and mechanisms with the MPE\_NO\_WRAP flag *are not* allowed to wrap objects. When the policy is enabled, these mechanisms *are* allowed to sign data and wrap objects respectively.

FIPS approved?	No
Supported functions	Generate Key
Minimum key length (bits)	64
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	128
Block size	64
Digest size	20
Key types	None
Algorithms	None
Modes	None
Flags	None

## CKM\_PBE\_SHA1\_DES2\_EDE\_CBC

**NOTE** The flags MPE\_NO\_SIGN and MPE\_NO\_WRAP are assigned to the SHA1 mechanisms. When the HSM policy "Allow NonFIPS Algorithms" is disabled, mechanisms with the MPE\_NO\_SIGN flag *are not* allowed to sign data, and mechanisms with the MPE\_NO\_WRAP flag *are not* allowed to wrap objects. When the policy is enabled, these mechanisms *are* allowed to sign data and wrap objects respectively.

FIPS approved?	No
Supported functions	Generate Key
Minimum key length (bits)	128
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	128
Block size	64
Digest size	20
Key types	None
Algorithms	None
Modes	None
Flags	None

# CKM\_PBE\_SHA1\_DES2\_EDE\_CBC\_OLD

FIPS approved?	No
Supported functions	Generate Key
Minimum key length (bits)	128
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	128
Block size	64
Digest size	20
Key types	None
Algorithms	None
Modes	None
Flags	None

## CKM\_PBE\_SHA1\_DES3\_EDE\_CBC

**NOTE** The flags MPE\_NO\_SIGN and MPE\_NO\_WRAP are assigned to the SHA1 mechanisms. When the HSM policy "Allow NonFIPS Algorithms" is disabled, mechanisms with the MPE\_NO\_SIGN flag *are not* allowed to sign data, and mechanisms with the MPE\_NO\_WRAP flag *are not* allowed to wrap objects. When the policy is enabled, these mechanisms *are* allowed to sign data and wrap objects respectively.

FIPS approved?	No
Supported functions	Generate Key
Minimum key length (bits)	192
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	192
Block size	64
Digest size	20
Key types	None
Algorithms	None
Modes	None
Flags	None

## CKM\_PBE\_SHA1\_DES3\_EDE\_CBC\_OLD

**NOTE** The flags MPE\_NO\_SIGN and MPE\_NO\_WRAP are assigned to the SHA1 mechanisms. When the HSM policy "Allow NonFIPS Algorithms" is disabled, mechanisms with the MPE\_NO\_SIGN flag *are not* allowed to sign data, and mechanisms with the MPE\_NO\_WRAP flag *are not* allowed to wrap objects. When the policy is enabled, these mechanisms *are* allowed to sign data and wrap objects respectively.

FIPS approved?	No
Supported functions	Generate Key
Minimum key length (bits)	192
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	192
Block size	64
Digest size	20
Key types	None
Algorithms	None
Modes	None
Flags	None

## CKM\_PBE\_SHA1\_RC2\_40\_CBC

**NOTE** The flags MPE\_NO\_SIGN and MPE\_NO\_WRAP are assigned to the SHA1 mechanisms. When the HSM policy "Allow NonFIPS Algorithms" is disabled, mechanisms with the MPE\_NO\_SIGN flag *are not* allowed to sign data, and mechanisms with the MPE\_NO\_WRAP flag *are not* allowed to wrap objects. When the policy is enabled, these mechanisms *are* allowed to sign data and wrap objects respectively.

FIPS approved?	No
Supported functions	Generate Key
Minimum key length (bits)	40
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	40
Block size	64
Digest size	20
Key types	None
Algorithms	None
Modes	None
Flags	None

## CKM\_PBE\_SHA1\_RC2\_128\_CBC

**NOTE** The flags MPE\_NO\_SIGN and MPE\_NO\_WRAP are assigned to the SHA1 mechanisms. When the HSM policy "Allow NonFIPS Algorithms" is disabled, mechanisms with the MPE\_NO\_SIGN flag *are not* allowed to sign data, and mechanisms with the MPE\_NO\_WRAP flag *are not* allowed to wrap objects. When the policy is enabled, these mechanisms *are* allowed to sign data and wrap objects respectively.

FIPS approved?	No
Supported functions	Generate Key
Minimum key length (bits)	128
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	128
Block size	64
Digest size	20
Key types	None
Algorithms	None
Modes	None
Flags	None

## CKM\_PBE\_SHA1\_RC4\_40

**NOTE** The flags MPE\_NO\_SIGN and MPE\_NO\_WRAP are assigned to the SHA1 mechanisms. When the HSM policy "Allow NonFIPS Algorithms" is disabled, mechanisms with the MPE\_NO\_SIGN flag *are not* allowed to sign data, and mechanisms with the MPE\_NO\_WRAP flag *are not* allowed to wrap objects. When the policy is enabled, these mechanisms *are* allowed to sign data and wrap objects respectively.

FIPS approved?	No
Supported functions	Generate Key
Minimum key length (bits)	40
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	40
Block size	64
Digest size	20
Key types	None
Algorithms	None
Modes	None
Flags	None

## CKM\_PBE\_SHA1\_RC4\_128

**NOTE** The flags MPE\_NO\_SIGN and MPE\_NO\_WRAP are assigned to the SHA1 mechanisms. When the HSM policy "Allow NonFIPS Algorithms" is disabled, mechanisms with the MPE\_NO\_SIGN flag *are not* allowed to sign data, and mechanisms with the MPE\_NO\_WRAP flag *are not* allowed to wrap objects. When the policy is enabled, these mechanisms *are* allowed to sign data and wrap objects respectively.

FIPS approved?	No
Supported functions	Generate Key
Minimum key length (bits)	128
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	128
Block size	64
Digest size	20
Key types	None
Algorithms	None
Modes	None
Flags	None

# CKM\_PKCS5\_PBKD2

FIPS approved?	No
Supported functions	Generate Key
Minimum key length (bits)	8
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	4096
Block size	0
Digest size	0
Key types	None
Algorithms	None
Modes	None
Flags	None

## CKM\_PRF\_KDF

#### Summary

FIPS approved?	No
Supported functions	Derive
Minimum key length (bits)	8
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	4096
Block size	0
Digest size	0
Key types	Symmetric
Algorithms	None
Modes	None
Flags	None

#### Usage

The CKM\_NIST\_PRF mechanism only supports counter mode. CKM\_PRF\_KDF is only available with **HSM policy 12: Allow Non-FIPS algorithms** turned on. This mechanism can be used with the following mechanisms as the pseudorandom function:

- > ARIA\_CMAC
- > HMAC\_RIPEMD160
- > SEED\_CMAC

*NIST SP 800-108* allows for some variation on what/how information is encoded and describes some fields as optional. To accommodate this, there are multiple encoding schemes you can specify, with variations on what information is included and what order the fields are arranged in. All counters and lengths are represented in big endian format. The following schemes are available:

- LUNA\_PRF\_KDF\_ENCODING\_SCHEME\_1: the Counter (4 bytes), Context, Separator byte, Label, and Length (4 bytes) fields are included.
- LUNA\_PRF\_KDF\_ENCODING\_SCHEME\_2: the Counter (4 bytes), Context and Label fields are included.

- LUNA\_PRF\_KDF\_ENCODING\_SCHEME\_3: the Counter (4 bytes), Label, Separator byte, Context, and Length (4 bytes) fields are included.
- LUNA\_PRF\_KDF\_ENCODING\_SCHEME\_4: the Counter (4 bytes), Label and Context fields are included.
- LUNA\_PRF\_KDF\_ENCODING\_SCHEME\_SCP03: the Label, Separator byte, Length (2 bytes), Counter, and Context fields are included.
- LUNA\_PRF\_KDF\_ENCODING\_SCHEME\_HID\_KD: the Counter, Label, Separator byte, Context, and Length (2 bytes) fields are included.

#### Example

```
/* Parameter and values used with CKM PRF KDF and CKM NIST PRF KDF. ^{\prime}
typedef CK ULONG CK KDF PRF TYPE;
typedef CK ULONG CK KDF PRF ENCODING SCHEME;
/** PRF KDF schemes */
#define CK NIST PRF KDF DES3 CMAC
                                       0x0000001
#define CK NIST PRF KDF AES CMAC
                                       0 \times 00000002
#define CK PRF KDF ARIA CMAC
                                       0x0000003
                                     0x0000004
#define CK PRF KDF SEED CMAC
#define CK NIST PRF KDF HMAC SHA1
                                     0x0000005
#define CK NIST PRF KDF HMAC SHA224 0x0000006
#define CK NIST PRF KDF HMAC SHA256
                                       0x00000007
#define CK NIST PRF KDF HMAC SHA384
                                       0x0000008
#define CK NIST PRF KDF HMAC SHA512
                                       0x0000009
#define CK PRF KDF HMAC RIPEMD160
                                       0x000000A
#define LUNA PRF KDF ENCODING SCHEME 1
                                           0x00000000 // Counter (4 bytes) || Context || 0x00 ||
Label || Length
#define LUNA PRF KDF ENCODING SCHEME 2
                                           0x00000001 // Counter (4 bytes) || Context || Label
#define LUNA PRF KDF ENCODING SCHEME 3
                                           0x00000002 // Counter (4 bytes) || Label || 0x00 ||
Context || Length
#define LUNA PRF KDF ENCODING SCHEME 4
                                           0x00000003 // Counter (4 bytes) || Label || Context
#define LUNA PRF KDF ENCODING SCHEME SCP03 0x00000004 // Label || 0x00 || Length (2 bytes) ||
Counter (1 byte) || Context
#define LUNA PRF KDF ENCODING SCHEME HID KD 0x00000005 // Counter (1 byte) || Label || 0x00 ||
Context || Length (2 bytes)
typedef struct CK KDF PRF PARAMS {
CK_KDF_PRF_TYPE
                           prfType;
CK BYTE PTR
                           pLabel;
CK ULONG
                           ulLabelLen;
                           pContext;
CK BYTE PTR
CK ULONG
                           ulContextLen;
CK ULONG
                           ulCounter;
CK KDF PRF ENCODING SCHEME ulEncodingScheme;
} CK PRF KDF PARAMS;
typedef CK PRF KDF PARAMS CK PTR CK KDF PRF PARAMS PTR;
```

# CKM\_RC2\_CBC

Supported functionsEncrypt   Decrypt   Wrap   UnwrapMinimum key length (bits)64Minimum key length for FIPS use (bits)N/AMaximum key length (bits)N/ABlock size8Digest size0Key typesRC2AlgorithmsRC2ModesCBC		
Minimum key length (bits)64Minimum key length for FIPS use (bits)N/AMinimum legacy key length for FIPS use (bits)N/AMaximum key length (bits)1024Block size8Digest size0Key typesRC2AlgorithmsRC2ModesCBC	FIPS approved?	No
Minimum key length for FIPS use (bits)N/AMinimum legacy key length for FIPS use (bits)N/AMaximum key length (bits)1024Block size8Digest size0Key typesRC2AlgorithmsRC2ModesCBC	Supported functions	Encrypt   Decrypt   Wrap   Unwrap
Minimum legacy key length for FIPS use (bits)N/AMaximum key length (bits)1024Block size8Digest size0Key typesRC2AlgorithmsRC2ModesCBC	Minimum key length (bits)	64
Maximum key length (bits)1024Block size8Digest size0Key typesRC2AlgorithmsRC2ModesCBC	Minimum key length for FIPS use (bits)	N/A
Block size8Digest size0Key typesRC2AlgorithmsRC2ModesCBC	Minimum legacy key length for FIPS use (bits)	N/A
Digest size       0         Key types       RC2         Algorithms       RC2         Modes       CBC	Maximum key length (bits)	1024
Key types     RC2       Algorithms     RC2       Modes     CBC	Block size	8
Algorithms     RC2       Modes     CBC	Digest size	0
Modes CBC	Key types	RC2
	Algorithms	RC2
	Modes	СВС
<b>Flags</b> Extractable	Flags	Extractable

# CKM\_RC2\_CBC\_PAD

FIPS approved?	No
Supported functions	Encrypt   Decrypt   Wrap   Unwrap
Minimum key length (bits)	64
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	1024
Block size	8
Digest size	0
Key types	RC2
Algorithms	RC2
Modes	CBC_PAD
Flags	Extractable

# CKM\_RC2\_ECB

FIPS approved?	No
Supported functions	Encrypt   Decrypt   Wrap   Unwrap
Minimum key length (bits)	64
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	1024
Block size	8
Digest size	0
Key types	RC2
Algorithms	RC2
Modes	ECB
Flags	Extractable

# CKM\_RC2\_KEY\_GEN

FIPS approved?	No
Supported functions	Generate Key
Minimum key length (bits)	64
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	1024
Block size	0
Digest size	0
Key types	RC2
Algorithms	None
Modes	None
Flags	None

## CKM\_RC2\_MAC

FIPS approved?	No
Supported functions	Sign   Verify
Minimum key length (bits)	64
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	1024
Block size	8
Digest size	0
Key types	RC2
Algorithms	RC2
Modes	MAC
Flags	Extractable

# CKM\_RC2\_MAC\_GENERAL

FIPS approved?	No
Supported functions	Sign   Verify
Minimum key length (bits)	64
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	1024
Block size	8
Digest size	0
Key types	RC2
Algorithms	RC2
Modes	MAC
Flags	Extractable

## CKM\_RC4

FIPS approved?	No
Supported functions	Encrypt   Decrypt
Minimum key length (bits)	64
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	2048
Block size	0
Digest size	0
Key types	RC4
Algorithms	RC4
Modes	STREAM
Flags	Extractable

# CKM\_RC4\_KEY\_GEN

FIPS approved?	No
Supported functions	Generate Key
Minimum key length (bits)	64
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	2048
Block size	0
Digest size	0
Key types	RC4
Algorithms	None
Modes	None
Flags	None

# CKM\_RC5\_CBC

FIPS approved?	No
Supported functions	Encrypt   Decrypt
Minimum key length (bits)	64
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	2040
Block size	8
Digest size	0
Key types	RC5
Algorithms	RC5
Modes	CBC
Flags	Extractable

# CKM\_RC5\_CBC\_PAD

FIPS approved?	No
Supported functions	Encrypt   Decrypt
Minimum key length (bits)	64
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	2040
Block size	8
Digest size	0
Key types	RC5
Algorithms	RC5
Modes	CBC_PAD
Flags	Extractable

## CKM\_RC5\_ECB

FIPS approved?	No
Supported functions	Encrypt   Decrypt
Minimum key length (bits)	64
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	2040
Block size	8
Digest size	0
Key types	RC5
Algorithms	RC5
Modes	ECB
Flags	Extractable

# CKM\_RC5\_KEY\_GEN

FIPS approved?	No
Supported functions	Generate Key
Minimum key length (bits)	64
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	2040
Block size	0
Digest size	0
Key types	RC5
Algorithms	None
Modes	None
Flags	None

## CKM\_RC5\_MAC

FIPS approved?	No
Supported functions	Sign   Verify
Minimum key length (bits)	64
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	2040
Block size	8
Digest size	0
Key types	RC5
Algorithms	RC5
Modes	MAC
Flags	Extractable

# CKM\_RC5\_MAC\_GENERAL

FIPS approved?	No
Supported functions	Sign   Verify
Minimum key length (bits)	64
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	2040
Block size	8
Digest size	0
Key types	RC5
Algorithms	RC5
Modes	MAC
Flags	Extractable

# CKM\_RSA\_FIPS\_186\_3\_AUX\_PRIME\_KEY\_PAIR\_GEN

#### Firmware 7.1.0 and Newer Summary

FIPS approved?	Yes
Supported functions	Generate Key Pair
Minimum key length (bits)	1024
Minimum key length for FIPS use (bits)	2048
Minimum legacy key length for FIPS use (bits)	1024
Maximum key length (bits)	4096
Block size	0
Digest size	0
Key types	RSA
Algorithms	None
Modes	None
Flags	None

#### Firmware 7.0.3 and Older Summary

FIPS approved?	Yes
Supported functions	Generate Key Pair
Minimum key length (bits)	1024
Minimum key length for FIPS use (bits)	2048
Minimum legacy key length for FIPS use (bits)	1024
Maximum key length (bits)	3072
Block size	0
Digest size	0

Key types	RSA
Algorithms	None
Modes	None
Flags	None

# CKM\_RSA\_FIPS\_186\_3\_PRIME\_KEY\_PAIR\_GEN

#### Firmware 7.1.0 and Newer Summary

FIPS approved?	Yes
Supported functions	Generate Key Pair
Minimum key length (bits)	2048
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	2048
Maximum key length (bits)	4096
Block size	0
Digest size	0
Key types	RSA
Algorithms	None
Modes	None
Flags	None

#### Firmware 7.0.3 and Older Summary

FIPS approved?	Yes
Supported functions	Generate Key Pair
Minimum key length (bits)	2048
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	2048
Maximum key length (bits)	3072
Block size	0
Digest size	0

Key types	RSA
Algorithms	None
Modes	None
Flags	None

# CKM\_RSA\_PKCS

FIPS approved?	Yes
Supported functions	Sign   Verify   Encrypt   Decrypt   Wrap   Unwrap
Minimum key length (bits)	256
Minimum key length for FIPS use (bits)	2048
Minimum legacy key length for FIPS use (bits)	1024
Maximum key length (bits)	8192
Block size	0
Digest size	0
Key types	RSA
Algorithms	None
Modes	None
Flags	None

# CKM\_RSA\_PKCS\_KEY\_PAIR\_GEN

FIPS approved?	No
Supported functions	Generate Key Pair
Minimum key length (bits)	256
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	8192
Block size	0
Digest size	0
Key types	RSA
Algorithms	None
Modes	None
Flags	None

# CKM\_RSA\_PKCS\_OAEP

The RSA PKCS OAEP mechanism can now use a supplied hashing mechanism. Previously RSA OAEP would always use SHA1 and returned an error if another was attempted.

With current firmware, PKCS#11 API and ckdemo now accept a new mechanism.

Allowed mechanisms are:

CKM\_SHA1

CKM\_SHA224

CKM\_SHA256

CKM\_SHA384

CKM\_SHA512

0 (use the firmware's default engine, which is currently SHA1)

In ckdemo menu option 98 has a new value 17 - OAEP Hash Params, which can be set to use either default (CKM\_SHA1) or selectable. When it is set to selectable the user is prompted for a hash mechanism when using the OAEP mechanism.

FIPS approved?	Yes
Supported functions	Encrypt   Decrypt   Wrap   Unwrap
Minimum key length (bits)	256
Minimum key length for FIPS use (bits)	2048
Minimum legacy key length for FIPS use (bits)	1024
Maximum key length (bits)	8192
Block size	0
Digest size	0
Key types	RSA
Algorithms	None
Modes	None
Flags	None

# CKM\_RSA\_PKCS\_PSS

FIPS approved?	Yes
Supported functions	Sign   Verify
Minimum key length (bits)	256
Minimum key length for FIPS use (bits)	2048
Minimum legacy key length for FIPS use (bits)	1024
Maximum key length (bits)	8192
Block size	0
Digest size	0
Key types	RSA
Algorithms	None
Modes	None
Flags	None   PSS

## CKM\_RSA\_X\_509

FIPS approved?	No
Supported functions	Encrypt   Decrypt
Minimum key length (bits)	256
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	8192
Block size	0
Digest size	0
Key types	RSA
Algorithms	None
Modes	None
Flags	None

# CKM\_RSA\_X9\_31

FIPS approved?	Yes
Supported functions	Sign   Verify
Minimum key length (bits)	1024
Minimum key length for FIPS use (bits)	2048
Minimum legacy key length for FIPS use (bits)	1024
Maximum key length (bits)	8192
Block size	0
Digest size	0
Key types	RSA
Algorithms	None
Modes	None
Flags	Extractable   X9.31

# CKM\_RSA\_X9\_31\_KEY\_PAIR\_GEN

FIPS approved?	No
Supported functions	Generate Key Pair
Minimum key length (bits)	1024
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	8192
Block size	0
Digest size	0
Key types	RSA
Algorithms	None
Modes	None
Flags	X9.31

# CKM\_RSA\_X9\_31\_NON\_FIPS

FIPS approved?	No
Supported functions	Sign   Verify
Minimum key length (bits)	1024
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	8192
Block size	0
Digest size	0
Key types	RSA
Algorithms	None
Modes	None
Flags	Extractable   X9.31   Non-FIPS X9.31

## CKM\_SEED\_CBC

**NOTE** The SEED and KCDSA mechanisms are available on your HSM if Korean Algorithms are enabled.

FIPS approved?	No
Supported functions	Encrypt   Decrypt   Wrap   Unwrap
Minimum key length (bits)	128
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	128
Block size	16
Digest size	0
Key types	SEED
Algorithms	SEED
Modes	CBC
Flags	Extractable   Korean

## CKM\_SEED\_CBC\_PAD

**NOTE** The SEED and KCDSA mechanisms are available on your HSM if Korean Algorithms are enabled.

FIPS approved?	No
Supported functions	Encrypt   Decrypt   Wrap   Unwrap
Minimum key length (bits)	128
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	128
Block size	16
Digest size	0
Key types	SEED
Algorithms	SEED
Modes	CBC_PAD
Flags	Extractable   Korean

## CKM\_SEED\_CMAC

**NOTE** The SEED and KCDSA mechanisms are available on your HSM if Korean Algorithms are enabled.

FIPS approved?	No
Supported functions	Sign   Verify
Minimum key length (bits)	128
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	128
Block size	16
Digest size	0
Key types	SEED
Algorithms	SEED
Modes	MAC
Flags	Extractable   Korean   CMAC

## CKM\_SEED\_CMAC\_GENERAL

**NOTE** The SEED and KCDSA mechanisms are available on your HSM if Korean Algorithms are enabled.

FIPS approved?	No
Supported functions	Sign   Verify
Minimum key length (bits)	128
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	128
Block size	16
Digest size	0
Key types	SEED
Algorithms	SEED
Modes	MAC
Flags	Extractable   Korean   CMAC

## CKM\_SEED\_CTR

**NOTE** The SEED and KCDSA mechanisms are available on your HSM if Korean Algorithms are enabled.

FIPS approved?	No
Supported functions	Encrypt   Decrypt
Minimum key length (bits)	128
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	128
Block size	16
Digest size	0
Key types	SEED
Algorithms	SEED
Modes	CTR
Flags	Extractable   Korean

## CKM\_SEED\_ECB

**NOTE** The SEED and KCDSA mechanisms are available on your HSM if Korean Algorithms are enabled.

FIPS approved?	No
Supported functions	Encrypt   Decrypt   Wrap   Unwrap
Minimum key length (bits)	128
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	128
Block size	16
Digest size	0
Key types	SEED
Algorithms	SEED
Modes	ECB
Flags	Extractable   Korean

## CKM\_SEED\_KEY\_GEN

**NOTE** The SEED and KCDSA mechanisms are available on your HSM if Korean Algorithms are enabled.

FIPS approved?	No
Supported functions	Generate Key
Minimum key length (bits)	128
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	128
Block size	0
Digest size	0
Key types	SEED
Algorithms	None
Modes	None
Flags	Korean

## CKM\_SEED\_MAC

**NOTE** The SEED and KCDSA mechanisms are available on your HSM if Korean Algorithms are enabled.

FIPS approved?	No
Supported functions	Sign   Verify
Minimum key length (bits)	128
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	128
Block size	16
Digest size	0
Key types	SEED
Algorithms	SEED
Modes	MAC
Flags	Extractable   Korean

## CKM\_SEED\_MAC\_GENERAL

**NOTE** The SEED and KCDSA mechanisms are available on your HSM if Korean Algorithms are enabled.

FIPS approved?	No
Supported functions	Sign   Verify
Minimum key length (bits)	128
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	128
Block size	16
Digest size	0
Key types	SEED
Algorithms	SEED
Modes	MAC
Flags	Extractable   Korean

## CKM\_SHA\_1

**NOTE** The flags MPE\_NO\_SIGN and MPE\_NO\_WRAP are assigned to the SHA1 mechanisms. When the HSM policy "Allow NonFIPS Algorithms" is disabled, mechanisms with the MPE\_NO\_SIGN flag *are not* allowed to sign data, and mechanisms with the MPE\_NO\_WRAP flag *are not* allowed to wrap objects. When the policy is enabled, these mechanisms *are* allowed to sign data and wrap objects respectively.

FIPS approved?	Yes
Supported functions	Digest
Minimum key length (bits)	0
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	0
Maximum key length (bits)	0
Block size	64
Digest size	20
Key types	None
Algorithms	SHA
Modes	None
Flags	Extractable

## CKM\_SHA\_1\_HMAC

**NOTE** The flags MPE\_NO\_SIGN and MPE\_NO\_WRAP are assigned to the SHA1 mechanisms. When the HSM policy "Allow NonFIPS Algorithms" is disabled, mechanisms with the MPE\_NO\_SIGN flag *are not* allowed to sign data, and mechanisms with the MPE\_NO\_WRAP flag *are not* allowed to wrap objects. When the policy is enabled, these mechanisms *are* allowed to sign data and wrap objects respectively.

FIPS approved?	Yes
Supported functions	Sign   Verify
Minimum key length (bits)	8
Minimum key length for FIPS use (bits)	112
Minimum legacy key length for FIPS use (bits)	80
Maximum key length (bits)	4096
Block size	64
Digest size	20
Key types	Symmetric
Algorithms	SHA
Modes	НМАС
Flags	Extractable

## CKM\_SHA\_1\_HMAC\_GENERAL

**NOTE** The flags MPE\_NO\_SIGN and MPE\_NO\_WRAP are assigned to the SHA1 mechanisms. When the HSM policy "Allow NonFIPS Algorithms" is disabled, mechanisms with the MPE\_NO\_SIGN flag *are not* allowed to sign data, and mechanisms with the MPE\_NO\_WRAP flag *are not* allowed to wrap objects. When the policy is enabled, these mechanisms *are* allowed to sign data and wrap objects respectively.

FIPS approved?	Yes
Supported functions	Sign   Verify
Minimum key length (bits)	8
Minimum key length for FIPS use (bits)	112
Minimum legacy key length for FIPS use (bits)	80
Maximum key length (bits)	4096
Block size	64
Digest size	20
Key types	Symmetric
Algorithms	SHA
Modes	НМАС
Flags	Extractable

### CKM\_SHA1\_EDDSA

**NOTE** The flags MPE\_NO\_SIGN and MPE\_NO\_WRAP are assigned to the SHA1 mechanisms. When the HSM policy "Allow NonFIPS Algorithms" is disabled, mechanisms with the MPE\_NO\_SIGN flag *are not* allowed to sign data, and mechanisms with the MPE\_NO\_WRAP flag *are not* allowed to wrap objects. When the policy is enabled, these mechanisms *are* allowed to sign data and wrap objects respectively.

FIPS approved?	No
Supported functions	Sign   Verify
Minimum key length (bits)	256
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	256
Block size	64
Digest size	20
Key types	EDDSA
Algorithms	SHA
Modes	None
Flags	Extractable

### CKM\_SHA1\_EDDSA\_NACL

**NOTE** The flags MPE\_NO\_SIGN and MPE\_NO\_WRAP are assigned to the SHA1 mechanisms. When the HSM policy "Allow NonFIPS Algorithms" is disabled, mechanisms with the MPE\_NO\_SIGN flag *are not* allowed to sign data, and mechanisms with the MPE\_NO\_WRAP flag *are not* allowed to wrap objects. When the policy is enabled, these mechanisms *are* allowed to sign data and wrap objects respectively.

FIPS approved?	No
Supported functions	Sign   Verify
Minimum key length (bits)	256
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	256
Block size	64
Digest size	20
Key types	EDDSA
Algorithms	SHA
Modes	None
Flags	Extractable

### CKM\_SHA1\_KEY\_DERIVATION

**NOTE** The flags MPE\_NO\_SIGN and MPE\_NO\_WRAP are assigned to the SHA1 mechanisms. When the HSM policy "Allow NonFIPS Algorithms" is disabled, mechanisms with the MPE\_NO\_SIGN flag *are not* allowed to sign data, and mechanisms with the MPE\_NO\_WRAP flag *are not* allowed to wrap objects. When the policy is enabled, these mechanisms *are* allowed to sign data and wrap objects respectively.

FIPS approved?	No
Supported functions	Derive
Minimum key length (bits)	8
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	4096
Block size	64
Digest size	20
Key types	Symmetric
Algorithms	None
Modes	None
Flags	None

### CKM\_SHA1\_RSA\_PKCS

**NOTE** The flags MPE\_NO\_SIGN and MPE\_NO\_WRAP are assigned to the SHA1 mechanisms. When the HSM policy "Allow NonFIPS Algorithms" is disabled, mechanisms with the MPE\_NO\_SIGN flag *are not* allowed to sign data, and mechanisms with the MPE\_NO\_WRAP flag *are not* allowed to wrap objects. When the policy is enabled, these mechanisms *are* allowed to sign data and wrap objects respectively.

FIPS approved?	Yes
Supported functions	Sign   Verify
Minimum key length (bits)	256
Minimum key length for FIPS use (bits)	2048
Minimum legacy key length for FIPS use (bits)	1024
Maximum key length (bits)	8192
Block size	64
Digest size	20
Key types	RSA
Algorithms	SHA
Modes	None
Flags	Extractable

### CKM\_SHA1\_RSA\_PKCS\_PSS

**NOTE** The flags MPE\_NO\_SIGN and MPE\_NO\_WRAP are assigned to the SHA1 mechanisms. When the HSM policy "Allow NonFIPS Algorithms" is disabled, mechanisms with the MPE\_NO\_SIGN flag *are not* allowed to sign data, and mechanisms with the MPE\_NO\_WRAP flag *are not* allowed to wrap objects. When the policy is enabled, these mechanisms *are* allowed to sign data and wrap objects respectively.

FIPS approved?	Yes
Supported functions	Sign   Verify
Minimum key length (bits)	256
Minimum key length for FIPS use (bits)	2048
Minimum legacy key length for FIPS use (bits)	1024
Maximum key length (bits)	8192
Block size	64
Digest size	20
Key types	RSA
Algorithms	SHA
Modes	None
Flags	Extractable   PSS

### CKM\_SHA1\_RSA\_X9\_31

**NOTE** The flags MPE\_NO\_SIGN and MPE\_NO\_WRAP are assigned to the SHA1 mechanisms. When the HSM policy "Allow NonFIPS Algorithms" is disabled, mechanisms with the MPE\_NO\_SIGN flag *are not* allowed to sign data, and mechanisms with the MPE\_NO\_WRAP flag *are not* allowed to wrap objects. When the policy is enabled, these mechanisms *are* allowed to sign data and wrap objects respectively.

FIPS approved?	Yes
Supported functions	Sign   Verify
Minimum key length (bits)	1024
Minimum key length for FIPS use (bits)	2048
Minimum legacy key length for FIPS use (bits)	1024
Maximum key length (bits)	8192
Block size	64
Digest size	20
Key types	RSA
Algorithms	SHA
Modes	None
Flags	Extractable   X9.31

### CKM\_SHA1\_RSA\_X9\_31\_NON\_FIPS

**NOTE** The flags MPE\_NO\_SIGN and MPE\_NO\_WRAP are assigned to the SHA1 mechanisms. When the HSM policy "Allow NonFIPS Algorithms" is disabled, mechanisms with the MPE\_NO\_SIGN flag *are not* allowed to sign data, and mechanisms with the MPE\_NO\_WRAP flag *are not* allowed to wrap objects. When the policy is enabled, these mechanisms *are* allowed to sign data and wrap objects respectively.

FIPS approved?	No
Supported functions	Sign   Verify
Minimum key length (bits)	1024
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	8192
Block size	64
Digest size	20
Key types	RSA
Algorithms	SHA
Modes	None
Flags	Extractable   X9.31   Non-FIPS X9.31

### CKM\_SHA1\_SM2DSA

FIPS approved?	No
Supported functions	Sign   Verify
Minimum key length (bits)	105
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	571
Block size	64
Digest size	20
Key types	SM2
Algorithms	SHA
Modes	None
Flags	Extractable

### CKM\_SHA3\_224

FIPS approved?	No
Supported functions	Digest
Minimum key length (bits)	0
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	0
Block size	*
Digest size	28
Key types	None
Algorithms	SHA3_224
Modes	None
Flags	Extractable

### CKM\_SHA3\_224\_DSA

FIPS approved?	No
Supported functions	Sign   Verify
Minimum key length (bits)	1024
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	3072
Block size	*
Digest size	28
Key types	DSA
Algorithms	SHA3_224
Modes	None
Flags	Extractable

## CKM\_SHA3\_224\_ECDSA

FIPS approved?	No
Supported functions	Sign   Verify
Minimum key length (bits)	105
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	571
Block size	*
Digest size	28
Key types	ECDSA   BIP32
Algorithms	SHA3_224
Modes	None
Flags	Extractable

## CKM\_SHA3\_224\_EDDSA

FIPS approved?	No
Supported functions	Sign   Verify
Minimum key length (bits)	256
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	256
Block size	*
Digest size	28
Key types	EDDSA
Algorithms	SHA3_224
Modes	None
Flags	Extractable

## CKM\_SHA3\_224\_KEY\_DERIVE

FIPS approved?	No
Supported functions	Derive
Minimum key length (bits)	8
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	4096
Block size	*
Digest size	28
Key types	Symmetric
Algorithms	None
Modes	None
Flags	None

### CKM\_SHA3\_224\_RSA\_PKCS

FIPS approved?	No
Supported functions	Sign   Verify
Minimum key length (bits)	256
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	8192
Block size	*
Digest size	28
Key types	RSA
Algorithms	SHA3_224
Modes	None
Flags	Extractable

## CKM\_SHA3\_224\_RSA\_PKCS\_PSS

FIPS approved?	No
Supported functions	Sign   Verify
Minimum key length (bits)	512
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	8192
Block size	*
Digest size	28
Key types	RSA
Algorithms	SHA3_224
Modes	None
Flags	Extractable   PSS

### CKM\_SHA3\_256

FIPS approved?	No
Supported functions	Digest
Minimum key length (bits)	0
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	0
Block size	136
Digest size	32
Key types	None
Algorithms	SHA3_256
Modes	None
Flags	Extractable

## CKM\_SHA3\_256\_DSA

FIPS approved?	No
Supported functions	Sign   Verify
Minimum key length (bits)	1024
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	3072
Block size	136
Digest size	32
Key types	DSA
Algorithms	SHA3_256
Modes	None
Flags	Extractable

## CKM\_SHA3\_256\_ECDSA

FIPS approved?	No
Supported functions	Sign   Verify
Minimum key length (bits)	105
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	571
Block size	136
Digest size	32
Key types	ECDSA   BIP32
Algorithms	SHA3_256
Modes	None
Flags	Extractable

## CKM\_SHA3\_256\_EDDSA

FIPS approved?	No
Supported functions	Sign   Verify
Minimum key length (bits)	256
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	256
Block size	136
Digest size	32
Key types	EDDSA
Algorithms	SHA3_256
Modes	None
Flags	Extractable

### CKM\_SHA3\_256\_KEY\_DERIVE

FIPS approved?	No
Supported functions	Derive
Minimum key length (bits)	8
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	4096
Block size	136
Digest size	32
Key types	Symmetric
Algorithms	None
Modes	None
Flags	None

## CKM\_SHA3\_256\_RSA\_PKCS

FIPS approved?	No
Supported functions	Sign   Verify
Minimum key length (bits)	256
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	8192
Block size	136
Digest size	32
Key types	RSA
Algorithms	SHA3_256
Modes	None
Flags	Extractable

## CKM\_SHA3\_256\_RSA\_PKCS\_PSS

FIPS approved?	No
Supported functions	Sign   Verify
Minimum key length (bits)	512
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	8192
Block size	136
Digest size	32
Key types	RSA
Algorithms	SHA3_256
Modes	None
Flags	Extractable   PSS

### CKM\_SHA3\_384

FIPS approved?	No
Supported functions	Digest
Minimum key length (bits)	0
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	0
Block size	104
Digest size	48
Key types	None
Algorithms	SHA3_384
Modes	None
Flags	Extractable

### CKM\_SHA3\_384\_DSA

FIPS approved?	No
Supported functions	Sign   Verify
Minimum key length (bits)	1024
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	3072
Block size	104
Digest size	48
Key types	DSA
Algorithms	SHA3_384
Modes	None
Flags	Extractable

## CKM\_SHA3\_384\_ECDSA

No
Sign   Verify
105
N/A
N/A
571
104
48
ECDSA   BIP32
SHA3_384
None
Extractable

## CKM\_SHA3\_384\_EDDSA

FIPS approved?	No
Supported functions	Sign   Verify
Minimum key length (bits)	256
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	256
Block size	104
Digest size	48
Key types	EDDSA
Algorithms	SHA3_384
Modes	None
Flags	Extractable

### CKM\_SHA3\_384\_KEY\_DERIVE

FIPS approved?	No
Supported functions	Derive
Minimum key length (bits)	8
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	4096
Block size	104
Digest size	48
Key types	Symmetric
Algorithms	None
Modes	None
Flags	None

### CKM\_SHA3\_384\_RSA\_PKCS

FIPS approved?	No
Supported functions	Sign   Verify
Minimum key length (bits)	256
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	8192
Block size	104
Digest size	48
Key types	RSA
Algorithms	SHA3_384
Modes	None
Flags	Extractable

## CKM\_SHA3\_384\_RSA\_PKCS\_PSS

FIPS approved?	No
Supported functions	Sign   Verify
Minimum key length (bits)	512
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	8192
Block size	104
Digest size	48
Key types	RSA
Algorithms	SHA3_384
Modes	None
Flags	Extractable   PSS

### CKM\_SHA3\_512

FIPS approved?	No
Supported functions	Digest
Minimum key length (bits)	0
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	0
Block size	72
Digest size	64
Key types	None
Algorithms	SHA3_512
Modes	None
Flags	Extractable

# CKM\_SHA3\_512\_DSA

FIPS approved?	No
Supported functions	Sign   Verify
Minimum key length (bits)	1024
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	3072
Block size	72
Digest size	64
Key types	DSA
Algorithms	SHA3_512
Modes	None
Flags	Extractable

# CKM\_SHA3\_512\_ECDSA

FIPS approved?	No
Supported functions	Sign   Verify
Minimum key length (bits)	105
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	571
Block size	72
Digest size	64
Key types	ECDSA   BIP32
Algorithms	SHA3_512
Modes	None
Flags	Extractable

# CKM\_SHA3\_512\_EDDSA

FIPS approved?	No
Supported functions	Sign   Verify
Minimum key length (bits)	256
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	256
Block size	72
Digest size	64
Key types	EDDSA
Algorithms	SHA3_512
Modes	None
Flags	Extractable

# CKM\_SHA3\_512\_KEY\_DERIVE

FIPS approved?	No
Supported functions	Derive
Minimum key length (bits)	8
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	4096
Block size	72
Digest size	64
Key types	Symmetric
Algorithms	None
Modes	None
Flags	None

# CKM\_SHA3\_512\_RSA\_PKCS

FIPS approved?	No
Supported functions	Sign   Verify
Minimum key length (bits)	256
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	8192
Block size	72
Digest size	64
Key types	RSA
Algorithms	SHA3_512
Modes	None
Flags	Extractable

# CKM\_SHA3\_512\_RSA\_PKCS\_PSS

FIPS approved?	No
Supported functions	Sign   Verify
Minimum key length (bits)	1024
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	8192
Block size	72
Digest size	64
Key types	RSA
Algorithms	SHA3_512
Modes	None
Flags	Extractable   PSS

### CKM\_SHA224

FIPS approved?	Yes
Supported functions	Digest
Minimum key length (bits)	0
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	0
Maximum key length (bits)	0
Block size	64
Digest size	28
Key types	None
Algorithms	SHA224
Modes	None
Flags	Extractable

## CKM\_SHA224\_EDDSA

FIPS approved?	No
Supported functions	Sign   Verify
Minimum key length (bits)	256
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	256
Block size	64
Digest size	28
Key types	EDDSA
Algorithms	SHA224
Modes	None
Flags	Extractable

# CKM\_SHA224\_EDDSA\_NACL

FIPS approved?	No
Supported functions	Sign   Verify
Minimum key length (bits)	256
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	256
Block size	64
Digest size	28
Key types	EDDSA
Algorithms	SHA224
Modes	None
Flags	Extractable

# CKM\_SHA224\_HMAC

FIPS approved?	Yes
Supported functions	Sign   Verify
Minimum key length (bits)	8
Minimum key length for FIPS use (bits)	112
Minimum legacy key length for FIPS use (bits)	80
Maximum key length (bits)	4096
Block size	64
Digest size	28
Key types	Symmetric
Algorithms	SHA224
Modes	HMAC
Flags	Extractable

### CKM\_SHA224\_HMAC\_GENERAL

FIPS approved?	Yes
Supported functions	Sign   Verify
Minimum key length (bits)	8
Minimum key length for FIPS use (bits)	112
Minimum legacy key length for FIPS use (bits)	80
Maximum key length (bits)	4096
Block size	64
Digest size	28
Key types	Symmetric
Algorithms	SHA224
Modes	HMAC
Flags	Extractable

# CKM\_SHA224\_KEY\_DERIVATION

FIPS approved?	No
Supported functions	Derive
Minimum key length (bits)	8
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	4096
Block size	64
Digest size	28
Key types	Symmetric
Algorithms	None
Modes	None
Flags	None

# CKM\_SHA224\_RSA\_PKCS

FIPS approved?	Yes
Supported functions	Sign   Verify
Minimum key length (bits)	256
Minimum key length for FIPS use (bits)	2048
Minimum legacy key length for FIPS use (bits)	1024
Maximum key length (bits)	8192
Block size	64
Digest size	28
Key types	RSA
Algorithms	SHA224
Modes	None
Flags	Extractable

# CKM\_SHA224\_RSA\_PKCS\_PSS

FIPS approved?	Yes
Supported functions	Sign   Verify
Minimum key length (bits)	512
Minimum key length for FIPS use (bits)	2048
Minimum legacy key length for FIPS use (bits)	1024
Maximum key length (bits)	8192
Block size	64
Digest size	28
Key types	RSA
Algorithms	SHA224
Modes	None
Flags	Extractable   PSS

# CKM\_SHA224\_RSA\_X9\_31

FIPS approved?     Yes       Supported functions     Sign   Verify	
Minimum key length (bits)1024	
Minimum key length for FIPS use (bits) 2048	
Minimum legacy key length for FIPS use (bits) 1024	
Maximum key length (bits) 8192	
Block size 64	
Digest size 28	
Key types RSA	
Algorithms SHA224	
Modes None	
Flags Extractable   >	(9.31

### CKM\_SHA224\_RSA\_X9\_31\_NON\_FIPS

FIPS approved?	No
Supported functions	Sign   Verify
Minimum key length (bits)	1024
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	8192
Block size	64
Digest size	28
Key types	RSA
Algorithms	SHA224
Modes	None
Flags	Extractable   X9.31   Non-FIPS X9.31

### CKM\_SHA224\_SM2DSA

### Firmware 7.4.2 and Newer Summary

FIPS approved?	No
Supported functions	Sign   Verify
Minimum key length (bits)	105
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	571
Block size	64
Digest size	28
Key types	SM2
Algorithms	SHA224
Modes	None
Flags	Extractable

### CKM\_SHA256

FIPS approved?	Yes
Supported functions	Digest
Minimum key length (bits)	0
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	0
Maximum key length (bits)	0
Block size	64
Digest size	32
Key types	None
Algorithms	SHA256
Modes	None
Flags	Extractable

# CKM\_SHA256\_EDDSA

FIPS approved?	No
Supported functions	Sign   Verify
Minimum key length (bits)	256
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	256
Block size	64
Digest size	32
Key types	EDDSA
Algorithms	SHA256
Modes	None
Flags	Extractable

# CKM\_SHA256\_EDDSA\_NACL

FIPS approved?	No
Supported functions	Sign   Verify
Minimum key length (bits)	256
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	256
Block size	128
Digest size	48
Key types	EDDSA
Algorithms	SHA384
Modes	None
Flags	Extractable

# CKM\_SHA256\_HMAC

FIPS approved?	Yes
Supported functions	Sign   Verify
Minimum key length (bits)	8
Minimum key length for FIPS use (bits)	112
Minimum legacy key length for FIPS use (bits)	80
Maximum key length (bits)	4096
Block size	64
Digest size	32
Key types	Symmetric
Algorithms	SHA256
Modes	HMAC
Flags	Extractable

# CKM\_SHA256\_HMAC\_GENERAL

FIPS approved?	Yes
Supported functions	Sign   Verify
Minimum key length (bits)	8
Minimum key length for FIPS use (bits)	112
Minimum legacy key length for FIPS use (bits)	80
Maximum key length (bits)	4096
Block size	64
Digest size	32
Key types	Symmetric
Algorithms	SHA256
Modes	HMAC
Flags	Extractable

# CKM\_SHA256\_KEY\_DERIVATION

FIPS approved?	No
Supported functions	Derive
Minimum key length (bits)	8
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	4096
Block size	64
Digest size	32
Key types	Symmetric
Algorithms	None
Modes	None
Flags	None

# CKM\_SHA256\_RSA\_PKCS

FIPS approved?	Yes
Supported functions	Sign   Verify
Minimum key length (bits)	256
Minimum key length for FIPS use (bits)	2048
Minimum legacy key length for FIPS use (bits)	1024
Maximum key length (bits)	8192
Block size	64
Digest size	32
Key types	RSA
Algorithms	SHA256
Modes	None
Flags	Extractable

# CKM\_SHA256\_RSA\_PKCS\_PSS

FIPS approved?	Yes
Supported functions	Sign   Verify
Minimum key length (bits)	512
Minimum key length for FIPS use (bits)	2048
Minimum legacy key length for FIPS use (bits)	1024
Maximum key length (bits)	8192
Block size	64
Digest size	32
Key types	RSA
Algorithms	SHA256
Modes	None
Flags	Extractable   PSS

# CKM\_SHA256\_RSA\_X9\_31

pported functions       Sign   Verify         nimum key length (bits)       1024         nimum key length for FIPS use (bits)       2048		
nimum key length (bits) 1024 nimum key length for FIPS use (bits) 2048	FIPS approved?	Yes
nimum key length for FIPS use (bits) 2048	Supported functions	Sign   Verify
	Minimum key length (bits)	1024
nimum legacy key length for FIPS use (bits) 1024	Minimum key length for FIPS use (bits)	2048
	Minimum legacy key length for FIPS use (bits)	1024
ximum key length (bits) 8192	Maximum key length (bits)	8192
ock size 64	Block size	64
gest size 32	Digest size	32
y types RSA	Key types	RSA
gorithms SHA256	Algorithms	SHA256
None	Modes	None
Extractable   X9.31	Flags	Extractable   X9.31

# CKM\_SHA256\_RSA\_X9\_31\_NON\_FIPS

FIPS approved?	No
Supported functions	Sign   Verify
Minimum key length (bits)	1024
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	8192
Block size	64
Digest size	32
Key types	RSA
Algorithms	SHA256
Modes	None
Flags	Extractable   X9.31   Non-FIPS X9.31

### CKM\_SHA256\_SM2DSA

#### Firmware 7.4.2 and Newer Summary

FIPS approved?	No
Supported functions	Sign   Verify
Minimum key length (bits)	105
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	571
Block size	64
Digest size	32
Key types	SM2
Algorithms	SHA256
Modes	None
Flags	Extractable

### CKM\_SHA384

FIPS approved?	Yes
Supported functions	Digest
Minimum key length (bits)	0
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	0
Maximum key length (bits)	0
Block size	128
Digest size	48
Key types	None
Algorithms	SHA384
Modes	None
Flags	Extractable

## CKM\_SHA384\_EDDSA

FIPS approved?	No
Supported functions	Sign   Verify
Minimum key length (bits)	256
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	256
Block size	128
Digest size	48
Key types	EDDSA
Algorithms	SHA384
Modes	None
Flags	Extractable

# CKM\_SHA384\_EDDSA\_NACL

FIPS approved?	No
Supported functions	Sign   Verify
Minimum key length (bits)	256
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	256
Block size	128
Digest size	48
Key types	EDDSA
Algorithms	SHA384
Modes	None
Flags	Extractable

# CKM\_SHA384\_HMAC

FIPS approved?	Yes
Supported functions	Sign   Verify
Minimum key length (bits)	8
Minimum key length for FIPS use (bits)	112
Minimum legacy key length for FIPS use (bits)	80
Maximum key length (bits)	4096
Block size	128
Digest size	48
Key types	Symmetric
Algorithms	SHA384
Modes	НМАС
Flags	Extractable

# CKM\_SHA384\_HMAC\_GENERAL

FIPS approved?	Yes
Supported functions	Sign   Verify
Minimum key length (bits)	8
Minimum key length for FIPS use (bits)	112
Minimum legacy key length for FIPS use (bits)	80
Maximum key length (bits)	4096
Block size	128
Digest size	48
Key types	Symmetric
Algorithms	SHA384
Modes	HMAC
Flags	Extractable

# CKM\_SHA384\_KEY\_DERIVATION

FIPS approved?	No
Supported functions	Derive
Minimum key length (bits)	8
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	4096
Block size	128
Digest size	48
Key types	Symmetric
Algorithms	None
Modes	None
Flags	None

# CKM\_SHA384\_RSA\_PKCS

FIPS approved?	Yes
Supported functions	Sign   Verify
Minimum key length (bits)	256
Minimum key length for FIPS use (bits)	2048
Minimum legacy key length for FIPS use (bits)	1024
Maximum key length (bits)	8192
Block size	128
Digest size	48
Key types	RSA
Algorithms	SHA384
Modes	None
Flags	Extractable

# CKM\_SHA384\_RSA\_PKCS\_PSS

FIPS approved?YesSupported functionsSign VerifyMinimun key length (bits)Si2Minimun key length for FIPS use (bits)Ov4Minimun key length for FIPS use (bits)Si2Maximum key length (bits)Si2Block sizeSi2Digest sizeAsKey typesSiAAlgorithmsSHA384ModesNoneFlagsStratel PSS		
Minimum key length (bits)512Minimum key length for FIPS use (bits)2048Minimum legacy key length for FIPS use (bits)1024Maximum key length (bits)8192Block size128Digest size48Key typesRSAAlgorithmsSHA384ModesNone	FIPS approved?	Yes
Minimum key length for FIPS use (bits)2048Minimum legacy key length for FIPS use (bits)1024Maximum key length (bits)8192Block size128Digest size48Key typesRSAAlgorithmsSHA384ModesNone	Supported functions	Sign   Verify
Minimum legacy key length for FIPS use (bits)1024Maximum key length (bits)8192Block size128Digest size48Key typesRSAAlgorithmsSHA384ModesNone	Minimum key length (bits)	512
Maximum key length (bits)8192Block size128Digest size48Key typesRSAAlgorithmsSHA384ModesNone	Minimum key length for FIPS use (bits)	2048
Block size128Digest size48Key typesRSAAlgorithmsSHA384ModesNone	Minimum legacy key length for FIPS use (bits)	1024
Digest size48Key typesRSAAlgorithmsSHA384ModesNone	Maximum key length (bits)	8192
Key types       RSA         Algorithms       SHA384         Modes       None	Block size	128
Algorithms     SHA384       Modes     None	Digest size	48
Modes None	Key types	RSA
	Algorithms	SHA384
Flags Extractable   PSS	Modes	None
	Flags	Extractable   PSS

# CKM\_SHA384\_RSA\_X9\_31

FIPS approved?	Yes
Supported functions	Sign   Verify
Minimum key length (bits)	1024
Minimum key length for FIPS use (bits)	2048
Minimum legacy key length for FIPS use (bits)	1024
Maximum key length (bits)	8192
Block size	128
Digest size	48
Key types	RSA
Algorithms	SHA384
Modes	None
Flags	Extractable   X9.31

## CKM\_SHA384\_RSA\_X9\_31\_NON\_FIPS

FIPS approved?	No
Supported functions	Sign   Verify
Minimum key length (bits)	1024
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	8192
Block size	128
Digest size	48
Key types	RSA
Algorithms	SHA384
Modes	None
Flags	Extractable   X9.31   Non-FIPS X9.31

### CKM\_SHA384\_SM2DSA

### Firmware 7.4.2 and Newer Summary

FIPS approved?	No
Supported functions	Sign   Verify
Minimum key length (bits)	105
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	571
Block size	128
Digest size	48
Key types	SM2
Algorithms	SHA384
Modes	None
Flags	Extractable

### CKM\_SHA512

FIPS approved?	Yes
Supported functions	Digest
Minimum key length (bits)	0
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	0
Maximum key length (bits)	0
Block size	128
Digest size	*
Key types	None
Algorithms	SHA512
Modes	None
Flags	Extractable

# CKM\_SHA512\_EDDSA

FIPS approved?	No
Supported functions	Sign   Verify
Minimum key length (bits)	256
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	256
Block size	128
Digest size	*
Key types	EDDSA
Algorithms	SHA512
Modes	None
Flags	Extractable

# CKM\_SHA512\_EDDSA\_NACL

FIPS approved?	No
Supported functions	Sign   Verify
Minimum key length (bits)	256
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	256
Block size	128
Digest size	*
Key types	EDDSA
Algorithms	SHA512
Modes	None
Flags	Extractable

## CKM\_SHA512\_HMAC

FIPS approved?	Yes
Supported functions	Sign   Verify
Minimum key length (bits)	8
Minimum key length for FIPS use (bits)	112
Minimum legacy key length for FIPS use (bits)	80
Maximum key length (bits)	4096
Block size	128
Digest size	*
Key types	Symmetric
Algorithms	SHA512
Modes	HMAC
Flags	Extractable

# CKM\_SHA512\_HMAC\_GENERAL

FIPS approved?	Yes
Supported functions	Sign   Verify
Minimum key length (bits)	8
Minimum key length for FIPS use (bits)	112
Minimum legacy key length for FIPS use (bits)	80
Maximum key length (bits)	4096
Block size	128
Digest size	*
Key types	Symmetric
Algorithms	SHA512
Modes	HMAC
Flags	Extractable

# CKM\_SHA512\_KEY\_DERIVATION

FIPS approved?	No
Supported functions	Derive
Minimum key length (bits)	8
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	4096
Block size	128
Digest size	*
Key types	Symmetric
Algorithms	None
Modes	None
Flags	None

# CKM\_SHA512\_RSA\_PKCS

FIPS approved?	Yes
Supported functions	Sign   Verify
Minimum key length (bits)	256
Minimum key length for FIPS use (bits)	2048
Minimum legacy key length for FIPS use (bits)	1024
Maximum key length (bits)	8192
Block size	128
Digest size	*
Key types	RSA
Algorithms	SHA512
Modes	None
Flags	Extractable

# CKM\_SHA512\_RSA\_PKCS\_PSS

FIPS approved?	Yes
Supported functions	Sign   Verify
Minimum key length (bits)	1024
Minimum key length for FIPS use (bits)	2048
Minimum legacy key length for FIPS use (bits)	1024
Maximum key length (bits)	8192
Block size	128
Digest size	*
Key types	RSA
Algorithms	SHA512
Modes	None
Flags	Extractable   PSS

# CKM\_SHA512\_RSA\_X9\_31

FIPS approved?	Yes
Supported functions	Sign   Verify
Minimum key length (bits)	1024
Minimum key length for FIPS use (bits)	2048
Minimum legacy key length for FIPS use (bits)	1024
Maximum key length (bits)	8192
Block size	128
Digest size	*
Key types	RSA
Algorithms	SHA512
Modes	None
Flags	Extractable   X9.31

# CKM\_SHA512\_RSA\_X9\_31\_NON\_FIPS

FIPS approved?	No
Supported functions	Sign   Verify
Minimum key length (bits)	1024
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	8192
Block size	128
Digest size	*
Key types	RSA
Algorithms	SHA512
Modes	None
Flags	Extractable   X9.31   Non-FIPS X9.31

## CKM\_SHA512\_SM2DSA

FIPS approved?	No
Supported functions	Sign   Verify
Minimum key length (bits)	105
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	571
Block size	128
Digest size	*
Key types	SM2
Algorithms	SHA512
Modes	None
Flags	Extractable

## CKM\_SHAKE\_128

FIPS approved?	No
Supported functions	Digest
Minimum key length (bits)	0
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	0
Block size	168
Digest size	0
Key types	None
Algorithms	SHAKE_128
Modes	None
Flags	Extractable

# CKM\_SHAKE\_128\_KEY\_DERIVE

FIPS approved?	No
Supported functions	Derive
Minimum key length (bits)	8
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	4096
Block size	168
Digest size	0
Key types	Symmetric
Algorithms	None
Modes	None
Flags	None

## CKM\_SHAKE\_256

FIPS approved?	No
Supported functions	Digest
Minimum key length (bits)	0
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	0
Block size	136
Digest size	0
Key types	None
Algorithms	SHAKE_256
Modes	None
Flags	Extractable

# CKM\_SHAKE\_256\_KEY\_DERIVE

FIPS approved?	No
Supported functions	Derive
Minimum key length (bits)	8
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	4096
Block size	136
Digest size	0
Key types	Symmetric
Algorithms	None
Modes	None
Flags	None

# CKM\_SM2\_KEY\_PAIR\_GEN

FIPS approved?	No
Supported functions	Generate Key Pair
Minimum key length (bits)	105
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	571
Block size	0
Digest size	0
Key types	SM2
Algorithms	None
Modes	None
Flags	None

## CKM\_SM2DSA

FIPS approved?	No
Supported functions	Sign   Verify
Minimum key length (bits)	105
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	571
Block size	0
Digest size	0
Key types	SM2
Algorithms	SM2
Modes	None
Flags	None

## CKM\_SM3

SM3 is a hash function published by the Chinese Commercial Cryptography Administration Office for the use of electronic authentication service system. The design of SM3 builds upon the design of the SHA-2 hash function, but introduces additional strengthening features. For SafeNet Luna PCIe HSMs, the available mechanisms are CKM\_SM3, the hash function, and CKM\_SM3\_KEY\_DERIVATION, and CKM\_HMAC\_SM3.

FIPS approved?	No
Supported functions	Digest
Minimum key length (bits)	0
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	0
Block size	64
Digest size	32
Key types	None
Algorithms	SM3
Modes	None
Flags	None

# CKM\_SM3\_HMAC

SM3 is a hash function published by the Chinese Commercial Cryptography Administration Office for the use of electronic authentication service system. The design of SM3 builds upon the design of the SHA-2 hash function, but introduces additional strengthening features. For SafeNet Luna PCIe HSMs, the available mechanisms are CKM\_SM3, the hash function, and CKM\_SM3\_KEY\_DERIVATION, and CKM\_SM3\_HMAC.

FIPS approved?	No
Supported functions	Sign   Verify
Minimum key length (bits)	8
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	4096
Block size	64
Digest size	32
Key types	Symmetric
Algorithms	SM3
Modes	HMAC
Flags	None

# CKM\_SM3\_HMAC\_GENERAL

SM3 is a hash function published by the Chinese Commercial Cryptography Administration Office for the use of electronic authentication service system. The design of SM3 builds upon the design of the SHA-2 hash function, but introduces additional strengthening features. For SafeNet Luna PCIe HSMs, the available mechanisms are CKM\_SM3, the hash function, and CKM\_SM3\_KEY\_DERIVATION, and CKM\_SM3\_HMAC.

FIPS approved?	No
Supported functions	Sign   Verify
Minimum key length (bits)	8
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	4096
Block size	64
Digest size	32
Key types	Symmetric
Algorithms	SM3
Modes	HMAC
Flags	None

# CKM\_SM3\_KEY\_DERIVATION

SM3 is a hash function published by the Chinese Commercial Cryptography Administration Office for the use of electronic authentication service system. The design of SM3 builds upon the design of the SHA-2 hash function, but introduces additional strengthening features. For SafeNet Luna PCIe HSMs, the available mechanisms are CKM\_SM3, the hash function, and CKM\_SM3\_KEY\_DERIVATION, and CKM\_HMAC\_SM3.

FIPS approved?	No
Supported functions	Derive
Minimum key length (bits)	8
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	4096
Block size	64
Digest size	32
Key types	Symmetric
Algorithms	None
Modes	None
Flags	None

## CKM\_SM3\_SM2DSA

FIPS approved?	No
Supported functions	Sign   Verify
Minimum key length (bits)	105
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	571
Block size	64
Digest size	32
Key types	SM2
Algorithms	SM3
Modes	None
Flags	Extractable

## CKM\_SM4\_CBC

FIPS approved?	No
Supported functions	Encrypt   Decrypt   Wrap   Unwrap
Minimum key length (bits)	128
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	128
Block size	16
Digest size	0
Key types	SM4
Algorithms	SM4
Modes	CBC
Flags	Extractable

# CKM\_SM4\_CBC\_PAD

FIPS approved?	No
Supported functions	Encrypt   Decrypt   Wrap   Unwrap
Minimum key length (bits)	128
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	128
Block size	16
Digest size	0
Key types	SM4
Algorithms	SM4
Modes	CBC_PAD
Flags	Extractable

## CKM\_SM4\_ECB

FIPS approved?	No
Supported functions	Encrypt   Decrypt   Wrap   Unwrap
Minimum key length (bits)	128
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	128
Block size	16
Digest size	0
Key types	SM4
Algorithms	SM4
Modes	ECB
Flags	Extractable

# CKM\_SM4\_KEY\_GEN

FIPS approved?	No
Supported functions	Generate Key
Minimum key length (bits)	128
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	128
Block size	0
Digest size	0
Key types	SM4
Algorithms	None
Modes	None
Flags	None

# CKM\_SSL3\_KEY\_AND\_MAC\_DERIVE

FIPS approved?	No
Supported functions	Derive
Minimum key length (bits)	384
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	384
Block size	0
Digest size	0
Key types	Symmetric
Algorithms	None
Modes	None
Flags	None

# CKM\_SSL3\_MASTER\_KEY\_DERIVE

FIPS approved?	No
Supported functions	Derive
Minimum key length (bits)	384
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	384
Block size	0
Digest size	0
Key types	Symmetric
Algorithms	None
Modes	None
Flags	None

## CKM\_SSL3\_MD5\_MAC

FIPS approved?	No
Supported functions	Sign   Verify
Minimum key length (bits)	128
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	128
Block size	64
Digest size	16
Key types	Symmetric
Algorithms	MD5
Modes	HMAC
Flags	Extractable

# CKM\_SSL3\_PRE\_MASTER\_KEY\_GEN

FIPS approved?	No
Supported functions	Generate Key
Minimum key length (bits)	384
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	384
Block size	0
Digest size	0
Key types	None
Algorithms	None
Modes	None
Flags	None

# CKM\_SSL3\_SHA1\_MAC

FIPS approved?	No
Supported functions	Sign   Verify
Minimum key length (bits)	160
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	160
Block size	64
Digest size	20
Key types	Symmetric
Algorithms	SHA
Modes	HMAC
Flags	Extractable

## CKM\_TUAK

FIPS approved?	No
Supported functions	Sign
Minimum key length (bits)	128
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	256
Block size	16
Digest size	0
Key types	AES
Algorithms	AES
Modes	ECB
Flags	None

## CKM\_TUAK\_AUTS

FIPS approved?	No
Supported functions	Sign
Minimum key length (bits)	128
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	256
Block size	16
Digest size	0
Key types	AES
Algorithms	AES
Modes	ECB
Flags	None

# CKM\_TUAK\_RESYNC

FIPS approved?	No
Supported functions	Sign
Minimum key length (bits)	128
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	256
Block size	16
Digest size	0
Key types	AES
Algorithms	AES
Modes	ECB
Flags	None

# CKM\_X9\_42\_DH\_DERIVE

FIPS approved?	Yes
Supported functions	Derive
Minimum key length (bits)	1024
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	2048
Maximum key length (bits)	4096
Block size	0
Digest size	0
Key types	X9_42_DH
Algorithms	None
Modes	None
Flags	None

# CKM\_X9\_42\_DH\_HYBRID\_DERIVE

FIPS approved?	Yes
Supported functions	Derive
Minimum key length (bits)	1024
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	2048
Maximum key length (bits)	4096
Block size	0
Digest size	0
Key types	X9_42_DH
Algorithms	None
Modes	None
Flags	None

# CKM\_X9\_42\_DH\_KEY\_PAIR\_GEN

FIPS approved?	Yes
Supported functions	Generate Key Pair
Minimum key length (bits)	1024
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	2048
Maximum key length (bits)	4096
Block size	0
Digest size	0
Key types	X9_42_DH
Algorithms	None
Modes	None
Flags	None

# CKM\_X9\_42\_DH\_PARAMETER\_GEN

FIPS approved?	Yes
Supported functions	Generate Key
Minimum key length (bits)	1024
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	2048
Maximum key length (bits)	4096
Block size	0
Digest size	0
Key types	X9_42_DH
Algorithms	None
Modes	None
Flags	None

## CKM\_XOR\_BASE\_AND\_DATA\_W\_KDF

**NOTE** This mechanism has been removed from Luna firmware 7.4.2.

FIPS approved?	No
Supported functions	Encrypt   Decrypt
Minimum key length (bits)	8
Minimum key length for FIPS use (bits)	N/A
Minimum legacy key length for FIPS use (bits)	N/A
Maximum key length (bits)	4096
Block size	0
Digest size	0
Key types	Symmetric
Algorithms	None
Modes	OFB
Flags	None

# **CHAPTER 5:** Using the SafeNet SDK

This chapter describes how to use the SDK to develop applications that exercise the HSM. It contains the following topics:

- > "Libraries and Applications" below
- > "Application IDs" on page 401
- > "Named Curves and User-Defined Parameters" on page 405
- > "Supported ECC Curves" on page 412
- > "Capability and Policy Configuration Control Using the SafeNet API" on page 415
- > "Connection Timeout" on page 419

## Libraries and Applications

This section explains how to make the Chrystoki library available to the other components of the SafeNet Software Development Kit.

An application has no knowledge of which library is to be loaded nor does the application know the library's location. For these reasons, a special scheme must be employed to tell the application, while it is running, where to find the library. The next paragraphs describe how applications connect to Chrystoki.

## SafeNet SDK Applications General Information

All applications provided in SafeNet Luna PCIe HSM Software Development Kit have been compiled with a component called CkBridge, which uses a configuration file to find the library it is intended to load. Ckbridge first uses the environment variable "ChrystokiConfigurationPath" to locate the corresponding configuration file. If this environment variable is not set, it attempts to locate the configuration file in a default location depending on the product platform (/etc on Unix, and c:\Program Files\SafeNet\LunaClient on Windows).

Configuration files differ from one platform to the next - refer to the appropriate sub-section for the operating system and syntax applicable to your development platform.

#### Windows

In Windows, an initialization file called **crystoki.ini** specifies which library is to be loaded. The syntax of this file is standard to Windows.

The following example shows proper configuration files for Windows:

```
[Chrystoki2]
LibNT=C:\Program Files\SafeNet\LunaClient\cryptoki.dll
[LunaSA Client]
SSLConfigFile=C:\Program Files\SafeNet\LunaClient\openssl.cnf
ReceiveTimeout=20000
NetClient=1
ServerCAFile=C:\Program Files\SafeNet\LunaClient\cert\server\CAFile.pem
ClientCertFile=C:\Program Files\SafeNet\LunaClient\cert\client\ClientNameCert.pem
```

```
ClientPrivKeyFile=C:\Program Files\SafeNet\LunaClient\cert\clientNameKey.pem
[Luna]
DefaultTimeOut=500000
PEDTimeout1=100000
PEDTimeout2=200000
PEDTimeout3=10000
[CardReader]
RemoteCommand=1
```

**CAUTION!** Never insert TAB characters into the crystoki.ini (Windows) or chrystoki.conf (UNIX) file.

#### UNIX

In UNIX, a configuration file called "Chrystoki.conf" is used to guide CkBridge in finding the appropriate library.

The configuration file is a regular text file with a special format. It is made up of a number of sections, each section containing one or multiple entries. The following example shows a typical UNIX configuration file:

```
Chrystoki2 =
LibUNIX=/usr/lib/libCryptoki2.so;
}
Luna = \{
DefaultTimeOut=500000;
PEDTimeout1=100000;
PEDTimeout2=200000;
PEDTimeout3=10000;
KeypairGenTimeOut=2700000;
CloningCommandTimeOut=300000;
}
CardReader = {
RemoteCommand=1;
}
LunaSA Client = {
NetClient = 1;
ServerCAFile = /usr/safenet/lunaclient/cert/server/CAFile.pem;
ClientCertFile = /usr/safenet/lunaclient/cert/client/ClientNameCert.pem;
ClientPrivKeyFile = /usr/safenet/lunaclient/cert/client/ClientNameKey.pem;
SSLConfigFile = /usr/safenet/lunaclient/bin/openssl.cnf;
ReceiveTimeout = 20000;
```

The shared object "libcrystoki2.so" is a library supporting version 2.2.0 of the PKCS#11 standard.

**CAUTION!** Never insert TAB characters into the crystoki.ini (Windows) or crystoki.conf (UNIX) file.

### **Compiler Tools**

Tools used for SafeNet development are platform specific tools/development environments, where applicable (e.g., Visual C++ on Windows, or Workshop on Solaris). Current version information is provided in the Customer Release Notes.

NOTE Contact SafeNet for information about the availability of newer versions of compilers.

## Using CKlog

SafeNet Software Development Kit provides a facility which can record all interactions between an application and the PKCS#11-compliant library. It allows a developer to debug an application by viewing what the library receives.

This tool is known as the Cryptoki Logging Facility or cklog. Cklog is a shim library that an application accesses when seeking our PKCS#11 library. When cklog receives a call it does not service the request. Instead, it logs the call to a file and passes the request to the originally intended library.

#### To configure CkLog:

Perform these steps:

1. Direct the application to use the cklog library instead of the regular Chrystoki library. Do this by modifying the configuration file to instruct CkBridge to load the Cklog library.

#### Windows

```
[Chrystoki2]
LibNT=c:\Program Files\SafeNet\LunaClient\ckloq201.dll
```

#### Linux/UNIX

```
Chrystoki2 =
{
LibUNIX=/usr/lib/libcklog2.so;
```

2. Instruct the cklog library where to access the regular cryptoki library.

#### Windows

```
[CkLog2]
LibNT=c:\Program Files\SafeNet\LunaClient\cryptoki.dll
```

#### Linux/UNIX

```
CkLog2 =
{
LibUNIX=/usr/lib/libCryptoki2.so;
}
```

3. Add appropriate entries to the CkLog2 section for the desired level of operation. See the examples and explanations of entries, below.

#### Windows Example

The following example shows a typical initialization file under Windows where cklog is in use:

```
[Chrystoki2]
LibNT=c:\Program Files\SafeNet\LunaClient\cklog201.dll
[CkLog2]
LibNT=c:\Program Files\SafeNet\LunaClient\cryptoki.dll
Enabled=1
File=c:\Program Files\SafeNet\LunaClient\cklog2.txt
Error=c:\Program Files\SafeNet\LunaClient\error2.txt
NewFormat=1
LoggingMask=ALL_FUNC
```

#### **UNIX Example**

The following example shows a typical configuration file under UNIX where cklog is in use:

```
Chrystoki2 =
{
LibUNIX=/usr/lib/libcklog2.so;
}
CkLog2 =
{
LibUNIX=/usr/lib/libCryptoki2.so;
Enabled=1;
File=/tmp/cklog.txt;
Error=/tmp/error.txt;
NewFormat=1;
LoggingMask=ALL_FUNC;
}
```

Here are descriptions of entries that might be applicable:

- > LibNT references to a Cryptoki library for Windows.
- > LibUNIX references to a Cryptoki library for UNIX (meaning Solaris, Linux, and AIX).
- > Enabled 0 or 1. Allows turning the logging facility off or on.
- > File references the file to which the requests should be logged.
- > Error references a file where the logging facility can record fatal errors.
- > NewFormat 0 or 1 disables/enables a more compact output format, which is the format preferred by SafeNet Customer Support

#### **Selective Logging**

When logging is turned on, all functions are logged, by default. If you wish to restrict logging to particular functions of interest only, you can edit the "LoggingMask=" parameter in the crystoki.ini [Windows] or Chrystoki.conf [UNIX] file to include flags for the desired logging.

#### LoggingMask= Flags

Here is the list of possible flags for cklog:

Flag	Description
GEN_FUNCS	General functions
SLOT_TOKEN_FUNC	Slot/Token related functions
SESSION_FUNC	Session related functions
OBJ_MNGMNT_FUNC	Object Management functions
ENC_DEC_FUNC	Encrypt/Decrypt related functions
DIGEST_FUNC	Digest Related functions
SIGN_VERIFY_FUNC	Signing/Verifying related functions
KEY_MNGMNT_FUNC	Key Management related functions

Flag	Description	
MISC_FUNC	Misc functions	
CHRYSALIS_FUNC	SafeNet Extensions functions	
ALL_FUNC	All functions logged;	

You can mix and match any or all of the flags, using the "|" operator. For example, the following:

LoggingMask=GEN\_FUNC | SLOT\_TOKEN\_FUNC | ENC\_DEC\_FUNC | SIGN\_VERIFY\_FUNC; would be valid.

**NOTE** You can use the flags in any order. Using the ALL\_FUNC flag overrides any other flag.

If you have the "LoggingMask=" parameter, with NO flags set, then nothing is logged. If logging capability is enabled (cklog), but there is no "LoggingMask=" line, then default behavior prevails and everything is logged.

## **Application IDs**

Within Chrystoki, each application has an application ID that is generated when the application starts, but which can also be specified in the configuration file. An application ID was historically a 64-bit integer, normally specified in two 32-bit parts. When an application invokes **C\_Initialize**, the Chrystoki library automatically generates a default application ID. The default value is based on the application's process ID, so different applications will always have different application IDs. The application ID is also associated with each session created by the application.

## Shared Login State and Application IDs

PKCS#11 specifies that sessions within an application (a single address space and all threads that execute within it) share a login state, meaning that if one session is logged in, all are logged in. If one logs out, all are logged out. Thus, if process A spawns multiple threads, and all of those threads open sessions on Token #1, then all of those sessions share a login state. If process B also has sessions open on Token #1, they are independent from the sessions of process A. The login state of process B sessions does not affect process A sessions, unless they conflict with one another (e.g. process A logs in as USER when process B is already logged in as SO).

Within Chrystoki and SafeNet tokens, login states are shared by sessions with the same application ID. This means that sessions within an application share a login state, but sessions across separate applications do not. However, Chrystoki does provides functionality allowing applications to alter their application IDs, so that separate applications can share a login state.

**CAUTION!** The ability to share login states through the use of application IDs is a legacy feature. It can eliminate the need for repeated PED authentication across multiple applications, but this is not ideal for security reasons. To avoid these risks, it is recommended to use auto-activation in conjunction with a PED challenge password instead (see Activation and Auto-activation on Multi-factor- (PED-) Authenticated Partitions).

To change application IDs manually using the LunaCM appid command, see "appid" on page 1.

#### Why Share Login State Between Applications?

For most applications, this is unnecessary. If an application consists of a single perpetual process, unshared session states are sufficient. If the application supports multiple, separately-validated processes, unshared session states are also sufficient. Generally, applications that validate (login) separately are more secure.

It is only necessary to share login state between processes if *all* of the following conditions are true:

- > the application designer wants to require only one login action by the user
- > the application consists of multiple processes, each with their own sessions
- > the system uses SafeNet CA3 tokens

The SafeNet CA3 token provides FIPS 140-1 level 3 security by using a separate port for password entry (where PINs take the form of special data keys). Use of these keys prevents an application from caching a password and using it to log in with multiple sessions. To log in to separate processes simultaneously, login state between those processes must be shared.

#### Login State Sharing Overview

The simplest form of the Chrystoki state-sharing functionality is the **CA\_SetApplicationID** function. This function should be invoked after **C\_Initialize**, but before any sessions are opened. Two separate applications can use this function to set their application IDs to the same value, and thus allow them to share login states between their sessions.

Alternatively, set the **AppIdMajor** and **AppIdMinor** fields in the Misc section of the Chrystoki configuration file. This causes default application IDs for all applications to be generated from these fields, rather than from each application's process ID. When these fields are set, all applications on a host system will share login state between their sessions, unless individual applications use the **CA\_SetApplicationID** function.

#### Example

A sample configuration file (crystoki.ini for Windows) using explicit application IDs is duplicated here:

```
[Chrystoki2]
LibNT=D:\Program Files\SafeNet\LunaClient\cryptoki.dl
[Luna]
DefaultTimeOut=500000
PEDTimeout1=100000
PEDTimeout2=200000
[CardReader]
RemoteCommand=1
[Misc]
AppIdMajor=2
AppIdMinor=4
```

Problems may still arise. When all sessions of a particular application ID are closed, that application ID reverts to a dormant state. When another session for that application ID is created, the application ID is recreated, but always in the logged-out state, regardless of the state it was in when it went dormant.

For example, consider an application where a parent process sets its application ID, opens a session, logs the session in, then closes the session and terminates. Several child processes then set their application IDs, open sessions and try to use them. However, since the application ID went dormant when the parent process closed its session, the child processes find their sessions logged out. The logged-in state of the parent process's session was lost when it closed its session.

The **CA\_OpenApplicationID** function can ensure that the login state of an application ID is maintained, even when no sessions belonging to that application ID exist. When **CA\_OpenApplicationID** is invoked, the application ID is tagged so that it never goes dormant, even if no open sessions exist.

**NOTE** Running **CA\_OpenApplication\_ID** does not set the application ID for the current process. You must first explicitly run **CA\_SetApplicationID** to do this.

#### Login State Sharing Functions

Use the following functions to configure and manage login state sharing:

#### CA\_SetApplicationID

```
CK_RV CK_ENTRY CA_SetApplicationID(
CK_ULONG ulHigh,
CK_ULONG ulLow
```

#### );

The **CA\_SetApplicationID** function allows an application to set its own application ID, rather than letting the application ID be generated automatically from the application's process ID. **CA\_SetApplicationID** should be invoked after **C\_Initialize**, but before any session manipulation functions are invoked. If **CA\_SetApplicationID** is invoked after sessions have been opened, results will be unpredictable.

CA\_SetApplicationID always returns CKR\_OK.

#### CA\_OpenApplicationID

```
CK_RV CK_ENTRY CA_OpenApplicationID(
CK_SLOT_ID slotID,
CK_ULONG ulHigh,
CK_ULONG ulLow
```

);

The **CA\_OpenApplicationID** function forces a given application ID on a given token to remain active, even when all sessions belonging to the application ID have been closed. Normally, an application ID on a token goes dormant when the last session that belongs to the application ID is closed. When an application ID goes dormant, login state is lost, so when a new session is created within the application ID, it starts in the logged-out state. However, if **CA\_OpenApplicationID** is used, the application ID is prevented from going dormant, so login state is maintained even when all sessions for an application ID are closed.

**NOTE** Running **CA\_OpenApplication\_ID** does not set the application ID for the current process. You must first explicitly run **CA\_SetApplicationID** to do this.

**CA\_OpenApplicationID** can return CKR\_SLOT\_ID\_INVALID or CKR\_TOKEN\_NOT\_PRESENT.

#### CA\_CloseApplicationID

```
CK_RV CK_ENTRY CA_CloseApplicationID(
CK_SLOT_ID slotID,
CK_ULONG ulHigh,
CK_ULONG ulLow
```

);

The **CA\_CloseApplicationID** function removes the property of an application ID that prevents it from going dormant. **CA\_CloseApplicationID** also closes any open sessions owned by the given application ID. Thus, when **CA\_CloseApplicationID** returns, all open sessions owned by the given application ID have been closed and the application ID has gone dormant.

CA\_CloseApplicationID can return CKR\_SLOT\_ID\_INVALID or CKR\_TOKEN\_NOT\_PRESENT.

#### **Application ID Examples**

The following code fragments show how two separate applications might share a single application ID:

```
app 1:
               app 2:
C Initialize()
CA SetApplicationID(3,4)
C OpenSession()
C_Login()
              C Initialize()
              CA SetApplicationID(3,4)
              C OpenSession()
              C GetSessionInfo()
              // Session info shows session
              // already logged in.
              <perform work, no login
              necessary>
C Logout()
              C GetSessionInfo()
              // Session info shows session
              // logged out.
C CloseSession()
              C CloseSession()
C Finalize()
              C Finalize()
The following code fragments show how one process might login for others:
Setup app:
C Initialize()
CA SetApplicationID(7,9)
CA OpenApplicationID(slot,7,9)
C OpenSession(slot)
C Login()
C CloseSession()
Spawn many child applications:
C Finalize()
```

Terminate each child app:

```
C_Initialize()
CA_SetApplicationID(7,9)
C_OpenSession(slot)
<perform work, no login necessary>
```

Takedown app:

Terminate child applications:

```
C_CloseSession()
C_Finalize()
C_Initialize()
CA_CloseApplicationID(slot,7,9)
C_Finalize()
```

## Named Curves and User-Defined Parameters

SafeNet Luna PCIe HSM is a PKCS#11-oriented device. The HSM firmware statically defines NIST named curve OIDs and curve parameters by default. You can also define other non-NIST curve OIDS and parameters such as Brainpool. SafeNet Luna PCIe HSM can decode and use the ecParameters structure for key generation, signing, and verification.

## **Curve Validation Limitations**

The HSM can validate the curve parameters, but domain parameter validation guarantees only the consistency/sanity of the parameters and the most basic, well-known security properties. The HSM has no way of judging the quality of a user-specified curve.

It is therefore important that you perform Known Answer Tests to verify the operation of the HSM for any new Domain Parameter.set. To maintain NIST-FIPS compatibility the feature is selectively enabled with the feature being disabled by default. Therefore the Administrator must 'opt-in' by actively choosing to enable the appropriate HSM policy. Among other effects, this causes the HSM to display a shell/console message to the effect that the HSM is not operating in FIPS mode.

## **Storing Domain Parameters**

Under PKCS#11 v2.20, Domain Parameters are stored in object attribute CKA\_EC\_PARAMS. The value of this parameter is the DER encoding of an ANSI X9.62 Parameters value.

```
Parameters ::= CHOICE {
  ecParameters ECParameters,
  namedCurve CURVES.&id({CurveNames}),
  implicitlyCA NULL
}
```

Because PKCS#11 states that the implicitlyCA is not supported by cryptoki, therefore the CKA\_EC\_PARAMS attribute must contain the encoding of an ecParameters or a namedCurve. Cryptoki holds ECC key pairs in separate Private and Public key objects. Each object has its own CKA\_EC\_PARAMS attribute which must be provided when the object is created and cannot be subsequently changed.

Cryptoki also supports CKO\_DOMAIN\_PARAMETERS objects. These store Domain Parameters but perform no cryptographic operations. A Domain Parameters object is really only for storage. To generate a key pair, you must extract the attributes from the Domain Parameters object and insert them in the CKA\_EC\_PARAMS attribute of the Public key template. Cryptoki can create new ECC Public and Private key objects and Domain Parameters objects in the following ways:

- > Objects can be directly stored using the C\_CreateObject command.
- Public and private key objects can be generated internally with the C\_GenerateKeyPair command and the CKM\_EC\_KEY\_PAIR\_GEN mechanism.

> Objects can be imported in encrypted form using C\_UnwrapKey command.

### **Using Domain Parameters**

ECC keys may be used for Signature Generation and Verification with the CKM\_ECDSA and CKM\_ECDSA\_ SHA1 mechanism and Encryption and Decryption with the CKM\_ECIES mechanism. These three mechanism are enhanced so that they fetch the Domain Parameters from the CKA\_EC\_PARAMS attribute for both ecParameters and namedCurve choice and not just namedCurve choice.

### **User Friendly Encoder**

Using ECC with Cryptoki to create or generate ECC keys requires that the CKA\_EC\_PARAMS attribute be specified. This is a DER encoded binary array. Usually in public documents describing ECC curves the Domain Parameters are specified as a series of printable strings so the programmer faces the problem of converting these to the correct format for Cryptoki use.

The cryptoki library is extended to support functions called CA\_EncodeECPrimeParams and CA\_ EncodeECChar2Params which allow an application to specify the parameter details of a new curve. These functions implement DER encoders to build the CKA\_EC\_PARAMS attribute from large integer presentations of the Domain Parameter values.

Refer to "Sample Domain Parameter Files" on page 408.

### **Application Interfaces**

#### CA\_EncodeECPrimeParams

#include "cryptoki.h"

```
CK_RV CA_ EncodeECPrimeParams (

CK_BYTE_PTR DerECParams,CK_ULONG_PTR DerECParams Len

CK_BYTE_PTR prime,CK_USHORT primelen,

CK_BYTE_PTR a,CK_USHORT alen,

CK_BYTE_PTR b,CK_USHORT blen,

CK_BYTE_PTR seed,CK_USHORT seedlen,

CK_BYTE_PTR x,CK_USHORT xlen,

CK_BYTE_PTR y,CK_USHORT ylen,

CK_BYTE_PTR order,CK_USHORT orderlen,

CK_BYTE_PTR cofactor,CK_USHORT cofactorlen,
```

);

Do DER enc of ECC Domain Parameters Prime

#### **Parameters**

DerECParams	Resultant Encoding (length prediction supported if NULL).	
DerECParamsLen	Buffer len/Length of resultant encoding	
prime	Prime modulus	

primelen	Prime modulus len			
а	Elliptic Curve coefficient a			
alen	Elliptic Curve coefficient a length			
b	Elliptic Curve coefficient b			
blen	Elliptic Curve coefficient b length			
seed	Seed (optional may be NULL)			
seedlen	Seed length			
x	Elliptic Curve point X coord			
xlen	Elliptic Curve point X coord length			
У	Elliptic Curve point Y coord			
ylen	Elliptic Curve point Y coord length			
order	Order n of the Base Point			
orderlen	Order n of the Base Point length			
cofactor	The integer h = #E(Fq)/n (optional)			
cofactorlen	h length			
Return	Status of operation. CKR_OK if ok.			

#### CA\_EncodeECChar2Params

#include "cryptoki.h" CK\_RV CA\_EncodeECChar2Params( CK\_BYTE\_PTR DerECParams, CK\_ULONG\_PTR DerECParams Len CK\_USHORT m, CK\_USHORT k1, CK\_USHORT k2, CK\_USHORT k3, CK\_BYTE\_PTR a,CK\_USHORT alen, CK\_BYTE\_PTR b,CK\_USHORT blen, CK\_BYTE\_PTR seed,CK\_USHORT seedlen, CK\_BYTE\_PTR x,CK\_USHORT xlen, CK\_BYTE\_PTR y,CK\_USHORT ylen, CK\_BYTE\_PTR order,CK\_USHORT orderlen, CK\_BYTE\_PTR cofactor,CK\_USHORT cofactorlen, );

Do DER enc of ECC Domain Parameters  $2\,{}^{\rm A}{\rm M}$ 

#### **Parameters**

DerECParams	Resultant Encoding (length prediction supported if NULL).			
DerECParamsLen	Buffer len/Length of resultant encoding			
М	Degree of field			
k1	parameter in trinomial or pentanomial basis polynomial			
k2	parameter in pentanomial basis polynomial			
k3	parameter in pentanomial basis polynomial			
а	Elliptic Curve coefficient a			
alen	Elliptic Curve coefficient a length			
b	Elliptic Curve coefficient b			
blen	Elliptic Curve coefficient b length			
seed	Seed (optional may be NULL)			
seedlen	Seed length			
x	Elliptic Curve point X coord			
xlen	Elliptic Curve point X coord length			
У	Elliptic Curve point Y coord			
ylen	Elliptic Curve point Y coord length			
order	Order n of the Base Point			
orderlen	Order n of the Base Point length			
cofactor	The integer $h = #E(Fq)/n$ (optional)			
cofactorlen	h length			
Return	Status of operation. CKR_OK if ok.			

## Sample Domain Parameter Files

The following examples show some sample domain parameter files.

#### prime192v1

```
#This file describes the domain parameters of an EC curve
#File contains lines of text. All lines not of the form key=value are ignored.
#All values must be Hexidecimal numbers except m, k, k1, k2 and k3 which are decimal.
#Lines starting with '#' are comments.
#
#Keys recognised for fieldID values are -
           - only if the Curve is based on a prime field
g#
           - only if the curve is based on a 2^M field
#m
#k1, k2, k3 - these three only if 2^M field
#You should have these combinations of fieldID values -
           - if Curve is based on a prime field
#p
#m,k1,k2,k3 - if curve is based on 2^M
#These are the values common to prime fields and polynomial fields.
          - field element A
#a
           - field element B
#b
           - this one is optional
#s
           - field element Xg of the point G
#x
          - field element Yg of the point G
#y
          - order n of the point G
#a
#h
          - (optional) cofactor h
#
#
# Curve name prime192v1
    р
    а
    = 64210519E59C80E70FA7E9AB72243049FEB8DEECC146B9B1
b
    = 3045AE6FC8422F64ED579528D38120EAE12196D5
s
    = 188DA80EB03090F67CBF20EB43A18800F4FF0AFD82FF1012
х
    = 07192B95FFC8DA78631011ED6B24CDD573F977A11E794811
V
q
    = FFFFFFFFFFFFFFFFFFFFF99DEF836146BC9B1B4D22831
h
    = 1
C2tnB191v1
```

#This file describes the domain parameters of an EC curve # #File contains lines of text. All lines not of the form key=value are ignored. #All values must be Hexidecimal numbers except m, k, k1, k2 and k3 which are decimal. #Lines starting with '#' are comments. #Keys recognised for fieldID values are -- only if the Curve is based on a prime field #p #m - only if the curve is based on a 2^M field #k1, k2, k3 - these three only if 2^M field #You should have these combinations of fieldID values ą# - if Curve is based on a prime field #m,k1,k2,k3 - if curve is based on 2^M #These are the values common to prime fields and polynomial fields. - field element A #a

```
- field element B
#b
#s
           - this one is optional
           - field element Xg of the point G
#x
           - field element Yg of the point G
#y
           - order n of the point G
#q
#h
           - (optional) cofactor h
#
#
# Curve name C2tnB191v1
    = 191
m
     = 9
k1
     = 0
k2
     = 0
k3
     = 2866537B676752636A68F56554E12640276B649EF7526267
a
     = 2E45EF571F00786F67B0081B9495A3D95462F5DE0AA185EC
b
     = 36B3DAF8A23206F9C4F299D7B21A9C369137F2C84AE1AA0D
x
     = 765BE73433B3F95E332932E70EA245CA2418EA0EF98018FB
V
     α
```

#### brainpoolP160r1

```
#This file describes the domain parameters of an EC curve
#
#File contains lines of text. All lines not of the form key=value are ignored.
#All values must be Hexidecimal numbers except m, k, k1, k2 and k3 which are decimal.
#Lines starting with '#' are comments.
#Keys recognised for fieldID values are -
#p
            - only if the Curve is based on a prime field
#m
            - only if the curve is based on a 2^M field
#k1, k2, k3 - these three only if 2^M field
#
#You should have these combinations of fieldID values -
ą#
            - if Curve is based on a prime field
#m,k1,k2,k3 - if curve is based on 2^M
#
#These are the values common to prime fields and polynomial fields.
#a
           - field element A
#b
            - field element B
            - this one is optional
#s
#x
            - field element Xg of the point G
#y
            - field element Yg of the point G
#q
            - order n of the point G
#h
            - (optional) cofactor h
#
#
# Curve name brainpoolP160r1
р
       = E95E4A5F737059DC60DFC7AD95B3D8139515620F
       = 340E7BE2A280EB74E2BE61BADA745D97E8F7C300
а
       = 1E589A8595423412134FAA2DBDEC95C8D8675E58
b
       = BED5AF16EA3F6A4F62938C4631EB5AF7BDBCDBC3
х
       = 1667CB477A1A8EC338F94741669C976316DA6321
V
       = E95E4A5F737059DC60DF5991D45029409E60FC09
q
       = 1
h
```

#### brainpoolP512r1

```
#This file describes the domain parameters of an EC curve
#File contains lines of text. All lines not of the form key=value are ignored.
#All values must be Hexidecimal numbers except m, k, k1, k2 and k3 which are decimal.
#Lines starting with '#' are comments.
#
#Keys recognised for fieldID values are -
           - only if the Curve is based on a prime field
ą#
           - only if the curve is based on a 2^M field
#m
#k1, k2, k3 - these three only if 2^M field
#You should have these combinations of fieldID values -
            - if Curve is based on a prime field
#p
#m,k1,k2,k3 - if curve is based on 2^M
#These are the values common to prime fields and polynomial fields.
           - field element A
#a
           - field element B
#b
           - this one is optional
#s
           - field element Xg of the point G
#x
           - field element Yg of the point G
#y
           - order n of the point G
#q
#h
           - (optional) cofactor h
#
#
# Curve name brainpoolP512r1
```

p=AADD9DB8DBE9C48B3FD4E6AE33C9FC07CB308DB3B3C9D20ED6639CCA703308717D4D9B009BC66842AECDA12AE6A380E 62881FF2F2D82C68528AA6056583A48F3

a=7830A3318B603B89E2327145AC234CC594CBDD8D3DF91610A83441CAEA9863BC2DED5D5AA8253AA10A2EF1C98B9AC8B 57F1117A72BF2C7B9E7C1AC4D77FC94CA

b=3DF91610A83441CAEA9863BC2DED5D5AA8253AA10A2EF1C98B9AC8B57F1117A72BF2C7B9E7C1AC4D77FC94CADC083E6 7984050B75EBAE5DD2809BD638016F723

```
x=81AEE4BDD82ED9645A21322E9C4C6A9385ED9F70B5D916C1B43B62EEF4D0098EFF3B1F78E2D0D48D50D1687B93B97D5
F7C6D5047406A5E688B352209BCB9F822
```

```
y=7DDE385D566332ECC0EABFA9CF7822FDF209F70024A57B1AA000C55B881F8111B2DCDE494A5F485E5BCA4BD88A2763A
ED1CA2B2FA8F0540678CD1E0F3AD80892
```

```
q=AADD9DB8DBE9C48B3FD4E6AE33C9FC07CB308DB3B3C9D20ED6639CCA70330870553E5C414CA92619418661197FAC104
71DB1D381085DDADDB58796829CA90069
      = 1
```

```
h
```

#### **Bad Parameter File**

```
#This file describes the domain parameters of an EC curve
#File contains lines of text. All lines not of the form key=value are ignored.
#All values must be Hexidecimal numbers except m, k, k1, k2 and k3 which are decimal
#Lines starting with '#' are comments.
#
```

```
#Keys recognised for fieldID values are -
          - only if the Curve is based on a prime field
#p
           - only if the curve is based on a 2^M field
#m
#k1, k2, k3 - these three only if 2^M field
#You should have these combinations of fieldID values -
          - if Curve is based on a prime field
#p
#m,k1,k2,k3 - if curve is based on 2^M
#
#These are the values common to prime fields and polynomial fields.
#a
          - field element A
          - field element B
#b
          - this one is optional
#s
          - field element Xg of the point G
#x
          - field element Yg of the point G
#y
          - order n of the point G
#q
          - (optional) cofactor h
#h
#
# Curve name prime192vx
    р
    а
    = 64210519E59C80E70FA7E9AB72243049FEB8DEECC146B9B13
b
    = 34545567685743523457
s
    = 188DA80EB03090F67CBF20EB43A18800F4FF0AFD82FF1012
х
    = 07192B95FFC8DA78631011ED6B24CDD573F977A11E794811
У
    = FFFFFFFFFFFFFFFFFFFFFF99DEF836146BC9B1B4D22831
q
    = 12323435765786
h
```

## Supported ECC Curves

The following table lists all supported Elliptic Curve Cryptography (ECC) curves and their Object Identifiers (OID, expressed in dot notation and byte format).

Curve Name(s)	OID (dot)	OID (byte)
brainpoolP160r1	1.3.36.3.3.2.8.1.1.1	06 09 2B 24 03 03 02 08 01 01 01
brainpoolP160t1	1.3.36.3.3.2.8.1.1.2	06 09 2B 24 03 03 02 08 01 01 02
brainpoolP192r1	1.3.36.3.3.2.8.1.1.3	06 09 2B 24 03 03 02 08 01 01 03
brainpoolP192t1	1.3.36.3.3.2.8.1.1.4	06 09 2B 24 03 03 02 08 01 01 04
brainpoolP224r1	1.3.36.3.3.2.8.1.1.5	06 09 2B 24 03 03 02 08 01 01 05
brainpoolP224t1	1.3.36.3.3.2.8.1.1.6	06 09 2B 24 03 03 02 08 01 01 06
brainpoolP256r1	1.3.36.3.3.2.8.1.1.7	06 09 2B 24 03 03 02 08 01 01 07
brainpooIP256t1	1.3.36.3.3.2.8.1.1.8	06 09 2B 24 03 03 02 08 01 01 08

Curve Name(s)	OID (dot)	OID (byte)
brainpoolP320r1	1.3.36.3.3.2.8.1.1.9	06 09 2B 24 03 03 02 08 01 01 09
brainpoolP320t1	1.3.36.3.3.2.8.1.1.10	06 09 2B 24 03 03 02 08 01 01 0a
brainpoolP384r1	1.3.36.3.3.2.8.1.1.11	06 09 2B 24 03 03 02 08 01 01 0b
brainpoolP384t1	1.3.36.3.3.2.8.1.1.12	06 09 2B 24 03 03 02 08 01 01 0c
brainpoolP512r1	1.3.36.3.3.2.8.1.1.13	06 09 2B 24 03 03 02 08 01 01 0d
brainpoolP512t1	1.3.36.3.3.2.8.1.1.14	06 09 2B 24 03 03 02 08 01 01 0e
c2pnb163v1 (X9.62 c2pnb163v1)	1.2.840.10045.3.0.1	06 08 2A 86 48 CE 3D 03 00 01
c2pnb163v2 (X9.62 c2pnb163v2)	1.2.840.10045.3.0.2	06 08 2A 86 48 CE 3D 03 00 02
c2pnb163v3 (X9.62 c2pnb163v3)	1.2.840.10045.3.0.3	06 08 2A 86 48 CE 3D 03 00 03
<b>c2pnb176w1</b> (X9.62 c2pnb176w1) <b>c2pnb176v1</b> (X9.62 c2pnb176v1)	1.2.840.10045.3.0.4	06 08 2A 86 48 CE 3D 03 00 04
c2pnb208w1 (X9.62 c2pnb208w1)	1.2.840.10045.3.0.10	06 08 2A 86 48 CE 3D 03 00 0A
c2pnb272w1 (X9.62 c2pnb272w1)	1.2.840.10045.3.0.16	06 08 2A 86 48 CE 3D 03 00 10
c2pnb304w1 (X9.62 c2pnb304w1)	1.2.840.10045.3.0.17	06 08 2A 86 48 CE 3D 03 00 11
c2pnb368w1 (X9.62 c2pnb368w1)	1.2.840.10045.3.0.19	06 08 2A 86 48 CE 3D 03 00 13
c2tnb191v1 (X9.62 c2tnb191v1)	1.2.840.10045.3.0.5	06 08 2A 86 48 CE 3D 03 00 05
c2tnb191v2 (X9.62 c2tnb191v2)	1.2.840.10045.3.0.6	06 08 2A 86 48 CE 3D 03 00 06
c2tnb191v3 (X9.62 c2tnb191v3)	1.2.840.10045.3.0.7	06 08 2A 86 48 CE 3D 03 00 07
c2tnb239v1 (X9.62 c2tnb239v1)	1.2.840.10045.3.0.11	06 08 2A 86 48 CE 3D 03 00 0B
c2tnb239v2 (X9.62 c2tnb239v2)	1.2.840.10045.3.0.12	06 08 2A 86 48 CE 3D 03 00 0C
c2tnb239v3 (X9.62 c2tnb239v3)	1.2.840.10045.3.0.13	06 08 2A 86 48 CE 3D 03 00 0D
c2tnb359v1 (X9.62 c2tnb359v1)	1.2.840.10045.3.0.18	06 08 2A 86 48 CE 3D 03 00 12
c2tnb431r1 (X9.62 c2tnb431r1)	1.2.840.10045.3.0.20	06 08 2A 86 48 CE 3D 03 00 14

Curve Name(s)	OID (dot)	OID (byte)
Ed25519 (edwards25519)	1.3.6.1.4.1.11591.15.1	06 09 2B 06 01 04 01 DA 47 0F 01
prime192v1 (X9.62 prime192v1, secp192r1)	1.2.840.10045.3.1.1	06 08 2A 86 48 CE 3D 03 01 01
prime192v2 (X9.62 prime192v2)	1.2.840.10045.3.1.2	06 08 2A 86 48 CE 3D 03 01 02
prime192v3 (X9.62 prime192v3)	1.2.840.10045.3.1.3	06 08 2A 86 48 CE 3D 03 01 03
prime239v1 (X9.62 prime239v1)	1.2.840.10045.3.1.4	06 08 2A 86 48 CE 3D 03 01 04
prime239v2 (X9.62 prime239v2)	1.2.840.10045.3.1.5	06 08 2A 86 48 CE 3D 03 01 05
prime239v3 (X9.62 prime239v3)	1.2.840.10045.3.1.6	06 08 2A 86 48 CE 3D 03 01 06
prime256v1 (X9.62 prime256v1, secp256r1)	1.2.840.10045.3.1.7	06 08 2A 86 48 CE 3D 03 01 07
secp112r1	1.3.132.0.6	06 05 2B 81 04 00 06
secp112r2	1.3.132.0.7	06 05 2B 81 04 00 07
secp128r1	1.3.132.0.28	06 05 2B 81 04 00 1C
secp128r2	1.3.132.0.29	06 05 2B 81 04 00 1D
secp160k1	1.3.132.0.9	06 05 2B 81 04 00 09
secp160r1	1.3.132.0.8	06 05 2B 81 04 00 08
secp160r2	1.3.132.0.30	06 05 2B 81 04 00 1E
secp192k1	1.3.132.0.31	06 05 2B 81 04 00 1F
secp224k1	1.3.132.0.32	06 05 2B 81 04 00 20
secp224r1	1.3.132.0.33	06 05 2B 81 04 00 21
secp256k1	1.3.132.0.10	06 05 2B 81 04 00 0A
secp384r1	1.3.132.0.34	06 05 2B 81 04 00 22
secp521r1	1.3.132.0.35	06 05 2B 81 04 00 23
sect113r1	1.3.132.0.4	06 05 2B 81 04 00 04
sect113r2	1.3.132.0.5	06 05 2B 81 04 00 05

Curve Name(s)	OID (dot)	OID (byte)
sect131r1	1.3.132.0.22	06 05 2B 81 04 00 16
sect131r2	1.3.132.0.23	06 05 2B 81 04 00 17
sect163k1	1.3.132.0.1	06 05 2B 81 04 00 01
sect163r1	1.3.132.0.2	06 05 2B 81 04 00 02
sect163r2	1.3.132.0.15	06 05 2B 81 04 00 0F
sect193r1	1.3.132.0.24	06 05 2B 81 04 00 18
sect193r2	1.3.132.0.25	06 05 2B 81 04 00 19
sect233k1	1.3.132.0.26	06 05 2B 81 04 00 1A
sect233r1	1.3.132.0.27	06 05 2B 81 04 00 1B
sect239k1	1.3.132.0.3	06 05 2B 81 04 00 03
sect283k1	1.3.132.0.16	06 05 2B 81 04 00 10
sect283r1	1.3.132.0.17	06 05 2B 81 04 00 11
sect409k1	1.3.132.0.36	06 05 2B 81 04 00 24
sect409r1	1.3.132.0.37	06 05 2B 81 04 00 25
sect571k1	1.3.132.0.38	06 05 2B 81 04 00 26
sect571r1	1.3.132.0.39	06 05 2B 81 04 00 27
<b>X25519</b> (curve25519)	1.3.6.1.4.1.3029.1.5.1	06 0a 2b 06 01 04 01 97 55 01 05 01

For additional information about the Elliptic Curve specification, refer to this article:

http://www.ietf.org/rfc/rfc4492.txt

## Capability and Policy Configuration Control Using the SafeNet API

The configuration and control of the SafeNet Luna PCIe HSM is provided by a set of capabilities and policies which you can query and set using the SafeNet API. See for more information.

### **HSM Capabilities and Policies**

Each HSM has a set of capabilities. An HSM's capability set defines and controls the behavior of the HSM.

HSM behavior can be further modified through changing policies. The HSM Admin can refine the behavior of an HSM by changing the policy settings.

## HSM Partition Capabilities and Policies

Each HSM can support one-or-more virtual HSMs called HSM Partitions (may also be called "containers" in some areas of the API), which are used by properly authenticated remote clients to perform cryptographic operations.

Each HSM Partition has a set of capabilities. An HSM Partition's capability set defines and controls the behavior of the HSM partition.

HSM Partition behavior can be further modified through changing policies. The HSM Admin can refine the behavior of an HSM Partition by changing the policy settings. Different partitions can have different values for the configuration elements which apply to specific HSM Partitions – in other words, if a policy is set to a given value for one HSM Partition, the policy can be set to a different value for another HSM Partition on the same HSM.

In some cases, a partition policy change is destructive.

### **Policy Refinement**

For every policy set element, there is a corresponding capability set element (the reverse is not true – there can be some capability set elements that do not have corresponding policy set elements). The value of a policy set element can be modified by the HSM Admin, but only within the limitations imposed by the corresponding capability set element.

For example, there is a policy set element which determines how many failed login attempts may be made before a Partition is deleted or locked out. There is also a corresponding capability set element for the same purpose. The policy element may be modified by the HSM Admin, but may only be set to a value less than or equal to that of the capability set element. So if the capability set element has a value of 10, the HSM Admin can set the policy to a value less than or equal to 10.

In general, the HSM Admin may modify policy set elements to make the HSM or partition policy more restrictive than that imposed by the capability set elements. The HSM Admin can not make the HSM or HSM Partition policy less restrictive or enable functionality that is disabled through capability settings.

## **Policy Types**

There are three types of policy elements, as follows:

Normal policy elements	May be set at any time by the HSM Admin. The values which may be set are limited only by the corresponding capability element as described in the previous section (i.e. the policy element can be set only to a value less than or equal to the capability set element).
Destructive policy elements	May be set at any time, but setting them results in the erasure of any partitions and their contents. Policy elements are destructive if changing them significantly affects the security policy of the HSM.

Writerestricted policy elements Cannot be modified directly, but instead are affected by other actions that can be taken.

### Querying and Modifying HSM Configuration

The following are the relevant functions (found in **sfnt\_extensions.h**):

- > CK\_RV CK\_ENTRY CA\_GetConfigurationElementDescription(
- > CK\_SLOT\_ID slotID,
- > CK\_ULONG ullsContainerElement,
- > CK\_ULONG ullsCapabilityElement,
- > CK\_ULONG ulElementId,
- > CK\_ULONG\_PTR pulElementBitLength,
- > CK\_ULONG\_PTR pulElementDestructive,
- > CK\_ULONG\_PTR pulElementWriteRestricted,
- > CK\_CHAR\_PTR pDescription);
- > CK\_RV CK\_ENTRY CA\_GetHSMCapabilitySet(
- > CK\_SLOT\_ID uPhysicalSlot,
- > CK\_ULONG\_PTR pulCapIdArray,
- > CK\_ULONG\_PTR pulCapIdSize,
- > CK\_ULONG\_PTR pulCapValArray,
- > CK\_ULONG\_PTR pulCapValSize );
- > CK\_RV CK\_ENTRY CA\_GetHSMCapabilitySetting (
- > CK\_SLOT\_ID slotID,
- > CK\_ULONG ulPolicyId,
- > CK\_ULONG\_PTR pulPolicyValue);
- > CK\_RV CK\_ENTRY CA\_GetHSMPolicySet(
- > CK\_SLOT\_ID uPhysicalSlot,
- > CK\_ULONG\_PTR pulPolicyIdArray,
- > CK\_ULONG\_PTR pulPolicyIdSize,
- > CK\_ULONG\_PTR pulPolicyValArray,
- > CK\_ULONG\_PTR pulPolicyValSize );
- > CK\_RV CK\_ENTRY CA\_GetHSMPolicySetting (
- > CK\_SLOT\_ID slotID,
- > CK\_ULONG ulPolicyId,

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- > CK\_ULONG\_PTR pulPolicyValue);
- > CK\_RV CK\_ENTRY CA\_GetContainerCapabilitySet(
- > CK\_SLOT\_ID uPhysicalSlot,
- > CK\_ULONG ulContainerNumber,
- > CK\_ULONG\_PTR pulCapIdArray,
- > CK\_ULONG\_PTR pulCapIdSize,
- > CK\_ULONG\_PTR pulCapValArray,
- > CK\_ULONG\_PTR pulCapValSize );
- > CK\_RV CK\_ENTRY CA\_GetContainerCapabilitySetting (
- > CK\_SLOT\_ID slotID,
- > CK\_ULONG ulContainerNumber,
- > CK\_ULONG ulPolicyId,
- > CK\_ULONG\_PTR pulPolicyValue);
- > CK\_RV CK\_ENTRY CA\_GetContainerPolicySet(
- > CK\_SLOT\_ID uPhysicalSlot,
- > CK\_ULONG ulContainerNumber,
- > CK\_ULONG\_PTR pulPolicyIdArray,
- > CK\_ULONG\_PTR pulPolicyIdSize,
- > CK\_ULONG\_PTR pulPolicyValArray,
- > CK\_ULONG\_PTR pulPolicyValSize );
- > CK\_RV CK\_ENTRY CA\_GetContainerPolicySetting(
- > CK\_SLOT\_ID uPhysicalSlot,
- > CK\_ULONG ulContainerNumber,
- > CK\_ULONG ulPolicyId,
- > CK\_ULONG\_PTR pulPolicyValue);
- > CK\_RV CK\_ENTRY CA\_SetHSMPolicy (
- > CK\_SESSION\_HANDLE hSession,
- > CK\_ULONG ulPolicyId,
- > CK\_ULONG ulPolicyValue);
- > CK\_RV CK\_ENTRY CA\_SetDestructiveHSMPolicy (
- > CK\_SESSION\_HANDLE hSession,
- > CK\_ULONG ulPolicyId,
- > CK\_ULONG ulPolicyValue);
- > CK\_RV CK\_ENTRY CA\_SetContainerPolicy (
- > CK\_SESSION\_HANDLE hSession,

- > CK\_ULONG ulContainer,
- > CK\_ULONG ulPolicyId,
- > CK\_ULONG ulPolicyValue);

#### The CA\_GetConfigurationElementDescription() Function

The **CA\_GetConfigurationElementDescription()** function requires that you pass in a zero or one value to indicate whether the element you are querying is an HSM Partition (container) element or an HSM element, and another zero/one value to define whether it is a capability or policy that you are interested in. You also pass in the id of the element and a character buffer of at least 60 characters. The function then returns the size of the element value (in bits), an indication of whether the element is destructive, an indication of whether the policy (if it is a policy) is write-restricted, and it also writes the description string into the buffer that you provided.

### The CA\_Get{HSM|Container}{Capability|Policy}Set() Functions

The various **CA\_Get{HSM|Container}{Capability|Policy}Set()** functions all return (in the word arrays provided) a complete list of element id/value pairs for the set specified. For example, **CA\_ GetHSMCapabilitySet()** returns a list of all HSM capability elements and their values. The parameters for these functions include a list pointer and length pointer for each of the element ids and element values. On calling the function, you should provide a buffer or a null pointer for each of the lists, and the length value should be initialized to the size of the buffer. On return, the buffer (if given) is populated, and the length is updated to the real length of the list. If the buffer is given but is not large enough, an error results.

Typically you would invoke the function twice: call the function the first time with null buffer pointers so that the real length necessary is returned, then allocate the necessary buffers and call the function a second time, giving the real buffers.

The various **CA\_Get{HSM|Container}{Capability|Policy}Setting()** functions allow you to query a specific element value. Provide the element id and the function returns the value.

### The CA\_Set...() Functions

The various **CA\_Set...()** functions allow you to set individual HSM and HSM Partition policies. There are two varieties for setting HSM policies, because changing the value of a destructive HSM policy results in the HSM being cleared of any Partitions and their contents. To make it clear when this is going to happen, the appropriate set function must be called based on whether the HSM policy is destructive or not (which you can determine with the **CA\_GetConfigurationElementDescription()** function).

## **Connection Timeout**

The connection timeout is not configurable.

## Linux and Unix Connection Timeout

On Unix platforms, the client performs a **connect** on the socket. If the socket is busy or unavailable, the client performs a **select** on the socket with the timeout set to 10 seconds (hardcoded). If the **select** call returns before the timeout, then the client is able to connect. If not then it fails. This prevents the situation where some Unix operating systems can block for several minutes when SafeNet Luna PCIe HSM is unavailable.

## Windows Connection Timeout

On Windows platforms, **connect** is called without **select**, relying upon the default Windows timeout of approximately 20 seconds.

# **CHAPTER 6:** Design Considerations

This chapter provides guidance for creating applications that use specific SafeNet Luna PCIe HSM configurations or features. It contains the following topics:

- > "PED-Authenticated HSMs" below
- > "High Availability (HA) Implementations" on page 423
- > "Key Attribute Defaults" on page 425
- > "Object Usage Count" on page 427
- > "Migrating Keys From Software to a SafeNet Luna PCIe HSM" on page 430
- > "Audit Logging" on page 453

## **PED-Authenticated HSMs**

In systems or applications using SafeNet Luna PCIe HSMs, Luna PED is required for FIPS 140-2 level 3 security. In normal use, Luna PED supplies PINs and certain other critical security parameters to the token/HSM, invisibly to the user. This prevents other persons from viewing PINs, etc. on a computer screen or watching them typed on a keyboard, which in turn prevents such persons from illicitly cloning token or HSM contents.

Two classes of users operate Luna PED: the ordinary HSM Partition Owner, and the HSM Administrator, (also called Security Officer or SO). The person handling new HSMs and using Luna PED is normally the HSM SO, who:

- > Initializes the HSM
- > Conducts HSM maintenance, such as firmware and capability upgrades
- > Initializes HSM Partitions and tokens
- > Creates users (sets PINs)
- > Changes policy settings
- > Changes passwords

Following these initial activities, the Luna PED may be required to present the HSM Partition Owner's PED key or keys (in case of MofN operations) to enable ordinary signing cryptographic operations carried out by your applications.

With the combination of Activation and AutoActivation, the black PED key is required only upon initial authentication and then not again unless the authentication is interrupted by power failure or by deliberate action on the part of the PED key holders.

## About CKDemo with Luna PED

As its name suggests, CKDemo (CryptoKi Demonstration) is a demonstration program, allowing you to explore the capabilities and functions of several SafeNet products. The demo program breaks out a number of PKCS 11 functions, as well as the SafeNet extensions to Cryptoki that allow the enhanced capabilities of our HSMs. However the flexibility, combined with the bare-bones nature of the program, can result in some confusion as to whether certain operations and combinations are permissible. Where these come up, in the explanation of CKDemo with SafeNet Luna PCIe HSM with PED [Trusted Path] Authentication, and Luna PED, they are mentioned and explained if necessary.

The demo program appears to make it optional to permit several of the security operations via the keyboard and program interface, or to require that they be done only via the Luna PED keypad. In fact, the option is dictated by the SafeNet Luna PCIe HSM, as it was configured and shipped from the factory, and cannot be changed by you. That is, you can use CKDemo to work/experiment with either type of SafeNet Luna PCIe HSM (i.e., SafeNet Luna PCIe HSM with Password Authentication or SafeNet Luna PCIe HSM with PED Authentication, requiring Luna PED), but you cannot make one type behave like the other.

Security and design requirements, enforced by the SafeNet Luna PCIe HSM with PED Authentication HSM, dictate that use of Luna PED be mandatory within the applications that you develop for it.

## Interchangeability

As mentioned above, several secrets and security parameters related to HSMs are imprinted on PED keys which provide "something you have" access control, as opposed to the "something you know" access control provided by password-authenticated HSMs. The HSM can create each type of secret, which is then also imprinted on a suitably labeled PED key. Alternatively, the secret can be accepted from a PED key (previously imprinted by another HSM) and imprinted on the current HSM. This is mandatory for the cloning domain, when HSMs (or HSM partitions) are to clone objects one to the other. It is optional for the other HSM secrets, as a matter of convenience or of your security policy, allowing more than one HSM to be accessed for administration by a single SO (blue PED key holder) or more than one HSM Partition to be administered by a single Partition Owner/User.

PED keys that have never been imprinted are completely interchangeable. They can be used with any modern SafeNet Luna PCIe HSM, and can be imprinted with any of the various secrets. The self-stick labels are provided as a visual identifier of which type of secret has been imprinted on a PED key, or is about to be imprinted. Imprinted PED keys are tied to their associated HSMs and cannot be used to access HSMs or partitions that have been imprinted with different secrets.

Any Luna PED2 can be used with any SafeNet Luna PCIe HSM - the PED itself contains no secrets; it simply provides the interface between you and your HSM(s). The exception is that only some Luna PEDs have the capability to be used remotely from the HSM. Any Remote-capable Luna PED2 is interchangeable with any other Remote-capable Luna PED2, and any Luna PED2 (remote-capable or not) is interchangeable with any other when locally connected to a SafeNet Luna PCIe HSM.

HSM Partitions and Backup Tokens and PED keys can be "re-cycled" for use in different combinations, but this reuse requires re-initializing the HSM(s) and re-imprinting the PED keys with new secrets or security parameters. Re-initializing a token or HSM wipes previous information from it. Re-imprinting a PED key overwrites any previous information it carried (PIN, domain, etc.).

## Startup

Luna PED expects to be connected to a SafeNet Luna PCIe HSM with Trusted Path Authentication. At powerup, it presents a message showing its firmware version. After a few seconds, the message changes to "Awaiting command..." The Luna PED is waiting for a command from the token/HSM.

The Luna PED screen remains in this status until the CKDemo program, or your own application, initiates a command through the token/HSM.

For the purposes of demonstration, you would now go ahead and create some objects and perform other transactions with the HSM.

**NOTE** To perform most actions you must be logged in. CKDemo may not remind you before you perform actions out-of-order, but it generates error messages after such attempts. If you receive an error message from the program, review your recent actions to determine if you have logged out or closed sessions and then not formally logged into a new session before attempting to create an object or perform other token/HSM actions. When you do wish to end activities, be sure to formally log out and close sessions. An orderly shutdown of your application should include logging out any users and closing all sessions on HSMs.

## **Cloning of Tokens**

To securely copy the contents of a SafeNet Luna PCIe HSM Partition to another SafeNet Luna PCIe HSM Partition (on the same SafeNet Luna PCIe HSM or on another), you must perform a backup to a SafeNet Luna Backup HSM from the source HSM Partition followed by a restore operation from the Backup HSM to the new destination HSM Partition. This is done via LunaSH command line, and cannot be accomplished via CKDemo.

## High Availability (HA) Implementations

If you use the SafeNet Luna PCIe HSM HA feature then the calls to the SafeNet Luna PCIe HSMs are loadbalanced. The session handle that the application receives when it opens a session is a virtual one and is managed by the HA code in the library. The actual sessions with the HSM are established by the HA code in the library and hidden from the application and will come and go as necessary to fulfill application level requests.

Before the introduction of HA AutoRecovery, bringing a failed/lost group member back into the group (recovery) was a manual procedure.

The Administration & Maintenance section contains a general description of the how the HA AutoRecovery function works, in practice.

For every PKCS#11 call, the HA recover logic will check to see if we need to perform auto recovery to a disconnected appliance. If there is a disconnected appliance then it will try to reconnect to that appliance before it proceeds with the current PKCS#11 call.

The HA recovery logic is designed in such a way that it will try to reconnect to an appliance only every X secs and N number of times where X is pre-set to one minute, and N is configurable via Lunacm.

For HA recovery attempts:

- > The default retry interval is 60 seconds.
- > The default number of retries is effectively infinite.

The HA configuration section in the Chrystoki.conf/crystoki.ini file is created and populated when either the interval or the number of retries is specified in the LunaCM commands "hagroup retry" on page 1 and "hagroup interval" on page 1.

The following is the pseudo code of the HA logic

```
if (disconnected_member > 0 and recover_attempt_count < N and time_now - last_recover_attempt >
X) then
    performance auto recovery
    set last_recover_attempt equal to time_now
    if (recovery failed) then
        increment recover_attempt_count by 1
    else
        decrement disconnected_member by 1
        reset recover_attempt_count to 0
    end if
end if
```

The HA auto recovery design runs within a PKCS#11 call. The responsiveness of recovering a disconnected member is greatly influenced by the frequency of PKCS#11 calls from the user application. Although the logic shows that it will attempt to recover a disconnected client in X secs, in reality, it will not run until the user application makes the next PKCS#11 call.

#### Detecting the Failure of an HA Member

When an HA Group member first fails, the HA status for the group shows "device error" for the failed member. All subsequent calls return "token not present", until the member (HSM Partition or PKI token) is returned to service.

Here is an example of two such calls using CKDemo:

```
Enter your choice : 52
Slots available:
   slot#1 - LunaNet Slot
   slot#2 - LunaNet Slot
   slot#3 - HA Virtual Card Slot
Select a slot: 3
HA group 1599447001 status:
   HSM 599447001 - CKR_DEVICE_ERROR
   HSM 78665001
                     - CKR OK
Status: Doing great, no errors (CKR OK)
TOKEN FUNCTIONS
(1) Open Session (2) Close Session (3) Login
(4) Logout (5) Change PIN (6) Init Token
( 7) Init Pin ( 8) Mechanism List ( 9) Mechanism Info
(10) Get Info (11) Slot Info (12) Token Info
(13) Session Info (14) Get Slot List (15) Wait for Slot Event
(16) InitToken(ind)(17) InitPin (ind) (18) Login (ind)
(19) CloneMofN
OBJECT MANAGEMENT FUNCTIONS
(20) Create object (21) Copy object (22) Destroy object
(23) Object size (24) Get attribute (25) Set attribute
(26) Find object (27) Display Object
SECURITY FUNCTIONS
(40) Encrypt file (41) Decrypt file
                                        (42)
                                             Sign
```

```
(45) Simple Generate Key
                  (44) Hash file
(43) Verify
(46) Digest Key
HIGH AVAILABILITY RECOVERY FUNCTIONS
(50) HA Init (51) HA Login
                                     (52) HA Status
KEY FUNCTIONS
(60) Wrap key
                 (61) Unwrap key
                                      (62) Generate random number
(63) Derive Key
                  (64) PBE Key Gen
                                      (65) Create known keys
(66) Seed RNG
                  (67) EC User Defined Curves
CA FUNCTIONS
(70) Set Domain
                  (71) Clone Key
                                      (72) Set MofN
(73) Generate MofN (74) Activate MofN (75) Generate Token Keys
(76) Get Token Cert(77) Sign Token Cert(78) Generate CertCo Cert
(79) Modify MofN (86) Dup. MofN Keys (87) Deactivate MofN
CCM FUNCTIONS
(80) Module List
                  (81) Module Info
                                      (82) Load Module
(83) Load Enc Mod (84) Unload Module (85) Module function Call
OTHERS
(90) Self Test
                  (94) Open Access
                                     (95) Close Access
(97) Set App ID
                  (98) Options
OFFBOARD KEY STORAGE:
(101) Extract Masked Object (102) Insert Masked Object
(103) Multisign With Value
                             (104) Clone Object
(105) SIMExtract
                              (106) SIMInsert
(107) SimMultiSign
SCRIPT EXECUTION:
(108) Execute Script
(109) Execute Asynchronous Script
(110) Execute Single Part Script
(0) Quit demo
Enter your choice : 52
Slots available:
  slot#1 - LunaNet Slot
   slot#2 - LunaNet Slot
   slot#3 - HA Virtual Card Slot
Select a slot: 3
HA group 1599447001 status:
  HSM 599447001
                 - CKR_TOKEN_NOT_PRESENT
   HSM 78665001
                     - CKR_OK
Status: Doing great, no errors (CKR OK)
--- end ---
```

## Key Attribute Defaults

The following default attribute settings are applied to generated keys/keypairs, and to unwrapped private/secret keys, unless your application specifies different values.

## Management Attributes

Attribute	Default Value				
	Generated Public Keys	Generated Private Keys	Unwrapped Private/Secret Keys	Derived Secret Keys	
CKA_TOKEN	0 (FALSE)	0 (FALSE)	0 (FALSE)	0 (FALSE)	
CKA_PRIVATE	<b>1</b> (TRUE) if Crypto Officer logged in <b>0</b> (FALSE) if Crypto Officer not logged in	<b>1</b> (TRUE) if Crypto Officer logged in <b>0</b> (FALSE) if Crypto Officer not logged in	<b>1</b> (TRUE) if Crypto Officer logged in <b>0</b> (FALSE) if Crypto Officer not logged in	<b>1</b> (TRUE) if Crypto Officer logged in <b>0</b> (FALSE) if Crypto Officer not logged in	
CKA_SENSITIVE	N/A	1 (TRUE)	1 (TRUE)	0 (FALSE)	
CKA_MODIFIABLE	1 (TRUE)	1 (TRUE)	1 (TRUE)	1 (TRUE)	
CKA_ EXTRACTABLE	N/A	0 (FALSE)	0 (FALSE)	0 (FALSE)	
CKA_ALWAYS_ SENSITIVE	N/A	Always the same value as CKA_ SENSITIVE	Always <b>0</b> (FALSE)	Inherited from base key(s) depending on CKA_SENSITIVE history*	
CKA_NEVER_ EXTRACTABLE	N/A	Always the opposite value of CKA_ EXTRACTABLE	Always <b>0</b> (FALSE)	Inherited from base key(s) depending on CKA_ EXTRACTABLE history**	

\* CKA\_ALWAYS\_SENSITIVE=1 assures that the key and the key(s) from which it was derived have always been sensitive (CKA\_SENSITIVE=1). If a newly-derived key has CKA\_ALWAYS\_SENSITIVE=0, it means the key(s) from which it derives has had CKA\_SENSITIVE=0 at some point.

\*\* CKA\_NEVER\_EXTRACTABLE=1 assures that the key and the key(s) from which it was derived have never been extractable (CKA\_EXTRACTABLE has always been set to 0). If a newly-derived key has CKA\_NEVER\_EXTRACTABLE=0, it means the key(s) from which it derives has had CKA\_EXTRACTABLE=1 at some point.

Attribute	Default Value				
	Generated Public Keys	Generated Private Keys	Unwrapped Private/Secret Keys	Derived Secret Keys	
CKA_ENCRYPT	0 (FALSE)	N/A	0 (FALSE)	0 (FALSE)	
CKA_DECRYPT	N/A	0 (FALSE)	0 (FALSE)	0 (FALSE)	
CKA_WRAP	0 (FALSE)	N/A	0 (FALSE)	0 (FALSE)	
CKA_UNWRAP	N/A	0 (FALSE)	0 (FALSE)	0 (FALSE)	
CKA_SIGN	N/A	0 (FALSE)	0 (FALSE)	0 (FALSE)	
CKA_VERIFY	0 (FALSE)	N/A	0 (FALSE)	0 (FALSE)	
CKA_DERIVE	0 (FALSE)	N/A	0 (FALSE)	0 (FALSE)	

## Key Usage Attributes

## Vendor-defined key attributes

KEY ATTRIBUTE	DESCRIPTION
CKA_CCM_PRIVATE	Not used by the Luna HSM; it does not affect any of the HSM functionality. This is an old attribute that was used in the firmware 3.x HSMs, the Luna CA and Luna CA3 products.
CKA_OUID	This is a 12-byte unique identifier for the object, unique across all Luna HSMs. It can be used to identify the object across multiple HSM.
CKA_EKM_UID	This is not used by the Luna HSM, it does not affect any of the HSM functionality. It is intended to be used by our EKM Key Manager SHIM to store a KEY ID, so that the key manager can track keys efficiently. Customer applications should not use this (they should use the CKA_GENERIC_1/2/3 attributes defined below).
CKA_GENERIC_1/2/3	These are not used by the Luna HSM, and do not affect any of the HSM functionality. They are variable length attributes that store an array of CK_BYTE and are provided for customer applications to make use of, to store whatever data they want.

## **Object Usage Count**

You may wish to create keys that have a limited number of uses. You can set attributes on a key object to track and limit the number of cryptographic operations that object may perform. The relevant attributes are:

- > CKA\_USAGE\_COUNT: the number of operations that have been performed using the key
- > CKA\_USAGE\_LIMIT: the maximum number of operations allowed for the key.

When the limit set by CKA\_USAGE\_LIMIT is reached, attempts to use the key for operations like encrypt/decrypt, sign/verify, etc. will return an error (CKR\_KEY\_NOT\_ACTIVE).

### Setting CKA\_USAGE\_LIMIT on a key using CKDEMO

You can use CKDEMO to set this limit for a specific key on the HSM.

#### To set CKA\_USAGE\_LIMIT on a key:

- Navigate to the Luna HSM Client directory and run CKDEMO.
- 2. Select Option 1 (Open Session).

Enter your choice: 27

- Select Option 3 (Login), select the partition where the key is located, and present the Crypto Officer login credential.
- If you do not know the key's object handle, select Option 27 (Display Object) and enter 0 to view a list of available objects.
- 5. Select Option 25 (Set Attribute) and enter the key's object handle when prompted.
- 6. Select Sub-option 1 (Add Attribute), and 53 (CKA\_USAGE\_LIMIT) from the list of attributes.
- 7. Enter the desired maximum number of uses in hexadecimal (Allowable range: 1 FFFFFFF).
- Select Option 27 and enter the key's object handle to view the key attributes. When you set CKA\_USAGE\_ LIMIT in step 7, CKA\_USAGE\_COUNT is also set, with a value of 0:

```
Enter handle of object to display (0 to list available objects) : 247
Object handle=247
CKA CLASS=0003 (3)
CKA TOKEN=01
CKA PRIVATE=01
CKA LABEL=Generated RSA Private Key
CKA KEY TYPE=0000 (0)
CKA SUBJECT=
CKA ID=
CKA SENSITIVE=01
CKA DECRYPT=01
CKA UNWRAP=01
CKA SIGN=01
CKA SIGN RECOVER=00
CKA DERIVE=00
CKA START DATE=
CKA END DATE=
CKA MODULUS=bc613525ae8c5b30ca086c0e688f2f0ed6928805bf007d4fc...
CKA MODULUS BITS=0400 (1024)
CKA_PUBLIC EXPONENT=010001
CKA LOCAL=01
CKA MODIFIABLE=01
CKA EXTRACTABLE=01
CKA ALWAYS SENSITIVE=01
CKA NEVER EXTRACTABLE=00
CKA CCM PRIVATE=00
```

CKA\_FINGERPRINT\_SHA1=6beddef34f9f5c8023e3422daecd6bd91c2dc40d CKA\_OUID=b0080000030000d1b030100 CKA\_X9\_31\_GENERATED=00 CKA\_EKM\_UID= CKA\_USAGE\_LIMIT=000e (15) CKA\_USAGE\_COUNT=0000 (0) CKA\_GENERIC\_1= CKA\_GENERIC\_2= CKA\_GENERIC\_3= CKA\_FINGERPRINT\_SHA256=a8293ea9ddb578bcca644279c9753de4df772958563d259bed28c5d2a2e04e7d

Status: Doing great, no errors (CKR\_OK)

Using this key to perform cryptographic operations will now increment the value of CKA\_USAGE\_COUNT.

### Creating multiple keys with CKA\_USAGE\_LIMIT using CKDEMO

If you are creating multiple, usage-limited keys in CKDEMO, you can simplify this procedure by changing a CKDEMO setting. You will then have the option to set a usage limit for all new keys created in that session.

#### To create multiple keys with CKA\_USAGE\_LIMIT set:

- 1. Navigate to the Luna HSM Client directory and run CKDEMO.
- 2. Select Option 98 (Options).
- 3. Select Option 10 (Object Usage Counters).

Note that the option value has changed from "disabled" to "selectable".

- 4. Enter 0 to exit the (Options) menu.
- Open a session and begin creating your new keys. In addition to setting the attributes governing key capabilities, you will be prompted to enter a value for CKA\_USAGE\_LIMIT (in hexadecimal):

```
Select type of key to generate
           [2] DES2
                       [ 3] DES3
[ 1] DES
                                              [5] CAST3
[ 6] Generic [ 7] RSA
                        [ 8] DSA
                                  [9] DH
                                              [10] CAST5
[11] RC2
           [12] RC4
                        [13] RC5
                                  [14] SSL3 [15] ECDSA
           [17] SEED [18] KCDSA-1024 [19] KCDSA-2048
[16] AES
[20] DSA Domain Param
                       [21] KCDSA Domain Param
[22] RSA X9.31
                        [23] DH X9.42
                                              [24] ARIA
[25] DH PKCS Domain Param [26] RSA 186-3 Aux Primes
[27] RSA 186-3 Primes [28] DH X9.42 Domain Param
[29] ECDSA with Extra Bits [30] EC Edwards
[31] EC Montgomery
> 7
Enter Key Length in bits: 1024
Enter Is Token Attribute [0-1]: 1
Enter Is Sensitive Attribute [0-1]: 1
Enter Is Private Attribute [0-1]: 1
Enter Is Modifiable Attribute [0-1]: 1
Enter Extractable Attribute [0-1]: 1
```

Enter Encrypt/Decrypt Attribute [0-1]: 1 Enter Sign/Verify Attribute [0-1]: 1 Enter Wrap/Unwrap Attribute [0-1]: 1 Enter Derive Attribute [0-1]: 1 Would you like to specify a usage count limit? [0-no, 1-yes]: 1 Please enter the limit in HEX: 0E Generated RSA Public Key: 160 (0x000000a0) Generated RSA Private Key: 247 (0x00000f7) Status: Doing great, no errors (CKR OK)

## Migrating Keys From Software to a SafeNet Luna PCIe HSM

SafeNet Luna PCIe HSMs expect key material to be in PKCS#8 format. PKCS#8 format follows BER (Basic encoding rules)/DER (distinguished encoding rules) encoding. An example of this format can be found in the document "Some examples of PKCS standards" produced by RSA, and available on their web site (http://www.rsasecurity.com/rsalabs/pkcs/index.html at the bottom of the page, under "Related Documents").

Here is an example of a formatted key:

```
0x30,
0x82, 0x04, 0xbc, 0x02, 0x01, 0x00, 0x30, 0x0d, 0x06, 0x09, 0x2a, 0x86,
0x48, 0x86, 0xf7, 0x0d, 0x01, 0x01, 0x01, 0x05, 0x00, 0x04, 0x82, 0x04,
0xa6, 0x30, 0x82, 0x04, 0xa2, 0x02, 0x01, 0x00, 0x02, 0x82, 0x01, 0x01,
0x00, 0xb8, 0xb5, 0x0f, 0x49, 0x46, 0xb5, 0x5d, 0x58, 0x04, 0x8e, 0x52,
0x59, 0x39, 0xdf, 0xd6, 0x29, 0x45, 0x6b, 0x6c, 0x96, 0xbb, 0xab, 0xa5,
0x6f, 0x72, 0x1b, 0x16, 0x96, 0x74, 0xd5, 0xf9, 0xb4, 0x41, 0xa3, 0x7c,
0xe1, 0x94, 0x73, 0x4b, 0xa7, 0x23, 0xff, 0x61, 0xeb, 0xce, 0x5a, 0xe7,
0x7f, 0xe3, 0x74, 0xe8, 0x52, 0x5b, 0xd6, 0x5d, 0x5c, 0xdc, 0x98, 0x49,
0xfe, 0x51, 0xc2, 0x7e, 0x8f, 0x3b, 0x37, 0x5c, 0xb3, 0x11, 0xed, 0x85,
0x91, 0x15, 0x92, 0x24, 0xd8, 0xf1, 0x7b, 0x3d, 0x2f, 0x8b, 0xcd, 0x1b,
0x30, 0x14, 0xa3, 0x6b, 0x1b, 0x4d, 0x27, 0xff, 0x6a, 0x58, 0x84, 0x9e,
0x79, 0x94, 0xca, 0x78, 0x64, 0x01, 0x33, 0xc3, 0x58, 0xfc, 0xd3, 0x83,
0xeb, 0x2f, 0xab, 0x6f, 0x85, 0x5a, 0x38, 0x41, 0x3d, 0x73, 0x20, 0x1b,
0x82, 0xbc, 0x7e, 0x76, 0xde, 0x5c, 0xfe, 0x42, 0xd6, 0x7b, 0x86, 0x4f,
0x79, 0x78, 0x29, 0x82, 0x87, 0xa6, 0x24, 0x43, 0x39, 0x74, 0xfe, 0xf2,
0x0c, 0x08, 0xbe, 0xfa, 0x1e, 0x0a, 0x48, 0x6f, 0x14, 0x86, 0xc5, 0xcd,
0x9a, 0x98, 0x09, 0x2d, 0xf3, 0xf3, 0x5a, 0x7a, 0xa4, 0xe6, 0x8a, 0x2e,
0x49, 0x8a, 0xde,
0x73, 0xe9, 0x37, 0xa0, 0x5b, 0xef, 0xd0, 0xe0, 0x13, 0xac, 0x88, 0x5f,
0x59, 0x47, 0x96, 0x7f, 0x78, 0x18, 0x0e, 0x44, 0x6a, 0x5d, 0xec,
0x6e, 0xed, 0x4f, 0xf6, 0x6a, 0x7a, 0x58, 0x6b, 0xfe, 0x6c, 0x5a, 0xb9,
0xd2, 0x22, 0x3a, 0x1f, 0xdf, 0xc3, 0x09, 0x3f, 0x6b, 0x2e, 0xf1, 0x6d,
0xc3, 0xfb, 0x4e, 0xd4, 0xf2, 0xa3, 0x94, 0x13, 0xb0, 0xbf, 0x1e, 0x06,
0x2e, 0x29, 0x55, 0x00, 0xaa, 0x98, 0xd9, 0xe8, 0x77, 0x84, 0x8b, 0x3f,
0x5f, 0x5e, 0xf7, 0xf8, 0xa7, 0xe6, 0x02, 0xd2, 0x18, 0xb0, 0x52, 0xd0,
0x37, 0x2e, 0x53, 0x02, 0x03, 0x01, 0x00, 0x01, 0x02, 0x82, 0x01, 0x00,
0x0c, 0xdf, 0xd1, 0xe8, 0xf1, 0x9c, 0xc2, 0x9c, 0xd7, 0xf4, 0x73, 0x98,
0xf4, 0x87, 0xbd, 0x8d, 0xb2, 0xe1, 0x01, 0xf8, 0x9f, 0xac, 0x1f, 0x23,
0xdd, 0x78, 0x35, 0xe2, 0xd6, 0xd1, 0xf3, 0x4d, 0xb5, 0x25, 0x88, 0x16,
0xd1, 0x1a, 0x18, 0x33, 0xd6, 0x36, 0x7e, 0xc4, 0xc8, 0xe5, 0x5d, 0x2d,
0x74, 0xd5, 0x39, 0x3c, 0x44, 0x5a, 0x74, 0xb7, 0x7c, 0x48, 0xc1, 0x1f,
0x90, 0xe3, 0x55, 0x9e, 0xf6, 0x29, 0xad, 0xb4, 0x6d, 0x93, 0x78, 0xb3,
```

0xdc,	0x25,	0x0b,	0x9c,	0x73,	0x78,	0x7b,	0x93,	0x4c,	0xd3,	0x47,	0x09,
0xda,	0xe6,	0x69,	0x18,	0xc6,	0x0f,	0xfb,	0xa5,	0x95,	0xf5,	0xe8,	0x75,
0xel,	0x01,	0x1b,	0xd3,	0x1c,	0xa2,	0x57,	0x03,	0x64,	0xdb,	0xf9,	0x5d,
0xf3,	0x3c,	0xa7,	0xd1,	0x4b,	0xb0,	0x90,	0x1b,	0x90,	0x62,	0xb4,	0x88,
0x30,	0x4b,	0x40,	0x4d,	0xcf,	0x7d,	0x89,	0x7a,	0xfb,	0x29,	0xc9,	0x64,
0x34,	0x0a,	0x52,	0xf6,	0x70,	0x7c,	0x76,	0x5a,	0x2e,	0x8f,	0x50,	0xd4,
0x92,	0x15,	0x97,	0xed,	0x4c,	0x2e,	0xf2,	0x3a,	0xd0,	0x58,	0x7e,	0xdb,
0xf1,	0xf4,	0xdd,	0x07,	0x76,	0x04,	0xf0,	0x55,	0x8b,	0x72,	0x2b,	0xa7,
0xa8,	0x78,	0x78,	0x67,	0xe6,	0xd8,	0xa5,	0xde,	0xe7,	0xc9,	0x1f,	0x5a,
0xa0,	0x89,	0xc7,	0x24,	0xa2,	0x71,	0xb6,	0x7b,	0x3b,	0xe6,	0x92,	0x69,
0x22,	0xaa,	0xe2,	0x47,	0x4b,	0x80,	0x3f,	0x6a,	0xab,	0xce,	0x4e,	0xcd,
							0xfd,				
							0xc0,				
							0x09,				
			•	•	•	•	0xb9,		•		
			•	•	•	•	0x00,		•		
							0xaf,				
							0x52,				
							0xb5,				
							0xf8,				
							0x41,				
							0x93,				
	,	,					0xd1,	,		,	
	,	,					0x5f,	,		,	
											0x02,
							0x8e,				
		•					0x00,	•			
							0x9e,				
							0x74,				
			•	•	•	•	0x76,		•		
			•	•	•	•	0xb5,		•		
							0x54,				
							0x14,				
0x6b,	0x4c,	0xae,	0xb6,	0xff,	0xd4,	0xbb,	0x89,	0xal,	0xec,	0xca,	0xa6,
0xe9,	0x95,	0x56,	0xac,	0xe2,	0x9b,	0x97,	0x2f,	0x2c,	0xdf,	0xa3,	0x6e,
0x59,	0xff,	0xcd,	0x3c,	0x6f,	0x57,	0xcc,	0x6e,	0x44,	0xc4,	0x27,	0xbf,
0xc3,	0xdd,	0x19,	0x9e,	0x81,	0x16,	0xe2,	0x8f,	0x65,	0x34,	0xa7,	0x0f,
0x22,	0xba,	0xbf,	0x79,	0x57,	0x02,	0x81,	0x80,	0x2e,	0x21,	0x0e,	0xc9,
0xb5,	0xad,	0x31,	0xd4,	0x76,	0x0f,	0x9b,	0x0f,	0x2e,	0x70,	0x33,	0x54,
0x03,	0x58,	0xa7,	0xf1,	0x6d,	0x35,	0x57,	0xbb,	0x53,	0x66,	0xb4,	0xb6,
0x96,	0xal,	0xea,	0xd9,	0xcd,	0xe9,	0x23,	0x9f,	0x35,	0x17,	0xef,	0x5c,
0xb8,	0x59,	0xce,	0xb7,	0x3c,	0x35,	0xaa,	0x42,	0x82,	0x3f,	0x00,	0x96,
0xd5,	0x9d,	0xc7,	0xab,	0xec,	0xec,	0x04,	0xb5,	0x15,	0xc8,	0x40,	0xa4,
0x85,	0x9d,	0x20,	0x56,	0xaf,	0x03,	0x8f,	0x17,	0xb0,	0xf1,	0x96,	0x22,
0x3a,	0xa5,	0xfa,	0x58,	0x3b,	0x01,	0xf9,	0xae,	0xb3,	0x83,	0x6f,	0x44,
0xd3,	0x14,	0x2d,	0xb6,	0x6e,	0xd2,	0x9d,	0x39,	0x0c,	0x12,	0x1d,	0x23,
0xea,	0x19,	0xcb,	0xbb,	0xe0,	0xcd,	0x89,	0x15,	0x9a,	0xf5,	0xe4,	0xec,
0x41,	0x06,	0x30,	0x16,	0x58,	0xea,	0xfa,	0x31,	0xc1,	0xb8,	0x8e,	0x08,
							0x70,				
							0x3a,				
							0xe5,				
							0x0d,				
							0x6e,				
							0x95,				
							0x6d,				
							0x95,				
							0x09,				
							0xcf,		0200,	02,0,	52151
U1114	U111	015000	01500,	011001	025/07	UNUT,	072011				

0x20, 0x88, 0xb0, 0xfb, 0x39, 0x62, 0x3a, 0x9b, 0x03, 0xa6, 0x84, 0x2c, 0x03, 0x5c, 0x0c, 0xca, 0x33, 0x85, 0xf5, 0x02, 0x81, 0x80, 0x56, 0x99, 0xe9, 0x17, 0xdc, 0x33, 0xe1, 0x33, 0x8d, 0x5c, 0xba, 0x17, 0x32, 0xb7, 0x8c, 0xbd, 0x4b, 0x7f, 0x42, 0x3a, 0x79, 0x90, 0xe3, 0x70, 0xe3, 0x27, 0xce, 0x22, 0x59, 0x02, 0xc0, 0xb1, 0x0e, 0x57, 0xf5, 0xdf, 0x07, 0xbf, 0xf8, 0x4e, 0x10, 0xef, 0x2a, 0x62, 0x30, 0x03, 0xd4, 0x80, 0xcf, 0x20, 0x84, 0x25, 0x66, 0x3f, 0xc7, 0x4f, 0x56, 0x8c, 0x1e, 0xe1, 0x18, 0x91, 0xc1, 0xfd, 0x71, 0x5f, 0x65, 0x9b, 0xe4, 0x4f, 0xe0, 0x1a, 0x3a, 0xf8, 0xc1, 0x69, 0xdb, 0xd3, 0xbb, 0x8d, 0x91, 0xd1, 0x11, 0x4f, 0x7e, 0x91, 0x1b, 0xb4, 0x27, 0xa5, 0xab, 0x7c, 0x7b, 0x76, 0xd4, 0x78, 0xfe, 0x63, 0x44, 0x63, 0x7e, 0xe3, 0xa6, 0x60, 0x4f, 0xb9, 0x55, 0x28, 0xba, 0xba, 0x83, 0x1a, 0x2d, 0x43, 0xd5, 0xf7, 0x2e, 0xe0, 0xfc, 0xa8, 0x14, 0x9b, 0x91, 0x2a, 0x36, 0xbf, 0xc7, 0x14 The example above contains the exponent, the modulus, and private key material.

#### Other Formats of Key Material

The format of key material depends on the application, and is therefore unpredictable. Key material commonly exists in any of the following formats; ASN1, PEM, P12, PFX, etc. Key material in those formats, or in another format, can likely be re-formatted to be acceptable for moving onto the SafeNet Luna PCIe HSM.

#### Sample Program

The sample program below encrypts a known RSA private key, then unwraps the key pair onto the SafeNet Luna PCIe HSM Partition.

```
* File: UnwrapKey.cpp*
* Encrypts a PrivateKeyInfo structure with a generated DES
key and then
* unwraps the RSA key onto a token.
* This file is provided as an example only.
 Copyright (C) 2017, Gemalto, Inc.
*
* All rights reserved. This file contains information that
is
* proprietary to SafeNet, Inc. and may not be
* distributed or copied without written consent from
 SafeNet, Inc.
#ifdef UNIX
#define _POSIX_SOURCE 1
#endif
#ifdef USING STATIC CHRYSTOKI
# define STATIC ckdemo cpp
#endif
#include <assert.h>
#include <iostream.h>
#include <stdio.h>
#include <stdlib.h>
#include <string.h>
#include <fcntl.h>
#include <sys/stat.h>
#include <time.h>
```

```
#ifdef WINDOWS
#include <conio.h>
#include <io.h>
#include <windows.h>
#endif
#ifdef UNIX
#include <unistd.h>
#endif
#include "source/cryptoki.h"
#include "source/Ckbridge.h"
#define DIM(a)
                                  (sizeof(a)/sizeof(a[0]))
CK BBOOL no = FALSE;
CK_BBOOL yes = TRUE;
const int MAX =100;
// Function Prototypes
CK_RV Pinlogin (CK_SESSION_HANDLE
hSession);
int getPinString(CK_CHAR_PTR pw);
// Main
int main( void )
{
   int
               error
 = 0;
   CK RV
             retCode
 = CKR OK;
   CK SESSION HANDLE
 hSessionHandle;
   CK CHAR PTR
      userPIN
 = (CK CHAR PTR)"default";
   CK USHORT
         lenuserPIN
  = 7;
   CK CHAR PTR
       soPIN
 = (CK CHAR PTR)"default";
   CK USHORT
         lensoPIN
 = 7;
   CK USHORT
         usNumberOfSlots;
   CK SLOT ID PTR
    pSlotList;
   CK_OBJECT_HANDLE
 hKey;
   CK_MECHANISM
 mech;
   CK VERSION
 version;
   struct
   {
      CK INFO
 info;
      char
 reserved[100]; // This is in case the library that we are
                           11
 talking to requires a larger info structure
                           //
 then the one defined.
```

```
}
 protectedInfo;
//Disclaimer
   cout
 << "\n\n\n";
cout << "THE SOFTWARE IS PROVIDED BY SAFENET INCORPORATED
 (SAFENET) ON AN 'AS IS' BASIS, \n";
cout << "WITHOUT ANY OTHER WARRANTIES OR CONDITIONS,
EXPRESS OR IMPLIED, INCLUDING, BUT NOT LIMITED \n";
cout << "TO, WARRANTIES OF MERCHANTABLE QUALITY,
 SATISFACTORY QUALITY, MERCHANTABILITY OR FITNESS FOR\n";
cout << "A PARTICULAR PURPOSE, OR THOSE ARISING
BY LAW, STATUTE, USAGE OF TRADE, COURSE OF DEALING OR\n";
cout << "OTHERWISE. SAFENET
 DOES NOT WARRANT THAT THE SOFTWARE WILL MEET YOUR REOUIREMENTS OR \n";
cout << "THAT OPERATION OF THE SOFTWARE WILL BE
UNINTERRUPTED OR THAT THE SOFTWARE WILL BE ERROR-FREE. \n";
cout << "YOU ASSUME THE ENTIRE RISK AS TO THE
RESULTS AND PERFORMANCE OF THE SOFTWARE. NEITHER
 \n":
cout << "SAFENET NOR OUR LICENSORS, DEALERS OR
 SUPPLIERS SHALL HAVE ANY LIABILITY TO YOU OR ANY\n";
cout << "OTHER PERSON OR ENTITY FOR ANY INDIRECT,
 INCIDENTAL, SPECIAL, CONSEQUENTIAL, PUNITIVE, \n";
cout << "EXEMPLARY OR AY OTHER DAMAGES WHATSOEVER,
 INCLUDING, BUT NOT LIMITED TO, LOSS OF REVENUE OR \n";
cout << "PROFIT, LOST OR DAMAGED DATA, LOSS OF
USE OR OTHER COMMERCIAL OR ECONOMIC LOSS, EVEN IF n'';
cout << "SAFENET HAS BEEN ADVISED OF THE POSSIBILITY
OF SUCH DAMAGES, OR THEY ARE FORESEEABLE. \n";
cout << "SAFENET IS ALSO NOT RESPONSIBLE FOR CLAIMS
BY A THIRD PARTY. THE
MAXIMUM AGGREGATE \n";
cout << "LIABILITY OF SAFENET TO YOU AND THAT
 OF SAFENET'S LICENSORS, DEALERS AND SUPPLIERS \n";
cout << "SHALL NOT EXCEED FORTY DOLLARS ($40.00CDN).
 THE LIMITATIONS
 IN THIS SECTION SHALL APPLY \n";
cout << "WHETHER OR NOT THE ALLEGED BREACH OR
 DEFAULT IS A BREACH OF A FUNDAMENTAL CONDITION OR TERM \n";
cout << "OR A FUNDAMENTAL BREACH. SOME
 STATES/COUNTRIES DO NOT ALLOW THE EXCLUSION OR LIMITATION OF\n";
cout << "LIABILITY FOR CONSEQUENTIAL OR INCIDENTAL
 DAMAGES, SO THE ABOVE LIMITATION MAY NOT APPLY TO \n";
cout << "YOU.\n";</pre>
cout << "THE LIMITED WARRANTY, EXCLUSIVE REMEDIES
AND LIMITED LIABILITY SET OUT HEREIN ARE FUNDAMENTAL \n";
cout << "ELEMENTS OF THE BASIS OF THE BARGAIN
 BETWEEN YOU AND SAFENET.
                           \n";
cout << "NO SUPPORT. YOU
 ACKNOWLEDGE AND AGREE THAT THERE ARE NO SUPPORT SERVICES PROVIDED BY SAFENET\n";
cout << "INCORPORATED FOR THIS SOFTWARE\n"
 << endl;
   //
 Display Generic Warning
   cout
 << "\nInsert a token for the test...";
   cout
 << "\n\nWARNING!!! This test initializes the first ";
```

```
cout
 << " token detected in the card reader.";
  cout
 << "\nDo not use a token that you don't want erased.";
  cout
 << "\nYou can use CTRL-C to abort now...Otherwise...";
  cout
 << "\n\n... press <Enter> key to continue ...\n";
  cout.flush();
  getchar();
 // Wait for keyboard hit
#ifndef STATIC
   11
Connect to Chrystoki
if(!CrystokiConnect())
{
cout << "\n" "Unable to connect to Chrystoki.
 Error =
 " << LibError() << "\n";
error = -1;
     goto
 exit routine 1;
}
#endif
  11
 Verify this is the version of the library required
  retCode
 = C GetInfo(&protectedInfo.info);
  if(
 retCode != CKR OK )
  {
      cout
 << endl << "Unable to call C GetInfo() before C Initialize()\n";
error = -2;
     goto
 exit routine 2;
  }
  else
   {
      CK BYTE
 majorVersion = protectedInfo.info.version.major;
     CK BYTE
 expectedVersion;
#ifndef PKCS11 2 0
      expectedVersion
 = 1;
#else
      expectedVersion
 = 2;
#endif
     if(
 expectedVersion != majorVersion )
      {
         cout
 << endl << "This version of the program was built for
 Cryptoki version "
              <<
 (int)expectedVersion << ".\n"
              <<
 "The loaded Cryptoki library reports its version to be "
```

```
<<
 (int)majorVersion << ".\n"
              <<
 "Program will terminate.\n";
        11
 Wait to exit until user read message and acknowledges
        cout
 << endl << "Press <Enter> key to end.";
        getchar();
 // Wait for keyboard hit
  error
 = -3;
         goto
 exit_routine_2;
      }
   }
  11
 Initialize the Library
retCode = C Initialize(NULL);
if(retCode = CKR OK)
{
cout << "\n" "Error 0x" <<</pre>
hex << retCode << " initializing cryptoki.\n";</pre>
error = -4;
      goto
 exit routine 3;
}
// Get the number of tokens possibly available
retCode = C GetSlotList(TRUE, NULL, &usNumberOfSlots);
if(retCode != CKR OK)
{
cout << "\n" "Error 0x" <<
hex << retCode << " getting slot list.\n";</pre>
error = -5;
      goto
 exit_routine_3;
}
// Are any tokens present?
if(usNumberOfSlots == 0)
{
cout << "\n" "No tokens found\n";</pre>
error = -6;
     goto
 exit_routine_3;
  }
   11
 Get a list of slots
pSlotList = new CK SLOT ID[usNumberOfSlots];
retCode = C GetSlotList(TRUE, pSlotList, &usNumberOfSlots);
if(retCode != CKR OK)
{
cout << "\n" "Error 0x" <<
hex << retCode << " getting slot list.\n";
error = -7;
     goto
 exit_routine_4;
}
   11
Open a session
retCode = C OpenSession(pSlotList[0], CKF RW SESSION | CKF SERIAL SESSION,
```

```
NULL, &hSessionHandle);
if(retCode != CKR OK)
cout << "\n" "Error 0x" <<
hex << retCode << " opening session.\n";</pre>
error = -9;
      goto
exit routine 4;
}
Pinlogin(hSessionHandle);
if(retCode != CKR OK)
cout << "\n" "Error 0x" <<
hex << retCode << " Calling PinLogin fn";</pre>
exit(hSessionHandle);
}
  //
 Encrypt an RSA Key and then unwrap it onto the token
   {
      11
 The following is an RSA Key that is formatted as a PrivateKeyInfo structure
   //BER
 encoded format
      const
 CK_BYTE pRsaKey[] = {
 0x30,
 0x82, 0x04, 0xbc, 0x02, 0x01, 0x00, 0x30, 0x0d, 0x06, 0x09, 0x2a, 0x86,
 0x48, 0x86, 0xf7, 0x0d, 0x01, 0x01,
0x01, 0x05, 0x00, 0x04,
 0x82,
 0x04, 0xa6, 0x30, 0x82, 0x04, 0xa2, 0x02, 0x01, 0x00, 0x02, 0x82, 0x01,
 0x01, 0x00, 0xb8, 0xb5, 0x0f, 0x49,
0x46, 0xb5, 0x5d, 0x58,
 0x04,
 0x8e, 0x52, 0x59, 0x39, 0xdf, 0xd6, 0x29, 0x45, 0x6b, 0x6c, 0x96, 0xbb,
 0xab, 0xa5, 0x6f, 0x72, 0x1b, 0x16,
0x96, 0x74, 0xd5, 0xf9,
 0xb4,
 0x41, 0xa3, 0x7c, 0xe1, 0x94, 0x73, 0x4b, 0xa7, 0x23, 0xff, 0x61, 0xeb,
 0xce, 0x5a, 0xe7, 0x7f, 0xe3, 0x74,
0xe8, 0x52, 0x5b, 0xd6,
 0x5d,
 0x5c, 0xdc, 0x98, 0x49, 0xfe, 0x51, 0xc2, 0x7e, 0x8f, 0x3b, 0x37, 0x5c,
 0xb3, 0x11, 0xed, 0x85, 0x91, 0x15,
0x92, 0x24, 0xd8, 0xf1,
 0x7b,
 0x3d, 0x2f, 0x8b, 0xcd, 0x1b, 0x30, 0x14, 0xa3, 0x6b, 0x1b, 0x4d, 0x27,
 0xff, 0x6a, 0x58, 0x84, 0x9e, 0x79,
0x94, 0xca, 0x78, 0x64,
 0x01,
 0x33, 0xc3, 0x58, 0xfc, 0xd3, 0x83, 0xeb, 0x2f, 0xab, 0x6f, 0x85, 0x5a,
 0x38, 0x41, 0x3d, 0x73, 0x20, 0x1b,
0x82, 0xbc, 0x7e, 0x76,
 0xde,
 0x5c, 0xfe, 0x42, 0xd6, 0x7b, 0x86, 0x4f, 0x79, 0x78, 0x29, 0x82, 0x87,
 0xa6, 0x24, 0x43, 0x39, 0x74, 0xfe,
0xf2, 0x0c, 0x08, 0xbe,
```

NULL,

0xfa, 0x1e, 0x0a, 0x48, 0x6f, 0x14, 0x86, 0xc5, 0xcd, 0x9a, 0x98, 0x09, 0x2d, 0xf3, 0xf3, 0x5a, 0x7a, 0xa4, 0xe6, 0x8a, 0x2e, 0x49, 0x8a, 0xde, 0x73, 0xe9, 0x37, 0xa0, 0x5b, 0xef, 0xd0, 0xe0, 0x13, 0xac, 0x88, 0x5f, 0x59, 0x47, 0x96, 0x7f, 0x78, 0x18, 0x0e, 0x44, 0x6a, 0x5d, 0xec, 0x6e, 0xed, 0x4f, 0xf6, 0x6a, 0x7a, 0x58, 0x6b, 0xfe, 0x6c, 0x5a, 0xb9, 0xd2, 0x22, 0x3a, 0x1f, 0xdf, 0xc3, 0x09, 0x3f, 0x6b, 0x2e, 0xf1, 0x6d, 0xc3, 0xfb, 0x4e, 0xd4, 0xf2, Oxa3. 0x94, 0x13, 0xb0, 0xbf, 0x1e, 0x06, 0x2e, 0x29, 0x55, 0x00, 0xaa, 0x98, 0xd9, 0xe8, 0x77, 0x84, 0x8b, 0x3f, 0x5f, 0x5e, 0xf7, 0xf8, 0xa7, 0xe6, 0x02, 0xd2, 0x18, 0xb0, 0x52, 0xd0, 0x37, 0x2e, 0x53, 0x02, 0x03, 0x01, 0x00, 0x01, 0x02, 0x82, 0x01, 0x00, 0x0c, 0xdf, 0xd1, 0xe8, 0xf1, 0x9c, 0xc2, 0x9c, 0xd7, 0xf4, 0x73, 0x98, 0xf4, 0x87, 0xbd, 0x8d, 0xb2, 0xe1, 0x01, 0xf8, 0x9f, 0xac, 0x1f, 0x23, 0xdd, 0x78, 0x35, 0xe2, 0xd6, 0xd1, 0xf3, 0x4d, 0xb5, 0x25, 0x88, 0x16, 0xd1, 0x1a, 0x18, 0x33, 0xd6, 0x36, 0x7e, 0xc4, 0xc8, 0xe5, 0x5d, 0x2d, 0x74, 0xd5, 0x39, 0x3c, 0x44, 0x5a, 0x74, 0xb7, 0x7c, 0x48, 0xc1, 0x1f, 0x90, 0xe3, 0x55, 0x9e, 0xf6, 0x29, 0xad, 0xb4, 0x6d, 0x93, 0x78, 0xb3, 0xdc, 0x25, 0x0b, 0x9c, 0x73, 0x78, 0x7b, 0x93, 0x4c, 0xd3, 0x47, 0x09, 0xda, 0xe6, 0x69, 0x18, 0xc6, 0x0f, 0xfb, 0xa5, 0x95, 0xf5, 0xe8, 0x75, 0xe1, 0x01, 0x1b, 0xd3, 0x1c, 0xa2, 0x57, 0x03, 0x64, 0xdb, 0xf9, 0x5d, 0xf3, 0x3c, 0xa7, 0xd1, 0x4b, 0xb0, 0x90, 0x1b, 0x90, 0x62, 0xb4, 0x88, 0x30, 0x4b, 0x40, 0x4d, 0xcf, 0x7d, 0x89, 0x7a, 0xfb, 0x29, 0xc9, 0x64, 0x34, 0x0a, 0x52, 0xf6, 0x70, 0x7c, 0x76, 0x5a, 0x2e, 0x8f, 0x50, 0xd4, 0x92, 0x15, 0x97, 0xed, 0x4c, 0x2e, 0xf2, 0x3a, 0xd0, 0x58, 0x7e, 0xdb, 0xf1, 0xf4, 0xdd, 0x07, 0x76, 0x04, 0xf0, 0x55, 0x8b, 0x72, 0x2b, 0xa7, 0xa8, 0x78, 0x78, 0x67, 0xe6, 0xd8, 0xa5, 0xde, 0xe7, 0xc9, 0x1f, 0x5a, 0xa0, 0x89, 0xc7, 0x24, 0xa2, 0x71, 0xb6, 0x7b, 0x3b, 0xe6, 0x92, 0x69, 0x22, 0xaa, 0xe2, 0x47, 0x4b, 0x80, 0x3f, 0x6a, 0xab, 0xce, 0x4e, 0xcd, 0xe8, 0x94, 0x6c, 0xf7, 0x84, 0x73, 0x85, 0xfd, 0x85, 0x1d, 0xae, 0x81, 0xf7, 0xec, 0x12, 0x31, 0x7d, 0xc1, 0x99, 0xc0, 0x3c, 0x51, 0xb0, 0xdc, 0xb0,

```
0xba, 0x9c, 0x84, 0xb8,
 0x70,
 0xc2, 0x09, 0x7f, 0x96, 0x3d, 0xa1, 0xe2, 0x64, 0x27, 0x7a, 0x22, 0xb8,
 0x75, 0xb9, 0xd1, 0x5f, 0xa5, 0x23,
0xf9, 0x62, 0xe0, 0x41,
 0x02,
 0x81, 0x81, 0x00, 0xf4, 0xf3, 0x08, 0xcf, 0x83, 0xb0, 0xab, 0xf2, 0x0f,
 0x1a, 0x08, 0xaf, 0xc2, 0x42, 0x29,
0xa7, 0x9c, 0x5e, 0x52,
 0x19,
 0x69, 0x8d, 0x5b, 0x52, 0x29, 0x9c, 0x06, 0x6a, 0x5a, 0x32, 0x8f, 0x08,
 0x45, 0x6c, 0x43, 0xb5, 0xac, 0xc3,
0xbb, 0x90, 0x7b, 0xec,
 0xbb,
 0x5d, 0x71, 0x25, 0x82, 0xf8, 0x40, 0xbf, 0x38, 0x00, 0x20, 0xf3, 0x8a,
 0x38, 0x43, 0xde, 0x04, 0x41, 0x19,
0x5f, 0xeb, 0xb0, 0x50,
 0x59,
 0x10, 0xe1, 0x54, 0x62, 0x5c, 0x93, 0xd9, 0xdc, 0x63, 0x24, 0xd0, 0x17,
 0x00, 0xc0, 0x44, 0x3e, 0xfc, 0xd1,
0xda, 0x4b, 0x24, 0xf7,
 0xcb,
 0x16, 0x35, 0xe6, 0x9f, 0x67, 0x96, 0x5f, 0xb0, 0x94, 0xde, 0xfa, 0xa1,
 0xfd, 0x8c, 0x8a, 0xd1, 0x5c, 0x02,
0x8d, 0xe0, 0xa0, 0xa0,
 0x02,
 0x1d, 0x56, 0xaf, 0x13, 0x3a, 0x65, 0x5e, 0x8e, 0xde, 0xd1, 0xa8, 0x28,
 0x8b, 0x71, 0xc9, 0x65, 0x02, 0x81,
0x81, 0x00, 0xc1, 0x0a,
 0x47,
 0x39, 0x91, 0x06, 0x1e, 0xb9, 0x43, 0x7c, 0x9e, 0x97, 0xc5, 0x09, 0x08,
 0xbc, 0x22, 0x47, 0xe2, 0x96, 0x8e,
0x1c, 0x74, 0x80, 0x50,
 0x6c,
 0x9f, 0xef, 0x2f, 0xe5, 0x06, 0x3e, 0x73, 0x66, 0x76, 0x02, 0xbd, 0x9a,
 0x1c, 0xfc, 0xf9, 0x6a, 0xb8, 0xf9,
0x36, 0x15, 0xb5, 0x20,
 0x0b,
 0x6b, 0x54, 0x83, 0x9c, 0x86, 0xba, 0x13, 0xb7, 0x99, 0x54, 0xa0, 0x93,
 0x0d, 0xd6, 0x1e, 0xc1, 0x12, 0x72,
0x0d, 0xea, 0xb0, 0x14,
 0x30,
 0x70, 0x73, 0xef, 0x6b, 0x4c, 0xae, 0xb6, 0xff, 0xd4, 0xbb, 0x89, 0xa1,
 0xec, 0xca, 0xa6, 0xe9, 0x95, 0x56,
0xac, 0xe2, 0x9b, 0x97,
 0x2f,
 0x2c, 0xdf, 0xa3, 0x6e, 0x59, 0xff, 0xcd, 0x3c, 0x6f, 0x57, 0xcc, 0x6e,
 0x44, 0xc4, 0x27, 0xbf, 0xc3, 0xdd,
0x19, 0x9e, 0x81, 0x16,
 0xe2,
 0x8f, 0x65, 0x34, 0xa7, 0x0f, 0x22, 0xba, 0xbf, 0x79, 0x57, 0x02, 0x81,
 0x80, 0x2e, 0x21, 0x0e, 0xc9, 0xb5,
0xad, 0x31, 0xd4, 0x76,
 0x0f,
 0x9b, 0x0f, 0x2e, 0x70, 0x33, 0x54, 0x03, 0x58, 0xa7, 0xf1, 0x6d, 0x35,
 0x57, 0xbb, 0x53, 0x66, 0xb4, 0xb6,
0x96, 0xa1, 0xea, 0xd9,
 0xcd,
 0xe9, 0x23, 0x9f, 0x35, 0x17, 0xef, 0x5c, 0xb8, 0x59, 0xce, 0xb7, 0x3c,
 0x35, 0xaa, 0x42, 0x82, 0x3f, 0x00,
```

```
0x96, 0xd5, 0x9d, 0xc7,
 0xab,
 0xec, 0xec, 0x04, 0xb5, 0x15, 0xc8, 0x40, 0xa4, 0x85, 0x9d, 0x20, 0x56,
 0xaf, 0x03, 0x8f, 0x17, 0xb0, 0xf1,
0x96, 0x22, 0x3a, 0xa5,
 0xfa,
 0x58, 0x3b, 0x01, 0xf9, 0xae, 0xb3, 0x83, 0x6f, 0x44, 0xd3, 0x14, 0x2d,
 0xb6, 0x6e, 0xd2, 0x9d, 0x39, 0x0c,
0x12, 0x1d, 0x23, 0xea,
 0x19,
 0xcb, 0xbb, 0xe0, 0xcd, 0x89, 0x15, 0x9a, 0xf5, 0xe4, 0xec, 0x41, 0x06,
 0x30, 0x16, 0x58, 0xea, 0xfa, 0x31,
0xc1, 0xb8, 0x8e, 0x08,
 0x84,
 0xaa, 0x3b, 0x19, 0x02, 0x81, 0x80, 0x70, 0x4c, 0xf8, 0x6e, 0x86, 0xed,
 0xd6, 0x85, 0xd4, 0xba, 0xf4, 0xd0,
0x3a, 0x32, 0x2d, 0x40,
 0xb5,
 0x78, 0xb8, 0x5a, 0xf9, 0xc5, 0x98, 0x08, 0xe5, 0xc0, 0xab, 0xb2, 0x4c,
 0x5c, 0xa2, 0x2b, 0x46, 0x9b, 0x3e,
0xe0, 0x0d, 0x49, 0x50,
 0xbf,
 0xe2, 0xa1, 0xb1, 0x86, 0x59, 0x6e, 0x7b, 0x76, 0x6e, 0xee, 0x3b, 0xb6,
 0x6d, 0x22, 0xfb, 0xb1, 0x68, 0xc7,
0xec, 0xb1, 0x95, 0x9b,
 0x21,
 0x0b, 0xb7, 0x2a, 0x71, 0xeb, 0xa2, 0xb2, 0x58, 0xac, 0x6d, 0x5f, 0x24,
 0xd3, 0x79, 0x42, 0xd2, 0xf7, 0x35,
0xdc, 0xfc, 0x0e, 0x95,
 0x60,
 0xb7, 0x85, 0x7f, 0xf9, 0x72, 0x8e, 0x4a, 0x11, 0xc3, 0xc2, 0x09, 0x40,
 0x5c, 0x7c, 0x43, 0x12, 0x34, 0xac,
0x59, 0x99, 0x76, 0x34,
 0xcf,
 0x20, 0x88, 0xb0, 0xfb, 0x39, 0x62, 0x3a, 0x9b, 0x03, 0xa6, 0x84, 0x2c,
 0x03, 0x5c, 0x0c, 0xca, 0x33, 0x85,
0xf5, 0x02, 0x81, 0x80,
 0x56,
 0x99, 0xe9, 0x17, 0xdc, 0x33, 0xe1, 0x33, 0x8d, 0x5c, 0xba, 0x17, 0x32,
 0xb7, 0x8c, 0xbd, 0x4b, 0x7f, 0x42,
0x3a, 0x79, 0x90, 0xe3,
 0x70,
 0xe3, 0x27, 0xce, 0x22, 0x59, 0x02, 0xc0, 0xb1, 0x0e, 0x57, 0xf5, 0xdf,
 0x07, 0xbf, 0xf8, 0x4e, 0x10, 0xef,
0x2a, 0x62, 0x30, 0x03,
 0xd4,
 0x80, 0xcf, 0x20, 0x84, 0x25, 0x66, 0x3f, 0xc7, 0x4f, 0x56, 0x8c, 0x1e,
 0xe1, 0x18, 0x91, 0xc1, 0xfd, 0x71,
0x5f, 0x65, 0x9b, 0xe4,
 0x4f,
 0xe0, 0x1a, 0x3a, 0xf8, 0xc1, 0x69, 0xdb, 0xd3, 0xbb, 0x8d, 0x91, 0xd1,
 0x11, 0x4f, 0x7e, 0x91, 0x1b, 0xb4,
0x27, 0xa5, 0xab, 0x7c,
 0x7b,
 0x76, 0xd4, 0x78, 0xfe, 0x63, 0x44, 0x63, 0x7e, 0xe3, 0xa6, 0x60, 0x4f,
 0xb9, 0x55, 0x28, 0xba, 0xba, 0x83,
0x1a, 0x2d, 0x43, 0xd5,
0xf7,
 0x2e, 0xe0, 0xfc, 0xa8, 0x14, 0x9b, 0x91, 0x2a, 0x36, 0xbf, 0xc7, 0x14
 };
```

```
CK BYTE
  knownRSA1Modulus[]
 = {
0xb8, 0xb5, 0x0f, 0x49, 0x46, 0xb5, 0x5d, 0x58, 0x04, 0x8e,
 0x52, 0x59, 0x39, 0xdf, 0xd6,
0x29,
0x45, 0x6b, 0x6c, 0x96, 0xbb, 0xab, 0xa5, 0x6f, 0x72, 0x1b,
 0x16, 0x96, 0x74, 0xd5, 0xf9,
0xb4,
0x41, 0xa3, 0x7c, 0xe1, 0x94, 0x73, 0x4b, 0xa7, 0x23, 0xff,
 0x61, 0xeb, 0xce, 0x5a, 0xe7,
0x7f,
0xe3, 0x74, 0xe8, 0x52, 0x5b, 0xd6, 0x5d, 0x5c, 0xdc, 0x98,
 0x49, 0xfe, 0x51, 0xc2, 0x7e,
0x8f,
0x3b, 0x37, 0x5c, 0xb3, 0x11, 0xed, 0x85, 0x91, 0x15, 0x92,
 0x24, 0xd8, 0xf1, 0x7b, 0x3d,
0x2f,
0x8b, 0xcd, 0x1b, 0x30, 0x14, 0xa3, 0x6b, 0x1b, 0x4d, 0x27,
 0xff, 0x6a, 0x58, 0x84, 0x9e,
0x79,
0x94, 0xca, 0x78, 0x64, 0x01, 0x33, 0xc3, 0x58, 0xfc, 0xd3,
 0x83, 0xeb, 0x2f, 0xab, 0x6f,
0x85,
0x5a, 0x38, 0x41, 0x3d, 0x73, 0x20, 0x1b, 0x82, 0xbc, 0x7e,
 0x76, 0xde, 0x5c, 0xfe, 0x42,
0xd6,
0x7b, 0x86, 0x4f, 0x79, 0x78, 0x29, 0x82, 0x87, 0xa6, 0x24,
 0x43, 0x39, 0x74, 0xfe, 0xf2,
0x0c,
0x08, 0xbe, 0xfa, 0x1e, 0x0a, 0x48, 0x6f, 0x14, 0x86, 0xc5,
 0xcd, 0x9a, 0x98, 0x09, 0x2d,
0xf3,
0xf3, 0x5a, 0x7a, 0xa4, 0xe6, 0x8a, 0x2e, 0x49, 0x8a, 0xde,
 0x73, 0xe9, 0x37, 0xa0, 0x5b,
0xef,
0xd0, 0xe0, 0x13, 0xac, 0x88, 0x5f, 0x59, 0x47, 0x96, 0x7f,
 0x78, 0x18, 0x0e, 0x44, 0x6a,
0x5d,
Oxec, Ox6e, Oxed, Ox4f, Oxf6, Ox6a, Ox7a, Ox58, Ox6b, Oxfe,
 0x6c, 0x5a, 0xb9, 0xd2, 0x22,
0x3a,
0x1f, 0xdf, 0xc3, 0x09, 0x3f, 0x6b, 0x2e, 0xf1, 0x6d, 0xc3,
 0xfb, 0x4e, 0xd4, 0xf2, 0xa3,
0x94.
0x13, 0xb0, 0xbf, 0x1e, 0x06, 0x2e, 0x29, 0x55, 0x00, 0xaa,
 0x98, 0xd9, 0xe8, 0x77, 0x84,
0x8b.
0x3f, 0x5f, 0x5e, 0xf7, 0xf8, 0xa7, 0xe6, 0x02, 0xd2, 0x18,
 0xb0, 0x52, 0xd0, 0x37, 0x2e,
0x53,
      },
      knownRSA1PubExponent[]
 = { 0 \times 01, 0 \times 00, 0 \times 01 };
      char
 *pPlainData = 0;
     unsigned
 long ulPlainDataLength;
     char
 *pEncryptedData = 0;
```

```
unsigned
long ulEncryptedDataLength = 0;
     CK MECHANISM
mech;
     CK USHORT
  usStatus=0,
                  usKeyLength;
     CK OBJECT HANDLE
hKey;
     CK OBJECT CLASS
    SymKeyClass
 = CKO SECRET KEY;
     CK BBOOL
           bTrue
= 1,
                         bFalse
= 0,
                         bToken
= bTrue,
                         bSensitive
= bTrue,
                         bPrivate
= bTrue,
                         bEncrypt
= bTrue,
                         bDecrypt
= bTrue,
                         bSign
= bFalse, // "..."
                         bVerify
= bFalse, //Will not allow sign/verify operation.
                         bWrap
= bTrue,
                         bUnwrap
= bTrue,
#ifdef EXTRACTABLE
                         bExtract
= bTrue,
#endif //EXTRACTABLE
                         bDerive
= bTrue;
     CK_KEY_TYPE
       keyType;
     CK USHORT
         usValueBits;
      char
               pbPublicKeyLabel[128];
     CK_ATTRIBUTE_PTR
pPublicTemplate;
     CK USHORT
usPublicTemplateSize = 0;
      char
iv[8] = { '1', '2', '3', '4', '5', '6', '7', '8' };
     CK ATTRIBUTE
SymKeyTemplate[] = {
         {CKA_CLASS,
0, sizeof(SymKeyClass)},
        {CKA_KEY_TYPE,
0, sizeof(keyType)},
```

```
{CKA TOKEN,
 0, sizeof(bToken)},
         {CKA SENSITIVE,
 0, sizeof(bSensitive) },
         {CKA PRIVATE,
 0, sizeof(bPrivate) },
         {CKA ENCRYPT,
 0, sizeof(bEncrypt)},
         {CKA DECRYPT,
 0, sizeof(bDecrypt)},
         {CKA SIGN,
 0, sizeof(bSign) },
         {CKA VERIFY,
 0, sizeof(bVerify)},
         {CKA_WRAP,
 0, sizeof(bWrap)},
         {CKA UNWRAP,
 0, sizeof(bUnwrap)},
         {CKA DERIVE,
 0, sizeof(bDerive)},
         {CKA_VALUE_LEN,0,
  sizeof(usKeyLength)
 },
         {CKA_LABEL,
 0, 0} //
 Always keep last !!!
#ifdef EXTRACTABLE
                         //Conditional
 stuff must be at the end!!!!!
         {CKA_EXTRACTABLE,
 0, sizeof(bExtract) },
#endif //EXTRACTABLE
      };
      CK OBJECT HANDLE
 hUnWrappedKey, hPublicRSAKey;
      char
             *pbWrappedKey;
      unsigned
 long
       ulWrappedKeySize;
      CK_OBJECT_CLASS
 privateKey
 = CKO PRIVATE KEY,
publicKey = CKO PUBLIC KEY;
      CK KEY TYPE
      rsaType
 CKK RSA;
      CK BYTE
          pLabel[]
  = "RSA
 private Key",
pbPublicRSAKeyLabel[] = "RSA Public Key";
      CK_ATTRIBUTE
 *pTemplate;
      CK ULONG
     usTemplateSize,
ulPublicRSAKeyTemplateSize;
      CK ATTRIBUTE
 pPublicRSAKeyTemplate[] = {
```

```
{CKA CLASS,
   Ο,
sizeof(publicKey) },
        {CKA KEY TYPE,
      sizeof(rsaType)
Ο,
   },
        {CKA_TOKEN,
   Ο,
    sizeof(bToken)
    },
        {CKA_PRIVATE,
      sizeof(bPrivate)
 Ο,
 },
        {CKA ENCRYPT,
 Ο,
      sizeof(bEncrypt)
 },
        {CKA_VERIFY,
  Ο,
     sizeof(bSign)
     },
        {CKA_WRAP,
    Ο,
     sizeof(bWrap)
     },
{CKA MODULUS,
0, sizeof(knownRSA1Modulus) },
{CKA_PUBLIC_EXPONENT,
0, sizeof(knownRSA1PubExponent) },
        {CKA_LABEL,
   Ο,
     sizeof(pbPublicRSAKeyLabel)
    }
     };
     CK ATTRIBUTE
pPrivateKeyTemplate[] = {
        {CKA_CLASS,
   &privateKey,
sizeof(privateKey) },
        {CKA_KEY_TYPE,
&rsaType,
             },
        {CKA_TOKEN,
   &bToken,
    sizeof(bToken)
    },
        {CKA_SENSITIVE, &bSensitive,
sizeof(bSensitive) },
        {CKA_PRIVATE,
 &bPrivate,
  sizeof(bPrivate)
  },
        {CKA_DECRYPT,
 &bEncrypt,
  sizeof(bEncrypt)
  },
        {CKA_SIGN,
    &bSign,
     sizeof(bSign)
     },
```

```
//{CKA SIGN RECOVER,
&bTrue, sizeof(bTrue)
                            },
         {CKA UNWRAP,
  &bWrap,
     sizeof(bWrap)
      },
{CKA EXTRACTABLE, &bFalse, sizeof(bFalse)
                                                },
{CKA LABEL,
                  pLabel,
  sizeof(pLabel)
     }
     };
      11
Generate a DES3 Key
      SymKeyTemplate[0].pValue
= &SymKeyClass;
      SymKeyTemplate[1].pValue
= &keyType;
      SymKeyTemplate[2].pValue
= &bToken;
      SymKeyTemplate[3].pValue
= &bSensitive;
      SymKeyTemplate[4].pValue
= &bPrivate;
      SymKeyTemplate[5].pValue
= &bEncrypt;
      SymKeyTemplate[6].pValue
= &bDecrypt;
      SymKeyTemplate[7].pValue
= &bSign;
     SymKeyTemplate[8].pValue
= &bVerify;
      SymKeyTemplate[9].pValue
= &bWrap;
      SymKeyTemplate[10].pValue
= &bUnwrap;
      SymKeyTemplate[11].pValue
= &bDerive;
     SymKeyTemplate[12].pValue
=
   &usKeyLength;
      SymKeyTemplate[13].pValue
= pbPublicKeyLabel;
#ifdef EXTRACTABLE
      SymKeyTemplate[14].pValue
= &bExtract;
#endif //EXTRACTABLE
     mech.mechanism
= CKM DES3 KEY GEN;
     mech.pParameter
= 0;
     mech.usParameterLen
= 0;
      keyType
= CKK DES3;
      usKeyLength
= 24;
      strcpy(
pbPublicKeyLabel, "Generated DES3 Key" );
     pPublicTemplate
= SymKeyTemplate;
```

```
usPublicTemplateSize
 = DIM(SymKeyTemplate);
     11
 Adjust size of label (ALWAYS LAST ENTRY IN ARRAY)
      pPublicTemplate[usPublicTemplateSize-1].usValueLen
 = strlen(
pbPublicKeyLabel );
      retCode
                   hSessionHandle,
 = C GenerateKey(
                                  (CK MECHANISM PTR) &mech,
                                  pPublicTemplate,
                                  usPublicTemplateSize,
                                  &hKey);
      if(retCode
 == CKR OK)
      {
         cout
 << pbPublicKeyLabel << ": " << hKey <<
 endl;
      }
      else
      {
   cout
 << "\n" "Error 0x" << hex << retCode;
         COUL
 << " generating the DES3 Key.\n";
  error
 = -11;
         goto
 exit_routine_6;
      }
      11
 Encrypt the RSA Key
     mech.mechanism
 = CKM DES3 CBC;
     mech.pParameter
 = iv;
      mech.usParameterLen
 = sizeof(iv);
     pPlainData
 = (char *) (pRsaKey);
     ulPlainDataLength
 = sizeof(pRsaKey);
     11
 Allocate memory for output buffer
     if(
 retCode == CKR OK )
      {
         pEncryptedData
 = new char [ulPlainDataLength + 2048]; // Leave
// extra room for
// RSA Operations
         if(
 !pEncryptedData )
         {
            retCode
 = CKR DEVICE ERROR;
         }
      }
      //
 Start encrypting
```

```
if(
retCode == CKR OK )
     {
        retCode
= C EncryptInit (hSessionHandle, &mech, hKey);
     }
     11
Continue encrypting
    if(
retCode == CKR OK )
    {
        CK USHORT
usInDataLen,
                  usOutDataLen
= (CK USHORT) (ulPlainDataLength + 2048);
        CK ULONG
ulBytesRemaining
= ulPlainDataLength;
        char
*
     pPlainTextPointer
= pPlainData;
        char
     pEncryptedDataPointer
= pEncryptedData;
        while
(ulBytesRemaining > 0)
        {
           i f
(ulBytesRemaining > 0xfff0) // We are longer than a USHORT can handle
           {
              usInDataLen
= 0 \times fff0;
             ulBytesRemaining
-= usInDataLen;
           }
           else
           {
              usInDataLen
= (CK USHORT) ulBytesRemaining;
              ulBytesRemaining
-= usInDataLen;
           }
           retCode
= C EncryptUpdate ( hSessionHandle,
                                        (CK BYTE PTR)pPlainTextPointer,
                                       usInDataLen,
                                        (CK BYTE PTR) pEncryptedDataPointer,
                                       &usOutDataLen
);
          pPlainTextPointer
+= usInDataLen;
          pEncryptedDataPointer
+= usOutDataLen;
          ulEncryptedDataLength
+= usOutDataLen;
        }
     }
     //
```

```
Finish encrypting
```

```
if(
retCode == CKR OK )
     {
        CK USHORT
usOutDataLen;
       CK BYTE PTR
pOutData = (CK_BYTE_PTR)pEncryptedData;
       pOutData
+= ulEncryptedDataLength;
       retCode
= C EncryptFinal(hSessionHandle, pOutData, &usOutDataLen);
       ulEncryptedDataLength
+= usOutDataLen;
    }
     else
     {
  cout
<< "\n" "Error 0x" << hex << retCode;
       cout
<< " somewhere in the encrypting.\n";
        if(
pEncryptedData )
        {
           delete
pEncryptedData;
       }
  error
= -12;
        goto
exit_routine_6;
     }
     mech.mechanism
     =
CKM DES3 CBC;
    mech.pParameter
    =
(void*) "12345678"; // 8 byte IV
    mech.usParameterLen
= 8;
     pTemplate
= pPrivateKeyTemplate;
    usTemplateSize
= DIM(pPrivateKeyTemplate);
   pbWrappedKey
= pEncryptedData;
    ulWrappedKeySize
= ulEncryptedDataLength;
    if(
retCode == CKR OK )
     {
        retCode
= C_UnwrapKey( hSessionHandle,
                                 &mech,
                                 hKey,
                                 (CK BYTE PTR) pbWrappedKey,
                                 (CK USHORT) ulWrappedKeySize,
                                 pTemplate,
                                 usTemplateSize,
                                 &hUnWrappedKey);
     }
```

```
11
Report unwrapped key handle
    if(
retCode == CKR OK )
     {
        cout
<< "\n Private key Unwrapped key is:" << hUnWrappedKey
<<"\n\n";
    }
     else
     {
  cout
<< "\n" "Error 0x" << hex << retCode;
       cout
<< " unwrapping.\n";
       if(
pEncryptedData )
        {
           delete
pEncryptedData;
        }
 error
= -13;
        goto
exit routine 6;
     }
     //
Release temporary memory
    if(
pEncryptedData )
   {
        delete
pEncryptedData;
    }
  //
Create the Public Key that goes with the Private Key
 if(
retCode == CKR_OK )
 {
 11
Unwrap it onto the token
pPublicRSAKeyTemplate[0].pValue
= &publicKey;
pPublicRSAKeyTemplate[1].pValue
= &rsaType;
pPublicRSAKeyTemplate[2].pValue
= &bToken;
pPublicRSAKeyTemplate[3].pValue
= &bPrivate;
pPublicRSAKeyTemplate[4].pValue
= &bEncrypt;
pPublicRSAKeyTemplate[5].pValue
= &bSign;
pPublicRSAKeyTemplate[6].pValue
= &bWrap;
pPublicRSAKeyTemplate[7].pValue
= knownRSA1Modulus;
pPublicRSAKeyTemplate[8].pValue
= knownRSA1PubExponent;
```

```
pPublicRSAKeyTemplate[9].pValue
 = pbPublicRSAKeyLabel;
  pTemplate
 = pPublicRSAKeyTemplate;
 usTemplateSize
 = DIM(pPublicRSAKeyTemplate);
 retCode
 = C CreateObject( hSessionHandle,
pTemplate,
 usTemplateSize,
 &hPublicRSAKey);
 if(retCode
 == CKR OK)
  {
 cout
 << pbPublicRSAKeyLabel << ": " << hPublicRSAKey
 << endl;
  }
 else
  {
 cout
 << "\n" "Error 0x" << hex << retCode;
 cout
 << " creating the RSA Public Key.\n";
 error
 = -14;
 goto
 exit routine 6;
  }
   }
if ( retCode == CKR OK )
   {
CK_CHAR label[] = "RSA Key";
CK ATTRIBUTE RSAFindPriTemplate[] =
{
CKA LABEL, label, sizeof(label)
};
CK ULONG numHandles;
CK OBJECT HANDLE handles[1000];
retCode = C FindObjectsInit( hSessionHandle, RSAFindPriTemplate,
1);
if(retCode != CKR OK)
{
cout << "C FindObjectsInit not returning OK ("</pre>
 << hex << retCode << ")\n\n";
goto exit_routine_6;
}
retCode =C FindObjects( hSessionHandle , handles, 90,
&numHandles );
if(retCode != CKR OK)
{
cout << "C_FindObjects not returning OK ("</pre>
<< hex <<
retCode << ")\n\n";</pre>
goto exit_routine_6;
}
cout << "Everything's GOOD\n\n";</pre>
for(int i=0; i < numHandles; i++)</pre>
{
cout << handles[i] << "\n";</pre>
}
```

```
}
}
//CJM-> END OF TEST CODE
   //
 Beginning of exit routines
exit routine 6:
   17
 Logout
   retCode
 = C Logout (hSessionHandle);
   if(retCode
 != CKR OK)
{
cout << "\n" "Error 0x" <<
hex << retCode << " logging out.";</pre>
}
exit_routine_5:
// Close the session
   retCode
 = C CloseSession(hSessionHandle);
if(retCode != CKR OK)
{
cout << "\n" "Error 0x" <<
hex << retCode << " closing session.";</pre>
}
exit routine 4:
   delete
pSlotList;
exit_routine_3:
#ifdef PKCS11 2 0
   C Finalize(0);
#else
   C Terminate();
#endif
exit routine 2:
#ifndef STATIC
   11
No longer need Chrystoki
   CrystokiDisconnect();
#endif
exit_routine_1:
   cout
 << "\nDone. (" << dec << error << ")\n";
   cout.flush();
   return
 error;
}
CK RV Pinlogin (CK_SESSION_HANDLE
 hSession)
{
CK RV retCode;
unsigned char buffer[MAX];
int count =0;
cout << "Please enter the USER password : "</pre>
 << endl;
//calling get PinString to mask input, variable "count"
//holds length of "buffer" (password)
//needed for Login call
count = getPinString(buffer);
```

```
//Login as user on token
 in slot
retCode = C Login(hSession, CKU USER, buffer, count);
if(retCode != CKR OK)
cout << "\n" "Error 0x" <<
hex << retCode;</pre>
     cout
 << " logging in as user.";
 exit (hSession);
 return
-3;
}
cout << "logging into the token....";</pre>
cout << "\nlogged into token " << endl;</pre>
return retCode;
}
// getPinString()
// ===============
11
// This function retrieves a pin string from the user. It
modifies the
// console mode before starting so that the characters the
user types are
// not echoed, and a '*' character is displayed for each
typed character
// instead.
11
// Backspace is supported, but we don't get any fancier than
that.
int getPinString(CK CHAR PTR pw)
{
   int
 len=0:
   char
 c=0;
   //
 Unfortunately, the method of turning off character echo is
// different for Windows and Unix platforms. So
 we have to
// conditionally compile the appropriate section. Even
 the basic
// password retrieval is slightly different, since
   11
Windows and Unix use different character codes for the return key.
#ifdef WIN32
   DWORD
 mode;
   11
 This console mode stuff only applies to windows. We'll
have to
// do something else when it comes to unix.
   if
 (GetConsoleMode(GetStdHandle(STD INPUT HANDLE), &mode)) {
       if
 (SetConsoleMode (GetStdHandle (STD INPUT HANDLE), mode & (!ENABLE ECHO INPUT)))
 {
```

```
while
 (c != '\r')
 {
                 11
 wait for a character to be hit
                while
 (!_kbhit()) {
                     Sleep(100);
                 }
                 //
 get it
                 С
 = _getch();
                 11
 check for carriage return
                 if
 (c != '\r') {
                     11
 check for backspace
                     if
 (c!='\b') {
                         11
 neither CR nor BS -- add it to the password string
                         printf("*");
                         *pw++
 = c;
                         len++;
                     }
 else {
// handle backspace -- delete the last character \&
// erase it from the screen
                         if
 (len > 0) \{
                             pw--;
                             len--;
                             printf("\b
 \b");
}
}
}
}
            11
 Add the zero-termination
            *pw
 = '\0';
            SetConsoleMode (GetStdHandle (STD_INPUT_HANDLE),
mode);
            printf("\n");
}
    }
#endif
    return
 len;
}
```

## Audit Logging

By default, the HSM logs select events. See Audit Logging for more information.

The HSM creates a log secret unique to the HSM, computed during the first initialization after manufacture. The log secret resides in flash memory (permanent, non-volatile memory), and is used to create log records that are sent to a log file. Later, the log secret is used to prove that a log record originated from a legitimate HSM and has not been tampered with.

### Audit Log Records

A log record consists of two fields – the log message and the HMAC for the previous record. When the HSM creates a log record, it uses the log secret to compute the SHA256-HMAC of all data contained in that log message, plus the HMAC of the previous log entry. The HMAC is stored in HSM flash memory. The log message is then transmitted, along with the HMAC of the previous record, to the host. The host has a logging daemon to receive and store the log data on the host hard drive.

For the first log message ever returned from the HSM to the host there is no previous record and, therefore, no HMAC in flash. In this case, the previous HMAC is set to zero and the first HMAC is computed over the first log message concatenated with 32 zero-bytes. The first record in the log file then consists of the first log message plus 32 zero-bytes. The second record consists of the second message plus HMAC1 = HMAC (message1 || 0x0000). This results in the organization shown below.

MSG 1	HMAC 0
MSG n-1	HMAC n-2
MSG n	HMAC n-1
MSG n+m	HMAC n+m-1
MSG n+m+1	HMAC n+m
MSG end	HMAC n+m-1

Recent HMAC in NVRAM	HMAC end

To verify a sequence of *m* log records which is a subset of the complete log, starting at index *n*, the host must submit the data illustrated above. The HSM calculates the HMAC for each record the same way as it did when the record was originally generated, and compares this HMAC to the value it received. If all of the calculated HMACs match the received HMACs, then the entire sequence verifies. If an HMAC doesn't match, then the associated record and all following records can be considered suspect. Because the HMAC of each message depends on the HMAC of the previous one, inserting or altering messages would cause the calculated HMAC to be invalid.

The HSM always stores the HMAC of the most-recently generated log message in flash memory. When checking truncation, the host would send the newest record in its log to the HSM; and, the HSM would compute the HMAC and compare it to the one in flash. If it does not match, then truncation has occurred.

### Audit Log Message Format

Each message is a fixed-length, comma delimited, and newline-terminated string. The table below shows the width and meaning of the fields in a message.

Offset	Length (Chars)	Description
0	10	Sequence number
10	1	Comma
11	17	Timestamp
28	1	Comma
29	256	Message text, interpreted from raw data
285	1	Comma
286	64	HMAC of previous record as ASCII-HEX
350	1	Comma
351	96	Data for this record as ASCII-HEX (raw data)
447	1	Newline '\n'

The raw data for the message is stored in ASCII-HEX form, along with a human-readable version. Although this format makes the messages larger, it simplifies the verification process, as the HSM expects to receive raw data records.

### Example

The following example shows a sample log record. It is separated into multiple lines for readability even though it is a single record. Some white spaces are also omitted.

The log message is "session 1 Access 2147483651:22621 operation LUNA\_CREATE\_ CONTAINER returned LUNA\_RET\_SM\_UNKNOWN\_TOSM\_STATE(0x00300014) (using PIN (entry=LUNA ENTRY DATA AREA))".

In the message text, the "who" is the session identified by "session 1 Access 2147483651:22621" (the application is identified by the access ID major = 2147483651, minor = 22621).

The "what" is "LUNA CREATE CONTAINER".

The operation status is "LUNA\_RET\_SM\_UNKNOWN\_TOSM\_STATE (0x00300014)".

The HMAC of previous record is

"29C51014B6F131EC67CF48734101BBE301335C25F43EDF8828745C40755ABE25".

The remainder is the raw data for this record as ASCII-HEX.

### Log External

An important element of the security audit logging feature is the Log External function. This SafeNet extension to PKCS #11 allows a user application to insert text of the user's choice into the log record stream. The function call is **CA\_LogExternal ()**. It can be used, for example, to insert an application name or the name of the user who is logged into the application and have the inserted text string protected as part of the audit log in the same way as records that have been generated by the HSM itself. It is recommended that applications use the **CA\_LogExternal ()** function when the application starts to insert the application name and also to insert the user name each time an individual user logs into or out of the application. The function is called as:

CA\_LogExternal(CK\_SLOT\_ID slotID, CK\_SESSION\_HANDLE hSession, CK\_CHAR\_PTR pData, CK\_ULONG puldataLen);

where:

- > slotID is PKCS #11 slot containing the HSM or partition being addressed
- > hSession is the handle of the session with which the record is to be associated
- > pData is the pointer to the character array containing the external message
- > puldataLen is the length of the character array

Note that the input character array is limited to a maximum of 100 characters and it will be truncated at 100 characters if **puldataLen** > 100.

For applications that cannot add this function call, it is possible to use lunacm:> audit logmsg within a startup script to insert a text record at the time the application is started.

When a user logs in to the SafeNet Luna PCIe HSM lunash:> session, the **CA\_LogExternal ()** function is automatically called to register the user name and access ID. Subsequent HSM operations can be tracked by the access ID.

You must configure the "log external" event category in order for the HSM to log the **CA\_LogExternal ()** messages.

# **CHAPTER 7:** Java Interfaces

This chapter describes the Java interfaces to the PKCS#11 API. It contains the following topics:

- > "SafeNet JSP Overview and Installation" below
- > "SafeNet JSP Configuration" on page 463
- > "The JCPROV PKCS#11 Java Wrapper" on page 466
- > "Java or JSP Errors" on page 473
- "Re-Establishing a Connection Between Your Java Application and SafeNet Luna PCIe HSM" on page 474
- > "Recovering From the Loss of All HA Members" on page 474
- > "Using Java Keytool with SafeNet Luna PCIe HSM" on page 477

## SafeNet JSP Overview and Installation

The SafeNet JSP is part of an application program interface (API) that allows Java applications to make use of certain SafeNet products.

As with other APIs, some existing Java-based applications might have generic requirements and calls that can already work with SafeNet products. In other cases, it might be necessary for you or your vendor to create an application or to adapt one, using the JSP API.

You have the choice of:

- > using a previously integrated third-party application, known to work with this SafeNet product
- > performing your own integration with a Java-based application supplied by you or a third party, or
- > developing your own application using our Java API.

Develop your own Java apps using our included Software Development Kit, which includes SafeNet Java API usage notes for developers, as well as development support by SafeNet. A standard Java development environment is required, in addition to the API provided by SafeNet.

Please refer to the current-version SafeNet Luna PCIe HSM Customer Release Notes (CRN) for the most upto-date list of supported platforms and APIs.

**NOTE** Java Provider (JSP) - both GMC and GMAC are supported. **GmacAesDemo.java** provides a sample for using GMAC with Java.

Java Parameter Specification class **LunaGmacParameterSpec.java** defines default values recommended by the NIST specification.

This following sections describe the tasks required to set up the JSP API:

- > "Installation" on the next page
- > "JSP Registration" on page 459

### > "Post-Installation Tasks" on page 460

### Installation

To use the SafeNet JavaSP service providers three main components are needed:

- > The Java SDK
- > The Java Cryptographic JCE Policy files (optional)
- > The SafeNet JavaSP artifacts in the Luna HSM Client

### Java SDK Installation

Acquire and install the JDK or JRE (available from the Java site, not included with the SafeNet software). Refer to the Customer Release Notes for supported Java versions.

### Java Cryptographic JCE Policy Files Installation (optional)

If you intend to generate large key sizes, you might need to apply the unlimited strength ciphers policy. You will need two cryptographic JCE Policy files v 7/8/9/10/11 (available from the Oracle Java web site): local\_policy.jar and US\_export\_policy.jar.

Copy these files to JAVA\_HOME/jre/lib/security (or the equivalent directory that applies to your setup).

```
[root@my-client]# echo $JAVA_HOME
/usr/java/default
[root@my-client]# cp -p local_policy.jar /usr/java/default/jre/lib/security/
[root@my-sclient]# cp -p US_export_policy.jar /usr/java/default/jre/lib/security/
If you see errors like "Invalid Key size", that is usually an indication that the JCE is not properly installed.
```

### SafeNet JavaSP included in the Luna HSM Client

Follow the installation procedure for the Luna HSM Client as described in the *Installation Guide*. When installing the Luna HSM Client software, choose the option to install SafeNet JSP. There are two SafeNet files: the **LunaProvider.jar** file, and the Java library file (**libLunaAPI.so** in Unix based systems or **LunaAPI.dll** in Windows systems). To ensure that the Java Environment can find these files, follow the instructions for either Java 7/8 or Java 9+.

Operating System	JSP Install directory
AIX	/usr/safenet/lunaclient/jsp/lib
Linux	/usr/safenet/lunaclient/jsp/lib
Solaris	/opt/safenet/lunaclient/jsp/
Windows	C:\Program Files\LunaClient\JSP\lib

### To configure Java 7/8 for SafeNet JSP

To ensure that SafeNet Luna PCIe HSM and SafeNet JSP can work with the JRE, copy the JSP files from the default installation location to the Java environment. The exact destination directory might differ depending on where you obtained your Java system, the version, and any choices that you made while installing and

#### configuring it.

Operating System	Destination directory example	
AIX	/usr/jre/lib/ext	
Linux	/usr/jre/lib/ext	
Solaris	/opt/jre/lib/ext	
Windows	<java_install_dir>\bin C:\Program Files\Java\jdk1.8.0_121\bin</java_install_dir>	
	<b>NOTE</b> Java 7/8/9 for Windows has removed the <b><java_install_dir>\lib\ext</java_install_dir></b> directory from the Java library path.	

### To configure Java 9+ for SafeNet JSP

Add **LunaProvider.jar** to the Java classpath and specify the SafeNet Java library location (**libLunaAPI.so** in Unix based systems or **LunaAPI.dll** in Windows systems) in the Java library path.

For example:

> java -cp /<directory\_location>/LunaProvider.jar -Djava.library.path=<SafeNet\_Java\_library\_location>
<class name>

**TIP** In Windows, you can also put **LunaAPI.dll** in an arbitrary folder and add that folder to the system path. Java will search the system path for **LunaAPI.dll**.

The exact directory might differ depending on where you obtained your Java system, the version, and any choices that you made while installing and configuring it.

### **JSP** Registration

Before Java can use SafeNet JSP, you must register it with the Java Runtime Enviroment. You can choose either a static registration or a dynamic registration. A static registration defaults all Java applications to default to the SafeNet provider, while a dynamic registration allows you to set the provider for Java applications individually.

### **JSP Static Registration**

**NOTE** This section applies to JSP, not to JCPROV.

You would choose static registration of providers if you want all applications to default to the SafeNet provider.

Once your client has externally logged in using **salogin** or your own HSM-aware utility, any application would be able to use SafeNet product without being designed to log in to the HSM Partition.

Edit the **java.security** file located in the **/jre/lib/security** directory of your Java SDK/JRE installation to read as follows:

security.provider.1=sun.security.provider.Sun security.provider.2=com.sun.net.ssl.internal.ssl.Provider security.provider.3=com.safenetinc.luna.provider.LunaProvider security.provider.4=com.sun.rsajca.Provider security.provider.5=com.sun.crypto.provider.SunJCE security.provider.6=sun.security.jgss.SunProvider You can set our provider in first position for efficiency if SafeNet Luna E

You can set our provider in first position for efficiency if SafeNet Luna HSM operations are your primary mode. However, if your application needs to perform operations not supported by the LunaProvider (secure random generation or random publickey verification, for example) then it would receive error messages from the HSM and would need to handle those gracefully before resorting to providers further down the list. We have found that having our provider in third position works well for most applications.

The modifications in the **java.security** file are global, and they might result in the breaking of another application that uses the default KeyPairGenerator without logging into the SafeNet Luna Network HSM first. This consideration might argue for using dynamic registration, instead.

### **JSP Dynamic Registration**

You might prefer to employ dynamic registration of Providers, in order to avoid possible negative impacts on other applications running on the same machine. As well, the use of dynamic registration allows you to keep installation as straightforward as possible for your customers.

This sample code shows an example of dynamic registration with the SafeNet provider. The SafeNet provider is registered in position 2, ensuring that the "SUN" provider is still the default. If you want the SafeNet provider to be used when no provider is explicitly specified, it should be registered at position 1.

```
try {
  com.safenetinc.luna.LunaSlotManager.getInstance().login("<HSM Partition Password>");
  java.security.Provider provider = new com.safenetinc.luna.provider.LunaProvider();
  // removing the provider is only necessary if it is already registered
  // and you want to change its position
  java.security.Security.removeProvider(provider.getName());
  java.security.Security.insertProviderAt(provider, 2);
  com.safenetinc.luna.LunaSlotManager.getInstance().logout();
  } catch (Exception e) {
    System.out.println("Exception caught during loading of the providers: "
        + ex.getMessage());
  }
```

### Post-Installation Tasks

### Making Private and Secret Keys Extractable

By default, all generated private and secret keys have their CKA\_EXTRACTABLE attribute set to **0** (see "Key Attribute Defaults" on page 425). These keys are stored in the HSM hardware and cannot be extracted, only cloned to a partition on another HSM. This attribute cannot be modified later. If you want the ability to wrap private and/or secret keys and export them off the HSM, you must use one of the following two methods to set CKA\_EXTRACTABLE to **1** (TRUE) when the key is created:

#### **Global configuration:**

Configure **java.security** as follows to have JSP create all future private/secret keys with CKA\_ EXTRACTABLE=1:

> To make all private keys extractable, add the following line to java.security:

com.safenetinc.luna.provider.createExtractablePrivateKeys=true

> To make all secret keys extractable, add the following line to java.security:

com.safenetinc.luna.provider.createExtractableSecretKeys=true

#### Local configuration:

Configure CKA\_EXTRACTABLE on a key-by-key basis by using the following methods in your Java application:

> To make the next generated private key extractable using the LunaSlotManager.setPrivateKeysExtractable() method:

```
LunaSlotManager.getInstance().setPrivateKeysExtractable(true); // Set CKA_EXTRACTABLE=1 on
upcoming private keys
kpg = KeyPairGenerator.getInstance("RSA", "LunaProvider");
kpg.initialize(2048);
myPair = kpg.generateKeyPair();
LunaSlotManager.getInstance().setPrivateKeysExtractable(false); // Set CKA_EXTRACTABLE=0 on
upcoming private keys
```

**NOTE** To wrap and export private keys, the partition must have partition policy 1: Allow private key wrapping set to 1 (ON). See Configuring the Partition for Cloning or Export of Private Keys.

### > To make the next generated secret key extractable using the LunaSlotManager.setSecretKeysExtractable() method:

```
LunaSlotManager.getInstance().setSecretKeysExtractable(true); // Set CKA_EXTRACTABLE=1 on
upcoming secret keys
kg = KeyGenerator.getInstance("AES");
kg.init(256);
aesKey = kg.generateKey();
LunaSlotManager.getInstance().setPrivateKeysExtractable(false); // Set CKA_EXTRACTABLE=0 on
upcoming secret keys
```

#### Using SafeNet JCE/JCA with 64-bit Libraries

If you are using SafeNet JCE/JCA with the 64-bit libraries for SafeNet Luna Network HSM, you must include the -d64 switch in the Java command-line.

#### java -d64 -jar jMultitoken.jar

For most 64-bit platforms, 64-bit is supported. Some 64-bit platforms support the option of running in 32-bit mode), as a backward compatibility feature.

**NOTE** Luna HSM Client 10.1 and newer includes libraries for 64-bit operating systems only.

If you use the 64-bit installation and do not use the **-d64** command-line switch in your Java command lines, the system attempts (by default) to use the 32-bit library (which is not installed, because you installed 64-bit in this example...), and the result is an error message complaining about the kernel model.

### Using ECC Keys for TLS with Java 7

For optimal Java performance when using Elliptic Curve keys to perform TLS with Java 7, where those keys reside in the HSM, you must configure the SunEC security provider (sun.security.ec.SunEC) to be below the LunaProvider in your java.security file.

We suggest that you not attempt to resolve a performance issue by having the LunaProvider as the default because that would result in the symmetric keys also being used in the HSM which is not optimal for performance.

### Managing Security for Java Developers

The SafeNet JSP is a Java API that is intended to be used as an interface between customer-written or thirdparty Java applications and the SafeNet Luna PCIe HSM. Managing security issues associated with the overall operational environment in which the application is running, including the user interface, is the responsibility of the application.

A common example would be input and capture of user name and password. The application, or a set of organizational procedures, is responsible for making the access control decision regarding whether the user has the necessary permissions (at the organizational level) to access the HSM's services and then must provide protection for the password as it is entered, and erasure from memory after the operation is completed. The SafeNet JSP will control access to the HSM based on the correct password being input from the application via the Login method, but security outside the HSM is your responsibility.

### Non-standard ECDSA Mapping

The SafeNet provider maps the "ECDSA" signature algorithm to "NONEwithECDSA". The Java convention is to map it to "SHA1withECDSA". This is noted here in case you wish to use it in provider inter-operability testing. This mapping is noted in the Javadoc as well.

For comparison, "RSA" maps to "NONEwithRSA" while "DSA" maps to "SHA1withDSA".

### Notes about thread safe, session safe, and multi-threading

PKCS#11 (the standard, and Thales Group's implementation) requires that a session can be used only by a single thread at a time. That is, multiple threads cannot access the same session simultaneously. Threads can share a session; however the application must ensure that only one thread accesses the session at a time. It is simpler for an application to assign a unique session for each thread, but applications do not need to follow that pattern.

Our LunaProvider endeavors to be thread safe in the way it uses our PKCS#11 library. But customer Java applications must follow the threading model defined by Java. For example, Java Cipher objects ( essentially all crypto-related objects) are not thread safe according to the JSP specification. Similar to PKCS#11 sessions, only one thread should use a cipher object at a time. Our LunaProvider requires that the Java application follows that JSP approach.

Therefore, it is very possible, and expected, to see sessions being used by multiple threads, all in legitimate and thread-safe ways according to both JSP and PKCS#11.

## SafeNet JSP Configuration

SafeNet JSP consists of a single JCA/JCE service provider, that allows a Java-based application to use SafeNet Luna PCIe HSM products for secure cryptographic operations. Please refer to the Javadocs accompanying the toolkit, for the most current information regarding the SafeNet JSP packages and LunaProvider functionality.

To install JSP, refer to "SafeNet JSP Overview and Installation" on page 457.

### SafeNet Java Security Provider

In general, you should use the standard JCA/JCE classes and methods to work with SafeNet Luna PCIe HSM. The following sections provide examples of when you may wish to use the special SafeNet methods.

### **Class Hierarchy**

All public classes in the SafeNet Java crypto provider are included in the com.safenetinc.luna package or subpackages of that package. Thus the full class names are (for example):

- > com.safenetinc.luna.LunaSlotManager
- > com.safenetinc.luna.provider.key.LunaKey

If your application is compliant with the JCA/JCE spec, you will generally not need to directly reference any SafeNet implementation classes. Use the interfaces defined in the java.security packages instead. The exception is if you need to perform an HSM-specific operation, such as modifying PKCS#11 attributes.

Throughout the rest of this document, the short form of the class names is used for convenience and readability. The full class names (of SafeNet or other classes) are used only where necessary to resolve ambiguity.

### **Special Classes/Methods**

The JCA/JCE interfaces were not designed with hardware security modules (HSMs) in mind and do not include methods for managing aspects of a hardware module. SafeNet JSP provides some additional functions in addition to the standard JCA/JCE API.

The LunaSlotManager class provides custom methods that allow some HSM-specific information to be retrieved. It also provides a way to log in to the HSM if your application cannot make use of the standard KeyStore interface. For details please check the Javadoc which comes with the product.

It is not always necessary to use the LunaSlotManager class. With proper use of the JCE API provided in SafeNet JSP, your code can be completely hardware-agnostic.

The LunaKey class implements the Key interface and provides all of the methods of that class along with custom methods for manipulating key objects on SafeNet hardware.

**NOTE** Sensitive attributes cannot be retrieved from keys stored on SafeNet hardware. Thus certain JCE-specified methods (such as PrivateKeyRSA.getPrivateExponent()) will throw an exception.

The LunaCertificateX509 class implements the X509Certificate methods along with custom methods for manipulating certificate objects on SafeNet hardware.

### **Examples**

The SafeNet JSP comes with several sample applications that show you how to use the Luna provider. The samples include detailed comments.

#### To compile on Windows without an IDE (Administrator privileges may be required):

cd <SafeNet Luna PCIe HSM install>/jsp/samples
javac com\safenetinc\luna\sample\\*.java

#### To run:

java com.safenetinc.luna.sample.KeyStoreLunaDemo (or any other sample class in that package)

**NOTE** The Luna Keystore is not a physical file like a regular JKS. It is a virtual interface to the HSM and contains only handles for the private key objects.

#### Authenticating to the HSM

In order to make use of an HSM, it is necessary to activate the device through a login. Depending on the security level of the device, the login will require a plain-text password and/or a PED key.

The preferred method of logging in to the module is through the Java KeyStore interface. The store type is "Luna" and the password for the key store is the challenge for the partition specified.

KeyStore files for the Luna KeyStore must be created manually. The content of the KeyStore file differs if you wish to reference the partition by the slot number or label (preferred). Details of authenticating to the HSM via the KeyStore interface are explained in the Javadoc for LunaKeyStore and in the KeyStoreLunaDemo sample application.

**NOTE** We strongly recommend that you *use the application partition's label* as the identifier for the cryptographic slot on the HSM. That designator never changes, unless you explicitly change label. The slot number, on the other hand, might change, and therefore should not be used in your code.

Keys in a Luna KeyStore cannot have individual passwords. Only the KeyStore password is used. If your HSM requires PED keys to be presented for authentication and the partition is not already activated, loading the KeyStore will cause the PED to prompt you to present this key.

Other than the KeyStore interface your application may also make use of the LunaSlotManager class or by using a login state created outside of the application through a utility called 'salogin'. Use of salogin is strongly discouraged unless you have a very specific need.

#### LunaKeyStoreMP is Deprecated

LunaKeyStoreMP is deprecated for SafeNet JSP, and may be discontinued in a future release. LunaKeyStoreMP was used in previous releases to allow logical partitioning of the key space on HSMs that have only one partition. This allowed you to create a separate MP key store for each individual client that accessed the partition. Recent SafeNet releases, however, support multiple partitions, and dedicating a partition per client is a superior solution for management and security reasons. **NOTE** LunaKeyStoreMP is retained for backwards compatibility reasons only. Do not use LunaKeyStoreMP when creating new applications.

### **Logging Out**

Logging out of the HSM is performed implicitly when the application is terminated normally. Logging out of the HSM while the application is running can be done with the LunaSlotManager class. Please note that any ephemeral (non-persistent) key material present on the HSM will be destroyed when the session is logged out. Because the link to the HSM will be severed, cryptographic objects that were created by the LunaProvider will no longer be usable. Attempting to use these objects after logging out will result in undefined behavior.

All key material which was persisted on the HSM (either through the KeyStore interface or using the proprietary Make Persistent method) will remain on the HSM after a logout and will be accessible again when the application logs back in to the HSM.

### **Keytool**

The SafeNet JSP may be used in combination with Java's keytool utility to store and use keys on a SafeNet Luna PCIe HSM, see "Using Java Keytool with SafeNet Luna PCIe HSM" on page 477.

### **Cleaning Up**

Keys that are made persistent will continue to exist on the HSM until they are explicitly destroyed, or until the HSM is reinitialized. Persistent keys that are no longer needed can be explicitly destroyed to free resources on the HSM.

Keys may be removed using the Keytool, or programmatically through the KeyStore interface or other methods available through the API.

LunaSlotManager contains methods that report the number of objects that exist on the HSM. See the Javadoc for LunaSlotManager for more information.

### PKCS#11/JCA Interaction

Keys created using the SafeNet PKCS#11 API can be used with the SafeNet JSP; the inverse is also true.

### **Certificate Chains**

The PKCS#11 standard does not provide a certificate chain representation. When a Java certificate chain is stored on a SafeNet token, the certificates of the chain appear as individual objects when viewed through the PKCS#11 API. In order for the LunaProvider to properly identify PKCS#11-created certificates as part of a chain attached to a private key, the certificates must follow the labeling scheme described below.

### Java Aliases and PKCS#11 Labels

The PKCS#11 standard defines a large set of object attributes, including the object label. This label is analogous to the Object alias in a java KeyStore.

The SafeNet KeyStore key entry or a SafeNet KeyStore certificate entry will have a PKCS#11 object label exactly equal to the Java alias. Similarly, a key created through PKCS#11 will have a Java alias equal to the PKCS#11 label.

Because a java certificate chain cannot be represented as a single PKCS#11 object, the individual certificates in the chain will each appear as individual PKCS#11 objects. The labels of these PKCS#11 objects will be composed of the alias of the corresponding key entry, concatenated with "--certX", where 'X' is the index of the certificate in the java certificate chain.

For example, consider a token that has a number of objects created through the Java API. The objects consist of the following:

- > A key entry with alias "signing key", consisting of a private key and a certificate chain of length 2
- > A trusted certificate entry with alias "root cert"
- > A secret key with alias "session key"

If all objects on the token were viewed through a PKCS#11 interface, 5 objects would be seen:

- > A private key with label "signing key"
- > A certificate with label "signing key--cert0"
- > A certificate with label "signing key--cert1"
- > A certificate with label "root cert"
- > A secret key with label "session key"

**NOTE** PKCS#11 labels (strings of ascii characters) and Java aliases (of the java.lang.String type) are usually fully compatible, but problems can arise if non-printable characters are used. To maintain compatibility between Java and PKCS#11, avoid embedding non-printable or non-ascii characters in aliases or object labels.

### **RSA** Cipher

Previously, by default, the SafeNet JSP RSA cipher mode used raw RSA X.509 encryption, with no padding.

For improved security and compatibility, default padding for RSA cipher has been changed from NoPadding to PKCS1v1\_5.

## The JCPROV PKCS#11 Java Wrapper

This section describes how to install and use the JCPROV Java wrapper for the PKCS#11 API. It contains the following topics:

- > "JCPROV Overview" on the next page
- > "Installing JCPROV" on the next page
- > "JCPROV Sample Programs" on page 468
- > "JCPROV Sample Classes" on page 469
- > "JCPROV API Documentation" on page 473

### JCPROV Overview

JCPROV is a Java wrapper for the PKCS#11 API. JCPROV is designed to be as similar to the PKCS#11 API as the Java language allows, allowing developers who are familiar with the PKCS#11 API to rapidly develop Javabased programs that exercise the PKCS#11 API.

AES-GMAC and AES-GCM are supported in JCPROV. Use CK\_AES\_CMAC\_PARAMS.java to define the GMAC operation. Implementation is the same as for PKCS#11.

### JDK compatibility

The JCPROV Java API is compatible with JDK 1.5.0 or higher.

### The JCPROV library

The JCPROV library is implemented in **jcprov.jar**, under the namespace **com.safenetinc.jcprov**. It is accompanied by a shared library that provides the native methods used to access the appropriate PKCS#11 library. The name of the shared library is platform dependent, as follows:

Operating system	Shared library
Windows (64 bit)	jcprov.dll
Linux	libjcprov.so
Solaris	libjcprov.so
AIX	libjcprov.so

### Installing JCPROV

Use the Luna HSM Client Installer to install the JCPROV software (runtime and SDK packages). The software is installed in the location specified in the following table:

Operating system	Installation location
Windows	C:\Program Files\safenet\lunaclient\jcprov
Linux	/usr/safenet/lunaclient/jcprov
Solaris	/opt/safenet/lunaclient/jcprov
AIX	/usr/safenet/lunaclient/jcprov

The installation includes a **samples** subdirectory and a **javadocs** subdirectory.

### Changing the Java JNI libraries (AIX only)

The Java VM on AIX does not support mixed mode JNI libraries. Mixed mode libraries are shared libraries that provide both 32-bit and 64-bit interfaces. It is therefore essential that you select the correct JNI library to use with your Java VM.

**NOTE** Luna HSM Client 10.1 and newer includes libraries for 64-bit operating systems only.

**CAUTION!** When JCPROV is installed, links are automatically created to use the 32-bit versions of the JNI libraries. You need to update the links if you are using a 64-bit operating system.

#### To configure the JNI library for use with a 32-bit Java VM

- Ensure that the /usr/safenet/lunaclient/jcprov/lib/libjcprov.a symbolic link points to a 32-bit version of the library (libjcprov\_32.a), for example /usr/safenet/lunaclient/jcprov/lib/libjcprov\_32.a.
- Ensure that the /usr/safenet/lunaclient/jcprov/lib/libjcryptoki.a symbolic link points to a 32-bit version of the library(libjcryptoki\_32.a), for example /usr/safenet/lunaclient/jcprov/lib/libjcryptoki\_32.a.

To configure the JNI library for use with a 64-bit Java VM

- Ensure that the /usr/safenet/lunaclient/jcprov/lib/libjcprov.a symbolic link points to a 64-bit version of the library (libjcprov\_64.a), for example /usr/safenet/lunaclient/jcprov/lib/libjcprov\_64.a.
- 2. Ensure that the /usr/safenet/lunaclient/jcprov/lib/libjcryptoki.a symbolic link points to a 64-bit version of the library (libjcryptoki\_64.a), for example /usr/safenet/lunaclient/jcprov/lib/libjcryptoki\_64.a.

### **JCPROV** Sample Programs

Several sample programs are included to help you become familiar with JCPROV. The binaries for the sample programs are included in the **jcprovsamples.jar** file. You must compile the binaries before you can use the sources provided.

#### Compiling and running the JCPROV sample programs

**CAUTION!** You require JDK 1.5.0 or newer to compile the JCPROV sample programs.

It is recommended that you compile the samples in their installed locations, so that the path leading to the samples directory in the installation location will allow them to be executed as documented below.

#### **Prerequisites**

For best results, perform the following actions before attempting to compile the sample programs:

- > Add jcprov.jar to your CLASSPATH environment variable
- > Add a path to the CLASSPATH environment variable that allows JCPROV to use the com.safenetinc.jcprov.sample namespace. This is required since all of the applications are registered under this namespace.

#### To compile the JCPROV sample programs on UNIX/Linux:

1. Set the **CLASSPATH** environment variable to point to **jcprov.jar** and the root path for the sample programs.

export CLASSPATH=<jcprov\_installation\_directory>/\*

- Change directory to the sample programs path.
   cd /usr/safenet/lunaclient/jcprov/samples/com/safenetinc/jcprov/sample
- 3. Use the javac program to compile the examples. javac GetInfo.java
- 4. Use the java program to run the samples. java com.safenetinc.jcprov.sample.GetInfo -slot 0 -info

#### To compile the JCPROV sample programs on Windows:

Set the CLASSPATH environment variable to point to jcprov.jar and the root path for the sample programs:
 C:\> act "CLASSPATH= C:\Program Eiles\cafenet\\unaclight\captappate(cont)\unacl

C:\> set "CLASSPATH= C:\Program Files\safenet\lunaclient\jcprov\jcprov.jar; C:\program files\safenet\jcprov\samples"

- Use the javac program to compile the examples:
   C:\Program Files\safenet\lunaclient\jcprov\samples> javac GetInfo.java
- Use the java program to run the samples:
   C:\Program Files\safenet\lunaclient\jcprov\samples> java com.safenetinc.jcprov.sample.GetInfo -info -slot 0

#### **JCPROV** Sample Classes

JCPROV provides sample classes in the <jcprov\_installation\_directory>/**samples** directory. These include:

- > "DeleteKey" below
- > "EncDec" on the next page
- > "GenerateKey" on page 471
- > "GetInfo" on page 472
- > "Threading" on page 472

Other samples contained in the **samples** directory may be more or less useful to you depending on what you need. Each relevant sample has a description of both its purpose and its parameters in the header section of its file.

#### DeleteKey

Demonstrates the deletion of keys.

A generated key is required to use this script. To generate a key, use "GenerateKey" on page 471 or refer to "Using Java Keytool with SafeNet Luna PCIe HSM" on page 477

#### Usage

java com.safenetinc.jcprov.sample.DeleteKey -keyType <keytype> -keyName <keyname> [-slot <slotId>] [-password <password>]

#### **Parameters**

Parameter	Description
-keytype	<ul> <li>Specifies the type of key you want to delete. Enter this parameter followed by one of the following supported key types:</li> <li>des - single DES key</li> <li>des2 - double-length, triple-DES key</li> <li>des3 - triple-length, triple-DES key</li> <li>rsa - RSA key pair</li> </ul>
-keyName	Specifies the name (label) of the key you want to delete. Enter this parameter followed by the name (label) of the key you want to delete.
-slot	Specifies the slot for the HSM or partition that contains the key you want to delete. Optionally enter this parameter followed by the slot identifier for the HSM or partition that contains the key you want to delete. If this parameter is not specified, the default slot is used. <b>Default:</b> 1
-password	Specifies the password for the slot. Optionally enter this parameter followed by the slot password to delete a private key.

#### EncDec

Demonstrates encryption and decryption operations by encrypting and decrypting a string.

A generated key is required to use this script. To generate a key, use "GenerateKey" on the next page or refer to "Using Java Keytool with SafeNet Luna PCIe HSM" on page 477

#### Usage

java com.safenetinc.jcprov.sample.EncDec -keyType <keytype> -keyName <keyname> [-slot <slotId>] [-password <password>]

#### Parameters

Parameter	Description				
-keytype	<ul> <li>Specifies the type of key you want to use to perform the encryption/decryption operation. Enter this parameter followed by one of the following supported key types:</li> <li>des - single DES key</li> <li>des2 - double-length, triple-DES key</li> <li>des3 - triple-length, triple-DES key</li> <li>rsa - RSA key pair</li> </ul>				

Parameter	Description				
-keyName	Specifies the name (label) of the key you want to use to perform the encryption/decryption operation. Enter this parameter followed by the name (label) of the key you want to use to perform the encryption/decryption operation.				
-slot	Specifies the slot for the HSM or partition that contains the key you want to use to perform the encryption/decryption operation. Optionally enter this parameter followed by the slot identifier for the HSM or partition that contains the key you want to use to perform the encryption/decryption operation. If this parameter is not specified, the default slot is used. <b>Default:</b> 1				
-password	Specifies the password for the slot. Optionally enter this parameter followed by the slot password to encrypt/decrypt a private key.				

#### GenerateKey

Demonstrates the generation of keys.

#### Usage

java com.safenetinc.jcprov.sample.GenerateKey -keyType <keytype> -keyName <keyname> [-slot <slotId>] [-password <password>]

#### **Parameters**

Parameter	Description
-keytype	Specifies the type of key you want to generate. Enter this parameter followed by one of the following supported key types: <ul> <li>des - single DES key</li> </ul>
	> des2 - double-length, triple-DES key
	> des3 - triple-length, triple-DES key
	> rsa - RSA key pair
-keyName	Specifies the name (label) of the key you want to generate. Enter this parameter followed by the name (label) of the key you want to generate.
-slot	Specifies the slot for the HSM or partition where you want to generate the key. Optionally enter this parameter followed by the slot identifier for the HSM or partition where you want to generate the key. If this parameter is not specified, the default slot is used. <b>Default:</b> 1
-password	Specifies the password for the slot. Optionally enter this parameter followed by the slot password to generate a private key.

#### GetInfo

Demonstrates the retrieval of slot and token information.

#### Usage

#### java com.safenetinc.jcprov.sample.GetInfo {-info | -slot [<slotId>] | -token [<slotId>]}

#### Parameters

Parameter	Description				
-info	Retrieve general information.				
-slot	ot Retrieve slot information for the specified slot. Enter this parameter followed by the slot identifier slot you want to retrieve information from. If <slotid> is not specified, information is retrieved for a available slots.</slotid>				
-token	Retrieve token information for the HSM or partition in the specified slot. Enter this parameter followed by the slot identifier for the HSM or partition you want to retrieve information from. If <slotid> is not specified, information is retrieved for all available slots.</slotid>				

#### Threading

This sample program demonstrates different ways to handle multi-threading.

This program initializes the Cryptoki library according to the specified locking model. Then a shared handle to the specified key is created. The specified number of threads is started, where each thread opens a session and then enters a loop which does a triple DES encryption operation using the shared key handle.

It is assumed that the key exists in slot 1, and is a Public Token object.

A generated key is required to use this script. To generate a key, use "GenerateKey" on the previous page or refer to "Using Java Keytool with SafeNet Luna PCIe HSM" on page 477

#### Usage

java com.safenetinc.jcprov.sample.Threading -numThreads <numthreads> -keyName <keyname> - locking { none | os | functions } [-v]

#### Parameters

Parameter Description				
-numthreads	Specifies the number of threads you want to start. Enter this parameter followed by an integer that specifies the number of threads you want to start.			
-keyName	Specifies the triple-DES key to use for the encryption operation. Enter this parameter followed by the name (label) of the key to use for the encryption operation.			

Parameter	r Description				
-locking	Specifies the locking model used when initializing the Cryptoki library. Enter this parameter followed by one of the following locking models:				
	> none - do not use locking when initializing the Cryptoki library. If you choose this option, some threads should report failures.				
	> os - use the native operating system mechanisms to perform locking.				
	> functions - use Java functions to perform locking				
-V	Specifies the password for the slot. Optionally enter this parameter followed by the slot password to generate a private key.				

#### JCPROV API Documentation

The JCPROV API is documented in a series of javadocs. The documentation is located in the <jcprov\_installation\_directory>/javadocs directory.

## Java or JSP Errors

In the process of using our JSP (Java Service Provider) or programming for Java clients, you might encounter a variety of errors generated by various levels of the system. In rare cases those might be actual problems with the system, but in the vast majority of cases the errors are the system (or the Client-side libraries) telling you that you (or your application) have done something "wrong". In other words, the error messages are guidance to ensure that your actions and your programs are giving the system what it needs (in the right order and format) to complete the tasks that you ask of it.

Keep in mind that there are several levels involved. The SafeNet appliance and its HSM keycard have both software and firmware built in. Among other things, the system software handles the system side of communication between you (either as administrator or as Client) and the HSM on the appliance. In general, a client-side program (or programmer) would not encounter error messages directly from the system. If an error condition arises on the system, the most likely visibility would be error messages in the system logs - viewed by the appliance administrator - or else client-side messages based upon the interaction of the client-side software (ours and yours) with the appliance.

On the client side, the JSP and any Java programs that you use would be overlaid on, and using, the SafeNet library, which is an extended version of PKCS#11, customized to make use of our HSM (the standard itself and the cryptoki library are oriented toward in-software implementation of cryptographic functions, with some generic support of generic HSM functions, leaving room for each HSM supplier to support their own special functions by extending the standard). PKCS#11 is an RSA Laboratories cryptographic standard, and our libraries are a C-language implementation of that standard. You can view all that is known about PKCS #11 error conditions and messages at the RSA website.

See "Library Codes" on page 1 for a summary of error codes and their meanings, which includes the SafeNet extensions to the PKCS#11 standard that are specific to our HSM. Note that "error codes" do not usually indicate a problem with the appliance or HSM - they indicate an exception condition has been encountered, possibly because you (or your application) stopped/canceled a requested action before it could complete, provided incorrect or incomplete or wrongly-formatted input data, and so on, or possibly because a network connection has been disrupted, power has failed, or any of a variety of situations has been detected.

The JSP and your Java programming are overlaid on top of the PKCS#11 and SafeNet libraries. An error reported by a Java application might refer to a problem at the Java or JSP level, or the error might have been passed through from a lower level.

If you receive a cryptic error that looks something like:

#### Exception in thread "main"

com.safenetinc.crypto.LunaCryptokiException: function 'C\_Initialize' returns 0x30 then this error has been passed through from a lower layer and is not a Java or JSP error. You should look in the Error Codes page (link above) or in the PKCS#11 standard for the meaning of any error in a similar format.

In general, we wrap cryptoki exception codes. Most exceptions thrown by the JSP are in accordance with the specification. Check the Javadoc for the API call that threw the exception.

- > LunaException is used to report a LunaProvider-specific exception.
- > LunaCryptokiException reports errors returned by the HSM. Those might be wrapped in other Exceptions.

# Re-Establishing a Connection Between Your Java Application and SafeNet Luna PCIe HSM

Thales Group provides Java code samples for performing various application functions. For the proper method for performing a reconnect between a Java application and the SafeNet Luna PCIe HSM in the event of a disconnect, see **MiscReconnectDemo.java** in the Samples folder.

## Recovering From the Loss of All HA Members

The reinitialize method of the LunaSlotManager class takes the role of the PKCS#11 functions C\_Finalize and C\_Initialize. It is intended to be used when a complete loss of communication happens with all the members of your High Availability (HA) group.

This section describes the situations in which you should use this method, the effect this method has on a running application, and how to use this method safely. It is assumed that the auto-recovery features of the HA group are enabled.

You should read this section if you are developing an application that uses the LunaProvider in an environment that leverages an HA group of SafeNet Luna PCIe HSM appliances, so that you can safely recover an entire HA group.

#### When to Use the reinitialize Method

When using the high-availability (HA) features of SafeNet Luna PCIe HSM, the auto-recovery feature will resolve situations where connectivity is lost to a subset of members for a brief time. However, if you lose connection to all members then the connection cannot be automatically recovered. Finalizing the library and initializing it again is the only way to recover other than restarting the application.

#### Why the Method Must Be Used

In an HA group, we rely on having at least one member present in order to maintain state. If all of the members have been lost, then we cannot make any determination of which member has a known good state. Also, when a connection to a member is lost, the authenticated state is lost. When an individual member returns, we can

use the authenticated state from another member to authenticate to the one that has returned. When all members are lost, then the authenticated state is lost on all members.

#### What Happens on the HSM

The Network Trust Link Service (NTLS) on the HSM appliance is responsible for cleaning up any cryptographic resources, such as session objects, and cryptographic operation contexts when a connection to the client is lost. This happens when the socket closes.

#### **Effect on Running Applications**

All resources created within the LunaProvider must be treated as junk after the library is finalized. Sessions will no longer be valid, session objects will point to non-existent objects or worse to a wrong object, and **Signature/Cipher/Mac/etc** objects will have invalid data.

Even **LunaKey** objects, which represent persistent objects, may contain invalid data. When the virtual slot is constructed in the library, the virtual object table is built from the objects present on each individual member. There is no guarantee that objects will have the same handle from one initialization to the next. This is true from the moment the connection to the group is severed. All these resources must be released before calling the reinitialize method. Beyond causing undesirable behavior when used, if these objects are garbage collected after cryptographic operations resume, they can result in the deletion of new objects or sessions.

#### Using the Method Safely

The first indication that all communications may have been lost with the group is a **LunaException** reporting an error code of **0x30** (Device Error). Other possible error codes that can indicate this status are **0xE0** (Token not present) and **0xB3** (Session Handle invalid). The **LunaException** class does not provide the error code as a discrete value and you will have to parse the message string to determine this value.

At this point, you should validate that the group has been lost. The **com.safenetinc.luna.LunaHAStatus** object is best suited for this. Your application should know the slot number of the HA slot that you are using because it may not be able to query this information from the label when the slot is missing.

#### Example

LunaHAStatus status = new LunaHAStatus(haSlotNumber);

You can query the object for detailed information or just use the **isOK()** method to determine if the group has been lost. The **isOK()** method will return true if all members are still present. If all members are gone, an exception will be thrown.

If no application is thrown, the application should be able to proceed operating, and any individual members of the HA group that have been lost will be recovered by the library. Further details on failed members can be queried through the LunaHAStatus object.

In many highly threaded applications, such as web applications, it is desirable to have a singleton, which is responsible for keeping track of the health of the HSM connection. This can be done by having worker threads report information to this singleton, by having a specific health check thread, or through a combination of the two.

Once the error state is discovered, all worker threads should be stopped or allowed to return an error. It may take up to 40 seconds from the time the group was lost for all threads to discover that there is an error. It can take 20 seconds for any given command to time out as a result of network failure. Once this happens, new commands will not be sent to that HSM, but a command may have just been sent and that command will have

its own 20-second timeout. As mentioned above, in the section on application effects, all of the objects created or managed by the LunaProvider must be considered at this point to contain junk data. Operating after recovery with this junk data can cause undesired effects. This means all keys, signature, cipher, Mac, KeyGenerator, KeyPairGenerator, X509Certificate, and similar objects must be released to the garbage collector. Instances of most non-SPI (LunaAPI, LunaSlotManager, LunaTokenManager, etc.) objects do not pose a problem, but any instances of LunaSession held in the application during the course of the reinitialize can cause problems if they are returned to the session pool after the reinitialization takes place.

Cryptographic processing in the application should be halted until connection with the HSMs is back to a known good state. It may be appropriate to hold operations in a queue for processing later or to return an Out of Service message.

Once the objects have been released and no further processing will occur, the application should attempt recovery of the connection. This is done through the **com.safenetinc.luna.LunaSlotManager.reinitialize** method. This method will first clear session objects held within the provider before finalizing the library. After the library is finalized, it will initialize it again by invoking the **C\_Initialize** method. This method will establish a connection with all the HSMs if possible. The same **isOK()** method of **LunaHAStatus** can be used to determine if the group has been recovered successfully.

It is also important to only have a single thread call the **reinitialize** method. When multiple threads try to unload or load the library at the same time, errors can occur.

## Using Java Keytool with SafeNet Luna PCIe HSM

This page describes how to use the Java KeyTool application with the LunaProvider.

#### Limitations

The following limitations apply:

- > You cannot use the importkeystore command to migrate keys from a Luna KeyStore to another KeyStore.
- > Private keys cannot be extracted from the KeyStore unless you have the Key Export model of the HSM.
- > By default secret keys created with the LunaProvider are non-extractable.

The example below uses a KeyStore file containing only the line "slot:0". This tells the Luna KeyStore to use the token in slot 0.

**NOTE** The Luna Keystore is not a physical file like a regular JKS. It is a virtual interface to the HSM and contains only handles for the private key objects.

For information on creating keys through Key Generator or Key Factory classes please see the LunaProvider Javadoc or the JCA/JCE API documentation.

Keys (with self signed certificates) can be generated using the keytool by specifying a valid Luna KeyStore file and specifying the KeyStore type as "Luna". The password presented to authenticate to the KeyStore is the challenge password of the partition.

#### Example

```
keytool -genkeypair -alias myKey -keyalg RSA -sigalg SHA256withRSA -keystore keystore.luna -
storetype Luna
Enter keystore password:
What is your first and last name?
[Unknown]: test
What is the name of your organizational unit?
[Unknown]: codesigning
What is the name of your organization?
[Unknown]: SafeNet Inc
What is the name of your City or Locality?
[Unknown]: Ottawa
What is the name of your State or Province?
[Unknown]: ON
What is the two-letter country code for this unit?
[Unknown]: CA
Is CN=test, OU=codesigning, O=SafeNet Inc, L=Ottawa, ST=ON, C=CA correct?
[no]: yes
Enter key password for <myKey>
(RETURN if same as keystore password):
```

## Keytool Usage and Examples

The LunaProvider is unable to determine which PKCS#11 slot to use without providing a keystore file. This file can be manually created to specify the desired slot by either the slot number or partition label. The naming of the files is not important - only the contents.

The keytool examples below refer to a keystore file named bylabel.keystore. Its content is just one line:

#### tokenlabel:a-partition-name

where a-partition-name is the name of the partition you want the Java client to use.

Here is the (one line) content of a keystore file that specifies the partition by slot number:

#### slot:0

where 1 is the slot number of the partition you want the Java client to use.

To test that the Java configuration is correct, execute:

my-lunaclient:~/luna-keystores\$ keytool -list -v -storetype Luna -keystore bylabel.keystore
The system requests the password of the partition and shows its contents.

Here is a sample command to create an RSA 2048 bit key with SHA256withRSA self-signed certificate. This example uses java 6, other versions might be slightly different.

keytool -genkeypair -alias keyLabel -keyalg RSA -keysize 2048 -sigalg SHA256withRSA -storetype Luna - keystore bylabel.keystore -validity 365

```
Enter keystore password:
What is your first and last name?
  [Unknown]: mike
What is the name of your organizational unit?
  [Unknown]: appseng
What is the name of your organization?
  [Unknown]: safenet
What is the name of your City or Locality?
  [Unknown]: ottawa
What is the name of your State or Province?
  [Unknown]: on
What is the two-letter country code for this unit?
  [Unknown]: ca
Is CN=mike, OU=appseng, O=safenet, L=ottawa, ST=on, C=ca correct?
  [no]: yes
Enter key password for <keyLabel>
  (RETURN if same as keystore password):
With the Luna provider there is no concept of a key password and anything entered is ignored.
```

The following is a more elaborate sequence of keytool usage where the final goal is to have the private key generated in the HSM through keytool "linked" to its certificate.

#### Import CA certificate

It is mandatory to import the CA certificate – keytool verifies the chain before importing a client certificate:

my-lunaclient:~/luna-keystores\$ keytool -importcert -storetype Luna -keystore bylabel.keystore alias root-mikeca -file mike\_CA.crt

It is not required to import this certificate in the Java default cacerts keystore.

#### Generate private key

Generate the private key. It is not important that the sigalg specified matches the one used by the CA. You can also have OU, O, L, ST, and C different from the ones in the CA certificate.

my-lunaclient:~/luna-keystores\$ keytool -genkeypair -alias java-client2-key -keyalg RSA -keysize 2048 -sigalg SHA256withRSA -storetype Luna -keystore bylabel.keystore Enter keystore password: What is your first and last name? [Unknown]: java-client2 What is the name of your organizational unit? [Unknown]: SE What is the name of your organization? [Unknown]: SFNT What is the name of your City or Locality? [Unknown]: bgy What is the name of your State or Province? [Unknown]: bg What is the two-letter country code for this unit? [Unknown]: IT Is CN=java-client2, OU=SE, O=SFNT, L=bgy, ST=bg, C=IT correct? [no]: yes Enter key password for <java-client2-key> (RETURN if same as keystore password): Verify that the private key is in the partition: my-lunaclient:~/luna-keystores\$ keytool -list -v -storetype Luna -keystore bylabel.keystore Enter keystore password: Keystore type: LUNA Keystore provider: LunaProvider Your keystore contains 2 entries Alias name: root-mikeca Creation date: Oct 4, 2012 Entry type: trustedCertEntry Owner: EMAILADDRESS=username@gemalto.com, CN=mike CA, OU=SE, O=SFNT, L=bgy, ST=bg, C=IT Issuer: EMAILADDRESS=username@gemalto.com, CN=mike CA, OU=SE, O=SFNT, L=bgy, ST=bg, C=IT Serial number: 1 Valid from: Thu Oct 04 09:02:00 CEST 2012 until: Tue Oct 04 09:02:00 CEST 2022 Certificate fingerprints: MD5: A2:15:4F:94:70:2B:D2:F7:C0:96:B1:47:F2:1D:03:E9 SHA1: B3:4A:68:0A:8D:12:39:86:11:CE:EF:22:1B:D1:DE:8D:E9:19:2B:F4 Signature algorithm name: SHA256withRSA Version: 3 \* Alias name: java-client2-key Creation date: Oct 4, 2012 Entry type: PrivateKeyEntry Certificate chain length: 1 Certificate[1]: Owner: CN=java-client2, OU=SE, O=SFNT, L=bgy, ST=bg, C=IT Issuer: CN=java-client2, OU=SE, O=SFNT, L=bgy, ST=bg, C=IT Serial number: 506d42dd Valid from: Thu Oct 04 10:03:41 CEST 2012 until: Wed Jan 02 09:03:41 CET 2013 Certificate fingerprints: MD5: 7A:37:72:6B:8A:05:B6:49:91:70:0F:C4:04:1F:69:D9 SHA1: 05:CD:9F:A5:37:0B:A6:A3:65:24:56:40:5E:29:2D:95:2D:53:8F:5F Signature algorithm name: SHA256withRSA Version: 3

#### Create the CSR

Create the CSR to be submitted to the CA.

my-lunaclient:~/luna-keystores\$ keytool -certreq -alias java-client2-key -file client2-mikeca.csr -storetype Luna -keystore bylabel.keystore Enter keystore password:

Now have the CSR signed by the CA. Have the issued certificate exported to include the certificate chain. Without the chain, keytool fails with the error:

java.lang.Exception: Failed to establish chain from reply If you do not have the chain, you can use the steps in the section below to build the chain yourself.

To translate a PKCS#7 exported certificate from DER format to PEM format use the following:

my-lunaclient \$ openssl pkcs7 -inform der -in Luna\_Key.p7b -outform pem -out Luna\_Key-pem.p7b Microsoft CA exports certificates with chain only in PKCS#7 PEM encoded format.

#### Import client certificate

#### Now import the client certificate:

user@myserver:~/luna-keystores\$ keytool -importcert -storetype Luna -keystore bylabel.keystore alias java-client2-key -file java-client2.crt Enter keystore password: Certificate reply was installed in keystore Ensure that it is linked to the private key generated previously – the chain length is not 1 (Certificate chain length: 2) user@myserver:~/luna-keystores\$ keytool -list -v -storetype Luna -keystore bylabel.keystore Enter keystore password: Keystore type: LUNA Keystore provider: LunaProvider Your keystore contains 2 entries Alias name: root-mikeca Creation date: Oct 4, 2012 Entry type: trustedCertEntry Owner: EMAILADDRESS=username@gemalto.com, CN=mike CA, OU=SE, O=SFNT, L=bgy, ST=bg, C=IT Issuer: EMAILADDRESS=username@gemalto.com, CN=mike CA, OU=SE, O=SFNT, L=bgy, ST=bg, C=IT Serial number: 1 Valid from: Thu Oct 04 09:02:00 CEST 2012 until: Tue Oct 04 09:02:00 CEST 2022 Certificate fingerprints: MD5: A2:15:4F:94:70:2B:D2:F7:C0:96:B1:47:F2:1D:03:E9 SHA1: B3:4A:68:0A:8D:12:39:86:11:CE:EF:22:1B:D1:DE:8D:E9:19:2B:F4 Signature algorithm name: SHA256withRSA Version: 3 \*\*\*\*\*\*\* Alias name: java-client2-key Creation date: Oct 4, 2012 Entry type: PrivateKeyEntry Certificate chain length: 2 Certificate[1]: Owner: CN=java-client2, OU=SE, O=SFNT, L=bqy, ST=bq, C=IT Issuer: EMAILADDRESS=username@gemalto.com, CN=mike CA, OU=SE, O=SFNT, L=bgy, ST=bg, C=IT Serial number: 5 Valid from: Thu Oct 04 10:07:00 CEST 2012 until: Fri Oct 04 10:07:00 CEST 2013

Certificate fingerprints: MD5: 4B:F0:9E:BC:EB:6A:88:2B:87:3A:76:35:7C:DE:4B:B4

SHA1: F1:0C:BC:E3:A1:97:E4:8B:24:2D:44:43:7A:EA:71:52:B3:C3:20:D7 Signature algorithm name: SHA256withRSA

```
Version: 3
Certificate[2]:
Owner: EMAILADDRESS=username@gemalto.com, CN=mike CA, OU=SE, O=SFNT, L=bgy, ST=bg, C=IT
Issuer: EMAILADDRESS=username@gemalto.com, CN=mike CA, OU=SE, O=SFNT, L=bgy, ST=bg, C=IT
Serial number: 1
Valid from: Thu Oct 04 09:02:00 CEST 2012 until: Tue Oct 04 09:02:00 CEST 2022
Certificate fingerprints:
    MD5: A2:15:4F:94:70:2B:D2:F7:C0:96:B1:47:F2:1D:03:E9
    SHA1: B3:4A:68:0A:8D:12:39:86:11:CE:EF:22:1B:D1:DE:8D:E9:19:2B:F4
    Signature algorithm name: SHA256withRSA
    Version: 3
```

#### How to build a certificate with chain ...

When you receive the client certificate without the chain, it is possible to build a PKCS#7 certificate that includes the chain (and then feed it to keytool -importcert). In short, the "single" certificates without the chain can be "stacked" together by manually editing a PEM cert file; this PEM cert file can then be translated into a PKCS#7 cert. How? Like this:

1. Prerequisites. Have all the certs in .crt format. The cert in this format is represented as an ASCII file starting with the line

```
----BEGIN CERTIFICATE----
```

#### and ending with

----END CERTIFICATE----

For example, if the client cert is issued by a subCA and the subCA is signed by a root CA, you will have 3 cert files – the client cert, the subCA cert, and the root CA cert. If the certs are not in .crt format, openssl can be used to transform the format that you have into .crt format. See notes below.

 Open a new text file, calling it, for example, cert-with-chain.crt. Insert into this file the content of the certificates in the chains. For the above example, you must first insert the client cert, then the subCA cert, then the root CA cert. The content of the file would then resemble the following:

```
-----BEGIN CERTIFICATE-----

<-- client cert goes here

-----END CERTIFICATE-----

<-- subCA cert goes here

-----BEGIN CERTIFICATE-----

-----BEGIN CERTIFICATE-----

<-- root CA cert goes here

-----END CERTIFICATE-----
```

3. Use the following openssl command to convert the new certificate with chain, that you just created above, to a PKCS#7 certificate with chain:

```
my-sa $ openssl crl2pkcs7 -nocrl -certfile HSM_Luna-manual-chain.crt -out HSM_Luna-manual-
chain.p7b -certfile root_CA.crt
```

4. Keytool is then able to import this .p7b certificate into the Luna keystore and correctly validate the chain.

#### Additional minor notes

1. Command to add a CA to the default CA cert store "cacerts":

```
root@myserver:~# keytool -importcert -trustcacerts -alias root-mikeca -file /home/mike/luna-
keystores/mike CA.crt -keystore /etc/java-6-sun/security/cacerts
```

2. Use the following openssl command to convert a PKCS#7 certificate DER-encoded into a PKCS#7 PEMencoded certificate:

user@myserver:~/tmp/\$ openssl pkcs7 -inform der -in java-client2.p7b -out java-client2-pem.p7b

3. Use the following openssl command to convert a PKCS#7 DER-encoded certificate into a .crt PEM certificate:

user@myserver:~/tmp/\$ openssl pkcs7 -print\_certs -inform der -in mike\_CA.p7b -out mike\_CA-p7-2crt.crt

4. Use the following openssl command to convert a PEM certificate with chain to a PKCS#7 with chain:

user@myserver:~/tmp/\$ openssl crl2pkcs7 -nocrl -certfile HSM\_Luna-manual-chain.crt -out HSM\_ Luna-manual-chain.p7b -certfile mike\_CA.crt

## **CHAPTER 8:** Microsoft Interfaces

This chapter describes the Microsoft interfaces to the PKCS#11 API. It contains the following topics:

- > "SafeNet CSP Registration Utilities" below
- > "SafeNet KSP for CNG Registration Utilities" on page 488
- > "SafeNet CSP Calls and Functions" on page 493

## SafeNet CSP Registration Utilities

This section describes how to use the SafeNet CSP registration tool and related utilities to configure the Luna HSM client to use a SafeNet Luna PCIe HSM with Microsoft Certificate Services. You must be the client Administrator or a member of the Administrators group to run the SafeNet CSP tools.

The SafeNet CSP can be used by any application that acquires the context of the SafeNet CSP. All users who log in and use the applications that acquired the context have access to the SafeNet CSP. After you register the SafeNet Luna PCIe HSM partitions with SafeNet CSP, your CSP and KSP code should work the same whether the SafeNet Luna PCIe HSM (crypto provider) or the default provider is selected.

The SafeNet CSP is an optional client feature. During client installation, select **CSP (CAPI)** / **KSPCNG)** to install it. To install the feature later, run the client installer again, select the option, and click **Modify**.

By default, the SafeNet CSP utilities are installed in <client\_install\_dir>/**CSP**. The installation includes **LunaCSP.dll**, the library used by CSP to interact with **Cryptoki.dll**, and the following utilities:

- > "register" below
  - "Registering Partitions/HA Groups to CSP" on the next page
  - "Registering Cryptographic Algorithms to be Used in Software" on page 485
  - "Enabling Key Counting" on page 485
- > "ms2Luna" on page 486 Used to migrate Microsoft CSP keys to a SafeNet Luna PCIe HSM partition
- > "keymap" on page 486 Used to manage keys on the partition for use with Microsoft CSP

#### register

You can use the CSP registration tool (<client\_install\_dir>/**CSP**/register.exe) to perform the following functions:

- Register application partitions/HA groups and their passwords/challenge secrets for use with the SafeNet CSP (see "Registering Partitions/HA Groups to CSP" on the next page).
- Register which non-RSA cryptographic algorithms you want performed in software only (see "Registering Cryptographic Algorithms to be Used in Software" on page 485).
- > Enable key counting in KSP/CSP (see "Enabling Key Counting" on page 485).
- > Register the provider library with the Windows OS to make it available for applications.

#### Syntax

register.exe [/partition | /algorithms | /library | /usagelimit] [/highavail] [/strongprotect] [/cryptouser] [/?]

Argument	Shortcut	Description				
/algorithms	/a	Register algorithms that will be used in software by Microsoft CSP (i.e. not on the HSM). Only non-RSA algorithms can be configured to run in software; RSA algorithms will always run on the HSM hardware.				
/cryptouser	/c	Register the password/challenge for the Crypto User (read-only crypto role). If this option is not specified, the Crypto Officer password/challenge is registered.				
/highavail	/h	Register the virtual partition of a high-availability (HA) group.				
/library	/1	<ul> <li>Register the library and associated provider names for use with CSP. The following providers are registered:</li> <li>Luna enhanced RSA and AES provider for Microsoft Windows</li> <li>Luna Cryptographic Services for Microsoft Windows</li> <li>Luna SChannel Cryptography Services for Microsoft Windows</li> <li><b>NOTE</b> This operation is required only for 32-bit client libraries, which have been discontinued in Luna HSM Client 10.1 and newer.</li> </ul>				
/partition	/р	Register a partition and its password/challenge. You are prompted to select which available partitions to register to the CSP. This is the default option. If you type <b>register</b> with no additional parameters, then <b>/partition</b> is assumed. For example, <b>register /strongprotect</b> is the same as <b>register /partition /strongprotect</b> .				
/strongprotect	/s	Strongly protect the challenge for registered partitions. This option ensures that only existing client users can access the CSP partitions. After running <b>register</b> /strongprotect, new users are not allowed to use CSP.				
/usagelimit	/u	Set the maximum usage limit for RSA keys using CSP. Enter <b>0</b> to register unlimited uses.				

#### **Registering Partitions/HA Groups to CSP**

Use the **"register" on the previous page** utility to register application partitions or HA virtual slots to the CSP. The Crypto Officer or Crypto User must complete this procedure, depending on which role you wish to use.

**NOTE** You cannot register a combination of HA groups and application partitions; either physical or virtual slots may be registered to the CSP at one time.

#### To register an application partition or HA group to the CSP

 In a command prompt, navigate to the SafeNet CSP install directory and register the desired application partition(s) or HA group(s). Specify /cryptouser to register the CU role. Otherwise, the CO role will be registered. If you want to register both roles, you can run the command twice, once with /cryptouser and once without.

#### "register" on page 483 [/highavail] [/cryptouser]

You are prompted (y/n) to decide whether to register each available partition or HA virtual slot.

- 2. Install and/or configure your application(s).
- 3. Run each of your applications once to use SafeNet CSP.
- 4. Ensure the security of the registered role passwords/challenges by specifying /strongprotect.

#### "register" on page 483 /strongprotect

**5.** If you are using a 32-bit CSP provider, register the library. If you are using a 64-bit CSP provider, this is done automatically.

#### "register" on page 483 /library

You can now run all applications as usual.

#### Registering Cryptographic Algorithms to be Used in Software

Certain symmetric operations such as hashing may be completed faster in software than on the SafeNet Luna PCIe HSM. The **register /algorithms** command allows you to choose which algorithms to de-register from the SafeNet Luna PCIe HSM. This may improve performance for operations that use these algorithms, but there is a security cost (exposing the operation in software). Signing and other asymmetric operations are always done on the HSM.

#### To register algorithms for software-only use

1. In a command prompt, navigate to the SafeNet CSP install directory and register the desired algorithms to be used in software.

#### "register" on page 483 /algorithms

You are prompted (y/n) to decide whether each available algorithm should be used in software.

#### **Enabling Key Counting**

Key counting allows you to specify the maximum number of times that a key can be used.

#### To enable key counting

1. In a command prompt, navigate to the SafeNet CSP install directory and register the key usage limit.

#### "register" on page 483 /usagelimit

You are prompted to enter a key usage limit. You can turn the feature off (unlimited uses) by entering **0**.

#### ms2Luna

Use the **ms2Luna** utility (<client\_install\_dir>/**CSP**/**ms2Luna.exe**) to migrate existing Microsoft CSP keys held in software to a registered partition/HA group on the SafeNet Luna PCIe HSM. It requires the thumbprint of a certificate held in the client's keystore.

#### Prerequisites

- > You must already have registered a partition/HA group using the "register" on page 483 utility.
- > Private keys must be exportable to be migrated to the HSM.

#### To migrate Microsoft CSP keys to the SafeNet Luna PCIe HSM

1. In a command prompt, navigate to the SafeNet CSP install directory and migrate your existing keys to the HSM.

#### ms2Luna

You are prompted for the CSP certificate thumbprint.

#### keymap

Use the **keymap** utility (<client\_install\_dir>/**CSP**/**keymap.exe**) to manage keys for use with CSP. CSP needs three objects for a certificate to work:

- > Private key
- > Public key
- > A container: data object containing the certificate's association with the keys

A container is automatically created for all keypairs created using the CSP. For existing keypairs that were created outside the CSP, you must create a container and associate it with each keypair to make them available to the CSP.

When you run the keymap utility and select an available slot, the following options are available:

Option	Name	Description		
1	Browse Objects List the objects on the slot (public keys, private keys, and containers) that cused by the CSP.			
2	Create Key ContainerCreate a key container that can be used by the CSP.			
3	View Key ContainerDisplay information about a key container and the keys associated with it.			
4	Associate Keys With Container	Map a keypair to an existing container. There are two possible algorithm mappings, depending on the intended purpose of the keypair:		
		Signature: keypair will be used for signing operations		
		> Exchange: keypair will be used for key exchange		

Option	Name	Description
5	Do Nothing	Take no action.
99	Destroy Key Container	Destroy a key container object. This has no effect on the keys associated with a container.
0	Exit	Exit the <b>keymap</b> utility.

## SafeNet KSP for CNG Registration Utilities

CNG (Cryptography Next Generation) is Microsoft's cryptographic application programming interface (API), replacing the older Windows cryptoAPI (CAPI). CNG adds new algorithms along with additional flexibility and functionality. Thales Group provides SafeNet CSP for applications running in older Windows crypto environments (running CAPI), and SafeNet KSP for newer Windows clients (running CNG). Consult Microsoft documentation to determine which one is appropriate for your client operating system.

KSP must be installed on any computer that is intended to act via CNG as a client of the HSM, running crypto operations in hardware. You need KSP to integrate SafeNet cryptoki with CNG and to use the newer functions and algorithms in Microsoft IIS.

After you register the SafeNet Luna PCIe HSM partitions with SafeNet KSP, your KSP code should work the same whether a SafeNet HSM (crypto provider) or the default provider is selected.

**NOTE** Be aware when working in a mixed environment or updating applications that previously used CAPI and the SafeNet CSP - the new algorithms supported by CNG (such as SHA512 and ECDSA) in Certificate Services are not recognized by systems that use CAPI. If Certificate Services is configured to use any of these new algorithms then the signed certificates can be installed only on systems that are aware of these new algorithms. Any of the systems that use CAPI will not be able to use this feature and certificate installation will fail.

The SafeNet KSP is an optional client feature. During client installation, select **CSP (CAPI)** / **KSPCNG)** to install it. To install the feature later, run the client installer again, select the option, and click **Modify**.

By default, the SafeNet KSP utilities are installed in <client\_install\_dir>/**KSP**. The installation includes the following utilities:

- > "kspcmd" below
  - "Configuring the KSP Using the Command Line" on the next page
- > "KspConfig" on page 490
  - "Configuring the KSP Using the GUI" on page 490
- > "ms2Luna" on page 491 Used to migrate Microsoft CSP keys to a SafeNet Luna PCIe HSM partition
- > "ksputil" on page 492 Used to display and manage partition keys that are visible to the KSP

#### kspcmd

You can use this utility (<client\_install\_dir>/**KSP/kspcmd.exe**) to register the KSP library and partitions via the Windows command line.

**NOTE** To register the library and partitions using a GUI, use "KspConfig" on page 490. It is unnecessary to use both utilities.

Syntax kspcmd.exe

#### library <path\cryptoki.dll> password /s <slot\_label> [/u <username>] [/d <domain>] usagelimit viewslots

Argument	Shortcut	Description		
library <path\cryptoki.dll></path\cryptoki.dll>	I	Register the library and associated provider names with KSP.		
password	р	Register the designated slot and its Crypto Officer password/challenge to the KSP. You can specify the following options:		
		/ <b>s</b> <slot_label></slot_label>	[Mandatory] The label of the partition being registered to the KSP.	
		<b>/u</b> <usemame></usemame>	[Optional] The username to register for this partition. If this is not specified, all users on the client will be able to access this partition via KSP.	
		/ <b>d</b> <domain></domain>	[Optional] The domain to register for this partition.	
usagelimit	u	Set the maximum usage limit for RSA keys using KSP. Enter <b>0</b> to register unlimited uses.		
viewslots	v	Display the registered slots by user/domain.		

#### Configuring the KSP Using the Command Line

You can use the **"kspcmd" on the previous page** command-line tool to configure the KSP for use with your partitions. The Crypto Officer must complete this procedure using Administrator privileges on the client.

You can register the following user/domain combinations with the KSP:

- Administrator user with the domain specific to the client. Default Windows domains are in the format WIN-XXXXXXXXXXXXXXX.
- > SYSTEM user with the NT-AUTHORITY domain

The configuration tool registers a Crypto Officer password/challenge to a specific user, so that only that user can unlock the partition.

#### To configure the KSP using the command line

1. In a command line, navigate to the SafeNet KSP install directory and register the **cryptoki.dll** library to the KSP.

"kspcmd" on the previous page library /s <path\cryptoki.dll> [/u <username>] [/d <domain>]

2. Register the designated slot and its Crypto Officer password/challenge to the KSP.

"kspcmd" on the previous page password /s <slot\_label> [/u <username>] [/d <domain>]

You are prompted to enter the CO password/challenge for the slot.

**3.** [Optional] Display the registered slots to ensure that registration is complete.

#### "kspcmd" on page 488 viewslots

4. [Optional] Set the maximum usage limit for RSA keys using KSP.

#### "kspcmd" on page 488 usagelimit

You are prompted to enter a usage limit. Enter **0** to register unlimited uses.

#### KspConfig

You can use this tool (<client\_install\_dir>\**KSP\KspConfig.exe**) to register the KSP library and partitions using a GUI.

**NOTE** To register the library and partitions using the command line, use "kspcmd" on page 488. It is unnecessary to use both utilities.

#### Configuring the KSP Using the GUI

You can use the **"KspConfig" above** utility to configure the KSP for use with your partitions. The Crypto Officer must complete this procedure using Administrator privileges on the client.

You can register the following user/domain combinations with the KSP:

- Administrator user with the domain specific to the client. Default Windows domains are in the format WIN-XXXXXXXXXXXXXXXX.
- > SYSTEM user with the NT-AUTHORITY domain

The configuration tool registers a Crypto Officer password/challenge to a specific user, so that only that user can unlock the partition.

#### To configure the KSP using the GUI

- 1. In Windows Explorer, navigate to the SafeNet KSP install directory and launch "KspConfig" above as the Administrator user.
- In the left panel, double-click Register or View Security Library. Enter the filepath to cryptoki.dll or click Browse to locate it.

<client\_install\_dir>\cryptoki.dll

- SafeNet Ke	ey Storage Provider Config Wizard	- 🗆 X
File Help		
	LibraryPath C:\Program Files\SafeNet\LunaClient\cryptoki.o	III Register
Ready		NUM

Click **Register** to complete the registration.

3. In the left panel, double-click **Register HSM Slots**. Select the **Administrator** user, client domain, and an available slot to register. Enter the CO password/challenge and click **Register Slot**.

- SafeNet Key Storage Provider Config Wizard 📃 🗖 🗙			
File Help			
E SafeNet KSP Config Register Or View Security Library Register HSM Slots	Register For User     Domain       Administrator     WIN-XXXXXXXX       Available Slots     Slot Password       0 partition1     ********	Register By Slot Label Slot Number Register Slot View Registered Slots Delete Registered Slot	
Ready		NUM //	

- 4. Select the SYSTEM user and NT-AUTHORITY domain and register for the slot.
- 5. Repeat steps 3-4 for any other available slots you want to register with the KSP.

You can now begin using your applications to perform crypto operations on the registered slots.

#### ms2Luna

Use the **ms2Luna** utility (<client\_install\_dir>/**KSP**/**ms2Luna.exe**) to migrate existing Microsoft KSP keys held in software to a registered partition/HA group on the SafeNet Luna PCIe HSM. It requires the thumbprint of a certificate held in the client's keystore.

#### Prerequisites

- You must already have registered a partition/HA group using the "kspcmd" on page 488 or "KspConfig" on page 490 utility.
- > Private keys must be exportable to be migrated to the HSM.

#### To migrate Microsoft KSP keys to the SafeNet Luna PCIe HSM

1. In a command prompt, navigate to the SafeNet KSP install directory and migrate your existing keys to the HSM.

#### ms2Luna

You are prompted for the KSP certificate thumbprint.

#### ksputil

KSP binds machine keys to the hostname of the crypto server that created the keys. You can use the **"ksputil" above** utility to display and manage keys that are visible to the KSP.

### Syntax

#### ksputil

clusterkeys /s <slotnum> /n <keyname> /t <target>
listkeys /s <slotnum> [/user]

Argument	Shortcut	Description		
clusterkeys	С	Bind a specified keypair to a different server domain. Note that this does not change the bindings of existing keys; it creates a copy of the original keypair that is bound to the new domain. Available options:		
		/ <b>s</b> <slotnum></slotnum>	[Mandatory] The slot number of the partition where the key(s) are located.	
		/ <b>n</b> <keyname></keyname>	[Mandatory] The name of the key(s) to bind to the new domain.	
		/ <b>d</b> <domain></domain>	[Mandatory] The domain to which keys will be bound.	
listkeys	I	DIsplay a list of KSP-visible keys. Available options:		
		/ <b>s</b> <slotnum></slotnum>	[Mandatory] The slot number of the partition where the key(s) are located.	
		/user	[Optional] List keys bound to the currently logged-in user/hostname.	

#### Algorithms Supported

Here, for comparison, are the algorithms supported by our CSP and KSP APIs.

#### Algorithms supported by the SafeNet CSP

CALG\_RSA\_SIGN CALG\_RSA\_KEYX CALG\_RC2 CALG\_RC4 CALG\_RC5 CALG\_DES CALG\_DES CALG\_3DES\_112 CALG\_3DES CALG\_MD2 CALG\_MD5 CALG\_SHA CALG\_SHA\_256 CALG\_SHA\_384 CALG\_SHA\_512 CALG\_MAC

CALG HMAC

#### Algorithms supported by the SafeNet KSP

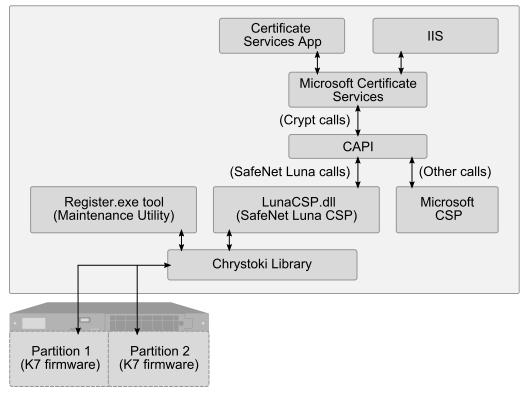
NCRYPT\_RSA\_ALGORITHM NCRYPT\_DSA\_ALGORITHM NCRYPT\_ECDSA\_P256\_ALGORITHM NCRYPT\_ECDSA\_P384\_ALGORITHM NCRYPT\_ECDSA\_P521\_ALGORITHM NCRYPT\_ECDH\_P256\_ALGORITHM NCRYPT\_ECDH\_P384\_ALGORITHM NCRYPT\_ECDH\_P521\_ALGORITHM NCRYPT\_DH\_ALGORITHM NCRYPT\_RSA\_ALGORITHM

## SafeNet CSP Calls and Functions

For integration with Microsoft Certificate Services and other applications, the LunaCSP.dll library accepts Crypt calls and gives access to token functions (via CP calls) as listed in this section. Key pairs and certificates are generated, stored and used on the SafeNet Luna PCIe HSM.

The diagram below depicts the relationship of the SafeNet components to the other layers in the certificate system.

#### Figure 1: SafeNet CSP architecture



Note, in the diagram, that the SafeNet CSP routes relevant calls through the statically linked Crystoki library to the HSM via CP calls. Other calls from the application layer – those not directed at the token/HSM, and not matching the SafeNet CSP supported functions (see next section) – are passed to the Microsoft CSP.

#### Programming for SafeNet Luna PCIe HSM with SafeNet CSP

The SafeNet CSP DLL exports the following functions, each one corresponding to an equivalent (and similarly named) Crypt call from the application layer:

- > CPAcquireContext
- > CPGetProvParam
- > CPSetProvParam
- > CPReleaseContext
- > CPDeriveKey
- > CPDestroyKey
- > CPDuplicateKey
- > CPExportKey
- > CPGenKey
- > CPGenRandom
- > CPGetKeyParam
- > CPGetUserKey

- > CPImportKey
- > CPSetKeyParam
- > CPDecrypt
- > CPEncrypt
- > CPCreateHash
- > CPDestroyHash
- > CPGetHashParam
- > CPHashData
- > CPHashSessionKey
- > CPSetHashParam
- > CPSignHash
- > CPVerifySignature

**NOTE** The CPVerifySignature function is able to verify signatures of up to 2048 bits, regardless of the size of the signatures produced by CPSignHash. This ensures that the CSP is able to validate all compatible certificates, even those signed with large keys.

The MSDN (Microsoft Developers Network) web site provides syntax and descriptions of the corresponding Crypt calls that invoke the functions in the above list.

#### Algorithms

SafeNet CSP supports the following algorithms:

- CALG\_RSA\_SIGN [RSA Signature] [256 4096 bits]. The CSP uses the RSA Public-Key Cipher for digital signatures.
- > CALG\_RSA\_KEYX [RSA Key Exchange] [256- 4096 bits] The CSP must use the RSA Public-Key Cipher key exchange. The exchange key pair can be used both to exchange session keys and to verify digital signatures.
- > CALG\_RC2 [RSA Data Securities RC2 (block cipher)] [8 1024 bits].
- > CALG\_RC4 [RSA Data Securities RC4 (stream cipher)] [8 2048 bits].
- > CALG\_RC5 [RSA Data Securities RC5 (block cipher)] [8 2048 bits].
- > CALG\_DES [Data Encryption Standard (block cipher)] [56 bits].
- > CALG\_3DES\_112 [Double DES (block cipher)] [112 bits].
- > CALG\_3DES [Triple DES (block cipher)] [168 bits].
- > CALG\_MAC [Message Authentication Code] (with RC2 only).
- > CALG\_HMAC [Hash-based MAC].
- > CALG\_MD2 [Message Digest 2 (MD2)] [128 bits].
- > CALG\_MD5 [Message Digest 5 (MD5)] [128 bits].
- > CALG\_SHA [Secure Hash Algorithm (SHA-1)] [160 bits].

- > CALG\_SHA224 [Secure Hash Algorithm (SHA-2)] [224 bits].
- > CALG\_SHA256 [Secure Hash Algorithm (SHA-2)] [256 bits].
- > CALG\_SHA384 [Secure Hash Algorithm (SHA-2)] [384 bits].
- > CALG\_SHA512 [Secure Hash Algorithm (SHA-2)] [512 bits].

**NOTE** If you intend to perform key exchanges between the SafeNet CSP and the Microsoft CSP with RC2 keys, the attribute KP\_EFFECTIVE\_KEYLEN must be set to 128 bits. For RC2 and RC4, the salt value of the keys must be transferred by making a call to get the salt value of the original key and to set the salt value of an imported key. This is done with the CryptGetKeyParam(KP\_SALT) and CryptSetKeyParam(KP\_SALT) functions respectively.